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**Tuesday, 7 May 2024**

Dear Councillor / Honorary Alderman,

### **Meeting of the Council – Wednesday, 15th May, 2024**

You are summoned to attend a meeting of the Council which will be held at 10.00 am on Wednesday, 15th May, 2024, in The Council Chamber, Level 2, Town Hall Extension.

1. **The Lord Mayor's Announcements and Special Business**
2. **Election of Lord Mayor of Manchester**  
To elect the Lord Mayor of Manchester for the 2024/25 Municipal Year.
3. **Vote of Thanks**  
To adopt a resolution of thanks for the retiring Lord Mayor.
4. **Appointment of the Deputy Lord Mayor**  
To appoint a Deputy Lord Mayor for the 2024/25 Municipal Year.
5. **Minutes** 5 - 18  
To submit for approval the minutes of the meeting held on 20 March 2024.
6. **Interests**  
To allow members an opportunity to declare any personal, prejudicial or disclosable pecuniary interest they might have in any items which appear on this agenda; and record any items from which they are precluded from voting as a result of Council Tax or Council rent arrears. Members with a personal interest should declare that at the start of the item under consideration. If members also have a prejudicial or disclosable pecuniary interest they must withdraw from the meeting during the consideration of the item.
7. **Seniority of Councillors - to follow**  
The statement of the City Solicitor concerning the seniority of councillors.
8. **Leader of the Council**  
To elect the Leader of the Council.

**9. Appointment of Council Committees and Chairs - to follow**

To approve the appointment of Members of the following –

Art Galleries Committee  
Audit Committee  
Employee Appeals Committee  
Executive Standing Consultative Panel  
Health and Wellbeing Board  
Housing Advisory Committee  
Licensing Committee  
Licensing and Appeals Committee  
Licensing Policy Committee  
Personnel Committee  
Planning and Highways Committee  
Standards Committee (including the Nominated Spokesperson)

Scrutiny Committees:-

Children and Young People  
Communities and Equalities  
Environment, Climate Change and Neighbourhoods  
Economy and Regeneration  
Health  
Resources and Governance

**10. Appointments to the Combined Authority, Joint Authorities and Joint Committees - to follow**

To approve appointment of representatives to the Combined Authority, Joint Authorities and Joint Committees.

(see recommendations of the Constitutional and Nomination Committee in the minutes of 15 May 2024 – circulated in advance of the meeting).

**11. Constitution of the Council**

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To consider the report of the City Solicitor.

Due to the size of the document, the Council Constitution papers are available on the Council's website (link address below) and paper copy - on request.

Weblink address:

<https://democracy.manchester.gov.uk/ieListDocuments.aspx?CId=135&MId=4694&Ver=4>

**12. Dates of Council meetings 2024/25**

To agree the dates of ordinary meetings of the Council in 2024/25:

Wednesday 10 July 2024  
Wednesday 2 October 2024  
Wednesday 27 November 2024  
Wednesday 5 February 2025

Council

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Wednesday 28 February 2025 (Budget)  
Wednesday 19 March 2025

and that the Annual Meeting of the Council 2025 will be on  
Wednesday 14 May 2025

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Yours faithfully,

A handwritten signature in black ink, consisting of a large, stylized initial 'J' followed by a long horizontal stroke and a final loop.

**Joanne Roney CBE**  
**Chief Executive**

## Information about the Council

The Council is composed of 96 councillors with one third elected three years in four. Councillors are democratically accountable to residents of their ward. Their overriding duty is to the whole community, but they have a special duty to their constituents, including those who did not vote for them.

Six individuals with previous long service as councillors of the city have been appointed Honorary Aldermen of the City of Manchester and are entitled to attend every Council meeting. They do not however have a vote.

All councillors meet together as the Council under the chairship of the Lord Mayor of Manchester. There are seven meetings of the Council in each municipal year, and they are open to the public. Here councillors decide the Council's overall strategic policies and set the budget each year.

Agenda, reports and minutes of all Council meetings can be found on the Council's website [www.manchester.gov.uk](http://www.manchester.gov.uk)

## Members of the Council

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### Councillors:-

Y Dar (Chair), Andrews (Deputy Chair), Abdullatif, Akbar, Azra Ali, Ahmed Ali, Nasrin Ali, Shaukat Ali, Amin, Appleby, Bano, Bell, Bell, Benham, Brickell, Bridges, Butt, Chambers, Chohan, Collins, Connolly, Cooley, Craig, Curley, Davies, Doswell, Douglas, Evans, Flanagan, Fletcher, Foley, Gartside, Glover, Good, Green, Grimshaw, Hacking, Hassan, Hewitson, Hilal, Hitchen, Hughes, Hussain, Igbon, Ilyas, Iqbal, Jafri, Johns, Johnson, T Judge, Kamal, Karney, Kilpatrick, Kirkpatrick, Kirwin-McGinley, Leech, J Lovecy, Ludford, Lynch, Lyons, Mandongwe, Marsh, McCaul, McHale, Midgley, Moran, Mumtaz, Muse, Noor, Northwood, Nunney, Ogunbambo, Rawlins, Rawson, Razaq, Reeves, Reid, Riasat, Richards, I Robinson, T Robinson, Sadler, Sadiq, Sarwar, M Sharif Mahamed, Sheikh, Shilton Godwin, Simcock, Stogia, Taylor, Wheeler, Wiest, Whiston, White, Wills and Wright

### Honorary Aldermen of the City of Manchester –

Hugh Barrett, Andrew Fender, Paul Murphy OBE, Nilofar Siddiqi and Keith Whitmore.

## Further Information

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For help, advice and information about this meeting please contact the meeting Clerk:

Andrew Woods

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This agenda was issued on **Tuesday, 7 May 2024** by the Governance and Scrutiny Support Unit, Manchester City Council, Level 2, Town Hall Extension (Library Walk Elevation), Manchester M60 2LA.



## **Council**

### **Minutes of the meeting held on Wednesday, 20 March 2024**

#### **Present:**

The Right Worshipful, the Lord Mayor Councillor Y Dar – in the Chair

#### **Councillors:**

Andrews, Abdullatif, Akbar, Ahmed Ali, Nasrin Ali, Shaukat Ali, Amin, Appleby, Bano, Bayunu, Bell, Benham, Brickell, Bridges, Butt, Chambers, Chohan, Connolly, Cooley, Craig, Curley, Davies, Doswell, Douglas, Evans, Flanagan, Fletcher, Foley, Gartside, Good, Green, Grimshaw, Hacking, Hassan, Hewitson, Hilal, Holt, Hughes, Hussain, Ilyas, Iqbal, Johns, Johnson, T Judge, Kamal, Karney, Kilpatrick, Kirkpatrick, Lanchbury, Leech, J Lovecy, Ludford, Lynch, Lyons, Marsh, McCaul, McHale, Midgley, Moran, Muse, Noor, Northwood, Nunney, Ogunbambo, Rahman, Rawlins, Razaq, Reeves, Reid, Riasat, Richards, I Robinson, T Robinson, Rowles, M Sharif Mahamed, Sheikh, Shilton Godwin, Simcock, Stogia, Taylor, Wheeler, Wiest, White, Wills, Wilson and Wright

#### **CC/24/19 The Lord Mayor's Announcements and Special Business - Retiring Members**

The Lord Mayor informed the Council that five Councillors would not be seeking re-election in May 2024 and thanked them for their contribution to the Council and the residents and ward they represent. The Councillors not seeking re-election were:

- Councillor Zahra Alijah – Fallowfield Ward
- Councillor Eve Holt – Chorlton Ward
- Councillor Shelley Lanchbury – Higher Blakely Ward
- Councillor Hannah Priest – Charlestwon Ward
- Councillor Emily Rowles – Moss Side Ward

#### **CC/24/20 The Lord Mayor's Announcements and Special Business - Retirement of David Regan (Director of Public Health)**

The Lord Mayor reported that David Regan, Director of Public Health would be retiring from the Council at the end of March 2024. The Lord Mayor thanked David on behalf of all Members of the Council for his service and tireless work during his time as Director of Public Health, especially through the unprecedented COVID 19 global pandemic.

#### **CC/24/21 The Lord Mayor's Announcements and Special Business - Certificate of Lifetime Honorary Older Peoples Board Membership**

The Lord Mayor informed Members that she had the pleasure of recognising, on behalf of Age Friendly Manchester's Older Peoples Board, the service of Eddie Newman who stepped down from the Board last May. Eddie would be missed but his contributions would continue through the work of the Board, the team and the International Age Friendly movement that continued to progress this agenda.

## **CC/24/22 Minutes**

### **Decision**

The Minutes of the Council (budget) meeting held on 1 March 2024 were approved as a correct record and signed by the Lord Mayor.

### **CC/24/23 Notice of Motion - Heart Safe City**

Councillor Cooley submitted the following motion which was seconded by Councillor Green:-

Council notes that Manchester now has the second highest rate of premature (under 75) deaths from heart and circulatory complications in the UK. Wards such as Ardwick, Longsight and Baguley have some of the highest rates in the country and the number of deaths in North Manchester currently stands at 89.6 per 100,000 – over twice the national average.

Figures from the British Heart Foundation show that in Greater Manchester:

- 1 in every 4 people die from heart and circulatory diseases;
- around 320,000 people are living with heart and circulatory disease;
- 560 people die every month from heart and circulatory diseases; and
- Council further notes that for out of hospital cardiac arrests the survival rate is just 1 in 13.

We note the work being done by health colleagues and the charity sector to tackle these issues and want to place on record our aim of becoming a heart-safe city. In particular we want to give our communities the tools to deal with out of hospital cardiac arrests.

We note that last year the Government provided only £1million for the installation of defibrillators across the whole country. From 2000 applications only 100 were fully funded.

The cost of a defibrillator is between £1,500 - £2,000 and it is appalling that Government has neglected to provide sufficient funding to allow Manchester's communities access to vitally important equipment that could save the lives of Mancunians.

To this end Council resolves to:-

- (1) Commit to working with the British Heart Foundation to look at ways of raising awareness of heart and circulatory diseases amongst our communities, to train members of the public in how to spot cardiac arrests and how to provide those quick and effective interventions which can often save lives.
- (2) Call on the Government to provide significantly more funding for the installation and maintenance of defibrillators in public places.

On the motion being put to the vote, the Lord Mayor declared it carried unanimously.

### **CC/24/24 Notice of Motion - Banning High Carbon Advertising**

Councillor Wiest submitted the following motion which was seconded by Councillor Nunney:-

In 2019, Manchester City Council (MCC) declared a climate emergency. The original motion recognised that Manchester City Council must “make climate breakdown and the environment an integral part of activity throughout the Council, including all decision making, ensuring key decisions take into account the impact on achieving the zero-carbon target”.

A key part of our action around the climate emergency is encouraging residents to reduce their carbon footprints. The council owns 110 advertising units across the city and currently there are prohibitions on advertising for gambling, smoking, vaping and high fat, salt and sugary foods. These prohibitions are aligned with our policies around health and have clear public benefits. However, we have no such policy when it comes to encouraging residents to choose low carbon options, which we also know is urgently needed. Currently advertisements for petrol and diesel cars, holidays via flying and other fossil fuel-related products are all allowed.

Furthermore, Manchester City Council has put significant work into developing a Climate Change Action Plan, a Clean Air Plan, and an Active Transport Strategy, all of which are contradicted by messages to purchase and consume high carbon products such as fossil fuel powered cars and flights.

This motion aims to unify our messaging across different platforms so they align with our target of reaching net zero by 2038, and the priorities laid out in the Our Manchester Strategy.

Numerous other councils have already taken the step to include a ban on high carbon advertising, including –

- Cambridgeshire County Council
- Somerset Council
- Basingstoke and Deane Borough Council
- Coventry City Council
- Hackney Council

The city has two major advertising contracts. One of these is with Ocean which covers 24 large format units and several smaller loop units, and this contract is due to end on the 30th September 2025. The other contract is with JC Decaux, covering 86 digital advertising units around the city centre. This contract was awarded on the 1st August 2021 and will last for 10 years, with the possibility of a five year extension. Together, these contracts cover 110 advertising units around the city owned by Manchester City Council, with the digital advertising boards able to offer spaces for multiple products.

This Council notes that:

- We have committed to our role as a climate leader and this motion aligns with our strategic goals.
- Manchester City Council owns only a small proportion of advertising units around the city, but this has not stopped us implementing prohibitions on advertisements for gambling, smoking, vaping and high fat, salt and sugary foods.
- Ocean has previously agreed to meet our prohibitions standards even though it was not included in the advertising concession agreement, as a show of their good will and our positive and constructive working relationship with them.
- Transport for Greater Manchester (TfGM) is also in the process of revising its advertising policy and it has many more advertising units across Greater Manchester than Manchester City Council alone.
- A cohesive strategy which covers all of the ten Greater Manchester (GM) boroughs will have the greatest impact on behaviour change, therefore working with other organisations in GM to achieve this would be preferable to working alone.
- This motion pertains to contracting for concession agreements/advertising only and does not require any changes to our current planning policy.

This Council resolves to:

- (1) Recommend that the Executive commit to a ban on high carbon advertising for any of the units owned by MCC, including at the very least -
  - Advertisements for cars powered by fossil fuels, or those which are hybrid
  - Advertisements for airlines, airports, or flights
  - Advertisements for fossil fuels

This Council also resolves to recommend that the Executive:

- (2) Review our advertising concession agreements immediately, to investigate the possibility of amending the current set of restrictions during the term of the agreement to include a prohibition on the products listed above.
- (3) If this is not possible, to begin to work on a new advertising policy, to take effect when the advertising concession agreement is next renewed.
- (4) Work with our partners at TfGM, Greater Manchester Combined Authority, and the other borough councils to agree on a definition of high carbon advertising at least as stringent as our own, with the aim that it be uniformly applied throughout Greater Manchester.

On the motion being put to the vote the Lord Mayor declared it lost.

### **CC/24/25 Notice of Motion - Protecting Our Democracy by upholding the right to protest**

Councillor Abdullatif submitted the following motion, which was seconded by Councillor Bayunu: -

This Council notes:

- That the right to protest is a fundamental cornerstone of liberal democracy.
- Under Article 11 of the European Convention on Human Rights, everyone has the right to freedom of peaceful assembly and to freedom of association with others.
- In recent years, this Conservative Government has passed legislation which significantly restricts the right to peaceful protest.
- In 2022 the Government passed the Police, Crime, Sentencing and Courts (PCSC) Act, which gave police the power to impose noise-based restrictions on protest; to impose restrictions on public assemblies; and created the offence of wilful obstruction of the highway.
- In 2023 the Government passed the Public Order Act, which gave the police powers to criminalise locking-on & being equipped for locking-on; interfering with major transport works; interfering with key national infrastructure; and powers to carry out suspicion-less stop and search related to protests.
- The Government has now successfully passed a statutory instrument to introduce a new definition of ‘serious disruption’, so that the police will be given the power to impose restrictions on protests if they think that it could result in “a hindrance that is more than minor to the carrying out of day-to-day activities”.
- Numerous national and international figures have spoken out against this clamping down on protest, including five UN Special Rapporteurs, the Council of Europe Commissioner for Human Rights, the Director of Public Prosecutions, and former senior police advisors.
- In March, the UK was downgraded in the Civicus Monitor, an annual global index of civic freedoms, as a result of the government’s “increasingly authoritarian” drive to impose restrictive and punitive laws on public protests.

This Council further notes:

- The right to protest is being restricted at a time when this Conservative Government is passing authoritarian laws against migration, failing to adequately tackle climate change, and failing in its responsibility to protect refugees and asylum seekers.
- People resort to protest when they feel that their concerns are not being heard through more traditional means, such as by raising issues with and through their political representatives.
- Residents in our city are rightly concerned about the situation in the Middle East, its impact directly on people in our communities and upon community relations, and the rising tide of incidents of both antisemitism and islamophobia.
- That the UK, and communities within the UK have the right and the duty to speak out and to take steps that can bring pressure to bear towards a ceasefire, the release of all hostages, the free flow of humanitarian aid and creation of space for dialogue towards a lasting settlement.

- Scotland Yard reported in February 2024 that “The vast majority of those joining Palestinian protests have done so in a lawful and peaceful way.”
- A YouGov Poll co-commissioned by Medical Aid for Palestine highlighted overwhelming level (71%) support for an immediate ceasefire in Gaza.
- That in a response to Rishi Sunak’s speech on 1st March 2024, Amnesty International’s Racial Justice Director said “The threat to impose yet more restrictions on people’s right to peacefully protest is deeply worrying and suggests the Government is determined to silence those who may disagree with its policies. This is entirely in line with the chaotic patchwork of legislation and sweeping policing powers we have seen introduced in recent years.”

This Council believes:

- That when public opinion is not reflected by the actions of politicians it results in citizens responding through public protest in order for the collective concern to be heard.
- That the Prime Minister’s speech is also fuelling the rise in Islamophobic hate crime as reported by Tell MAMA who has recorded a 335% increase in anti-Muslim hate cases, comparing the last 4 months to those in 2022/2023.

This Council therefore resolves to:

- (1) Engage with the Greater Manchester Police to understand how they are upholding the right to peaceful protest.
- (2) Work with community and civil society campaign groups in Manchester to support their right to protest.
- (3) Write to the Home Secretary in opposition to the Government’s severe restriction of the right to protest.
- (4) Encourage all Politicians and political parties to educate themselves in the role that politicians play in challenging hateful rhetoric against Muslim and Jewish communities.
- (5) Support all of Manchester’s elected members to attend the protests that are calling on an Immediate and Permanent Ceasefire in Gaza.

Councillor Rahman moved an amendment to the motion, which was seconded by Councillor Butt, as follows: -

This Council notes:

- Manchester has a long history of supporting the right to protest. The Peterloo Memorial in Manchester is a recognition of this city’s strongly held belief in the democratic right of residents to assemble and to protest peacefully, confidently and loudly about the issues that matter to them. This right is protected under the European Convention of Human Rights.
- Conservative governments have always sought to restrict the right to protest. Sacha Deshmukh, Chief Executive, at Amnesty International has gone on record to say that this Conservative government “has been hellbent on passing

a whole raft of anti-protest legislation in recent years and this latest addition just stands alongside an already absurdly long list of ways that the fundamental human right to peaceful protest is being muzzled”

- The Conservatives have been restricting the right to protest through the 2022 Police, crime and Sentencing Act and the 2023 Public Order Act, alongside recent actions to impose new restrictions.

This Council further notes:

- The right to protest being restricted is seen as an easy, short-term win by Conservative politicians, at a time when this Conservative Government is passing authoritarian laws against migration, failing to adequately tackle climate change, and failing in its responsibility to protect refugees and asylum seekers.
- Residents in our city are rightly concerned about the situation in the Middle East; witnessing the tragic loss of innocent lives- and this tragic situation is having a direct impact on people in our communities and upon community relations, and is reflected in an increasing number of incidents of both Antisemitism and Islamophobia.
- This Council issued a united cross-party call in November 2023 for peace in Israel and Palestine; a sustained ceasefire on all sides, the release of all hostages, free flow and access to humanitarian aid, and efforts to secure a lasting peace

This Council believes:

That the recent remarks by senior Conservative MP's, Suella Braverman, Liz Truss, Lee Anderson (now defected to Reform UK) and indeed the Prime Minister, Rishi Sunak, himself have highlighted a deep entrenched anti-Muslim prejudice in the Conservative party. Sayeeda Warsi, the Conservative peer, has said that Sunak needed to "find the language" to "call Islamophobia Islamophobia"

This Council therefore resolves to:-

- (1) Continue to work with Greater Manchester Police to continue to ensure they are upholding the right to peaceful protest.
- (2) Manchester Councillors have a long history of supporting and attending peaceful protests, including many in recent months. We will continue to work with community and civil society campaign groups in Manchester to support their right to peaceful protests including those that are calling for the UK government to work for a permanent ceasefire on all sides, the immediate release of all remaining hostages unharmed, support an increased flow of humanitarian aid into Gaza and for an international effort to bring about the creation of a Palestinian state alongside Israel, allowing Israel and Palestine to exist safely within their own borders.
- (3) Write to the Home Secretary in opposition to the Government's severe restriction of the right to peaceful protests.
- (4) Write to the Home Secretary to request that he holds an independent review into the severe challenge of anti-Muslim prejudice in the Conservative party and to adopt the APPG definition of Islamophobia adopted by the Labour Party in 2019.

- (5) All Manchester's Elected Members to promote engagement with the city's interfaith leaders, especially our Muslim and Jewish faith and community leaders to come together at this difficult time and to pledge their support to create a safe and tolerant community in which Manchester residents feel comfortable to practice their faith and culture without threat of violence or prejudice.

Councillor Abdullatif indicated that she had agreed to the amendment and that the amended motion would be the substantive motion to be considered.

The amended motion was put to the Council as the substantive motion and the Lord Mayor declared it to be carried.

### **CC/24/26 Notice of Motion - A Conservative budget that yet again failed our City**

Councillor Craig submitted the following motion, which was seconded by Councillor Lyons: -

On 1 March 2024 at Full Budget Council, Manchester Labour called on the Government to put the needs of Manchester people before electioneering in the Spring Budget.

We made 5 important asks:

1. To set out a fair, multi year funding settlement for local councils
2. To extend the Household Support Fund for at least another 12 months
3. To fix the housing and homelessness crisis
4. To invest in public services like schools, the NHS, police and councils
5. To reverse the decline in living standards in the country

On the 6 March 2024, the Chancellor, Jeremy Hunt, delivered the last Spring Budget before a General election, apart from a temporary 6 month extension to HSF, he failed Manchester on all of these fronts and indeed in some areas set out plans that would make it worse.

The Tories unfunded tax cuts come at the expense of crumbling schools and hospitals and if re-elected Conservatives are openly planning to bring back austerity (IFS analysis shows that they plan a real term cut for unprotected departments, such as local government, of at least) 3.4% after the General election. There were no plans of much needed investment in schools or the NHS, and the only reference on housing was scrapping £200m support for councils to build social housing.

Therefore we resolve:-

- (1) To lead a mass campaign of Mancunian voices to the Chancellor highlighting the impact of 14 years of broken promises and cuts to public services on our city
- (2) To call on the Prime Minister to set the date for the General Election to give the country hope of a better future rather than the continued decline we've seen



- under the Conservatives and their Lib Dem Coalition partners, and successive Conservative Governments
- (3) To work side by side with a future Labour Government the Labour Party on their plan to improve the country and to deliver for Manchester.

On the motion being out to the vote, the Lord Mayor declare it carried.

### **CC/24/27 Proceedings of the Executive**

The proceedings of the Executive on 14 February and 15 March 2024 were submitted. The Council was asked to give particular consideration to the following recommendations:-

### **Exe/24/36 Adoption of Places for Everyone Plan: A Joint Development Plan Document for 9 Greater Manchester Local Authorities**

The Executive Recommends that the Council approve the following:-

- (1) Accept the findings of the Inspectors' Report and approve the Main Modifications to the Places for Everyone Joint Development Plan Document proposed by the independent Inspectors, as set out in Appendix 1 and 2 to the report, for incorporation into the final version of the Places for Everyone Joint Development Plan Document.
- (2) Approve the amendments to the Places for Everyone Joint Development Plan Document Policies Map, as set out in Appendix 3 to the report, for incorporation of all the changes which relate to Manchester's administrative area into the Manchester Local Plan Policies Map.
- (3) Approve the Additional Modifications to the Places for Everyone Joint Development Plan Document, as set out in Appendix 4 to the report, for incorporation into the final version of the Places for Everyone Joint Development Plan Document.
- (4) Adopt the Places for Everyone Joint Development Plan Document 2022 – 2039 (provided in Appendix 5), with effect from 21 March 2024 – incorporating the Main Modifications and Additional Modifications – as part of the Development Plan for Manchester, in accordance with Section 23 of the Planning and Compulsory Purchase Act 2004 (as amended)
- (5) Adopt the Policies Map (Appendix 6) which incorporates the Modifications to it (Appendix 3) and is necessary to give effect to the policies of the Places for Everyone Joint Development Plan Document.
- (6) Delegate authority to Director of Planning, Building Control and Licensing, to make any minor non material editorial amendments to the Places for Everyone Joint Development Plan Document and Policies Map ahead of its final publication, subject to consultation and agreement with the eight other Places for Everyone authorities noting that these amendments will be limited to correcting minor errors and formatting text.

### **Decisions**

- (1) To receive the minutes of the Executive held on 14 February and 15 March 2024

(2) To approve:-

- The findings of the Inspectors' Report and approve the Main Modifications to the Places for Everyone Joint Development Plan Document proposed by the independent Inspectors, as set out in Appendix 1 and 2 to the report, for incorporation into the final version of the Places for Everyone Joint Development Plan Document.
- The amendments to the Places for Everyone Joint Development Plan Document Policies Map, as set out in Appendix 3 to the report, for incorporation of all the changes which relate to Manchester's administrative area into the Manchester Local Plan Policies Map.
- The Additional Modifications to the Places for Everyone Joint Development Plan Document, as set out in Appendix 4 to the report, for incorporation into the final version of the Places for Everyone Joint Development Plan Document.
- The adoption of the Places for Everyone Joint Development Plan Document 2022 – 2039 (provided in Appendix 5), with effect from 21 March 2024 – incorporating the Main Modifications and Additional Modifications – as part of the Development Plan for Manchester, in accordance with Section 23 of the Planning and Compulsory Purchase Act 2004 (as amended)
- The adoption of the Policies Map (Appendix 6) which incorporates the Modifications to it (Appendix 3) and is necessary to give effect to the policies of the Places for Everyone Joint Development Plan Document.
- The delegation of authority to the Director of Planning, Building Control and Licensing, to make any minor non material editorial amendments to the Places for Everyone Joint Development Plan Document and Policies Map ahead of its final publication, subject to consultation and agreement with the eight other Places for Everyone authorities noting that these amendments will be limited to correcting minor errors and formatting text.

**CC/24/28 Questions to Executive Members and Others under Procedural Rule 23**

Councillor White responded to a question from Councillor Lyons regarding what work had the Council been doing to understand the changes to Planning and as well as the Registration Scheme in response to the Government's announcement to take action against Short Term Let's.

Councillor Rawlins responded to a question from Councillor Reid regarding what steps were being taken to address the speeding issues along Hyde Road, whether there were any plans to install speed cameras to enhance road safety in the area.

Councillor Rawlins responded to a question from Councillor Leech regarding the possible removal of the Parsonage Road Safer Streets Scheme trial following objections from local residents.

Councillor Rawlins responded to a question from Councillor Leech regarding value for money insofar as the Parsonage Road Safer Streets Scheme trial.

Councillor White responded to a question from Councillor Leech regarding what discussions had taken place with the Greater Manchester Mayor following his proposal to introduce the right for tenants to request a home safety check.

Councillor Rahman responded to a question from Councillor Leech regarding fire safety checks at properties across the corporate estate.

Councillor Craig responded to a question from Councillor Leech regarding the renaming of the Executive Member for Environment and Transport Executive portfolio in light of the Mums for Lungs campaign for clean air.

Councillor Rawlins responded to a question from Councillor Kilpatrick regarding the status of the backlog of work to clear drain gullies, fix highway and pavement defects and complete highway and pavement resurfacing.

Councillor Rawlins responded to a question from Councillor Kilpatrick regarding implementation issues connected to the Civic Quarter Heat Network.

Councillor White responded to a question from Councillor I Robinson regarding the housing support to be provided to residents affected by the Council's transformation and regeneration of Grey Mare Lane.

Councillor Akbar responded to a question from Councillor Good regarding the Council's policy regarding the undertaking of valuations prior to purchasing and selling land.

Councillor Rawlins responded to a question from Councillor Good regarding what the Council was doing to speed up the delivery of the Ancoats and Miles Platting Residents' Parking Scheme.

Councillor Rawlins responded to a question from Councillor Good regarding what the Council was doing to improve the process for applying for parking permits for the new Eastlands Residents' Parking Scheme.

## **CC/24/29 Scrutiny Committees**

The minutes of the following Scrutiny Committee meetings were submitted:

- Economy and Regeneration – 6 February and 5 March 2024
- Communities and Equalities – 6 February and 5 March 2024
- Children and Young People – 7 February and 6 March 2024
- Health – 7 February and 6 March 2024
- Resources and Governance – 8 February and 7 March 2024
- Environment, Climate Change and Neighbourhoods – 8 February and 7 March 2024

## **Decision**

To receive those minutes submitted.

## **CC/24/30 Proceedings of Committees**

The minutes of the following meetings were submitted:-

- Audit Committee – 13 February 2024
- Personnel Committee – 14 February 2024

The Council was asked to give particular consideration to the following recommendations from the minutes:

### **PE/24/4 Senior Management Team updates**

The Committee:-

- (1) Recommend to Council the creation of a new Deputy Chief Executive role at DCX (£167,144) following the separation of the role from City Treasurer.
  - (2) Recommend to Council the creation of a new City Treasurer role at SS5 (£135,976 - £155,898) following the separation of the role from Deputy Chief Executive.
  - (3) Recommend to Council the appointment of Tom Wilkinson to the position of City Treasurer with effect from 1 April 2024, at a salary of £135,976p.a.
  - (4) Recommend to Council the designation of Tom Wilkinson as Section 151 Officer in accordance with Section 151 of the Local Government Act 1972 with effect from the 1 April 2024.
  - (5) Recommend to Council the appointment of Dr Cordelle Ofori to the position of Director of Public Health with effect from 1 April 2024, at a salary of £111,906.
  - (6) Recommend to Council the designation of Dr Cordelle Ofori as Director of Public Health under Section 73A(1) of the National Health Service Act 2006.
- Planning and Highways Committee – 15 February 2024 and 14 March 2024
  - Licensing Committee – 4 March 2024
  - Licensing and Appeals Committee – 4 March 2024

### **Decisions**

- (1) To receive those minutes listed.
- (2) To approve:-
  - The creation of a new Deputy Chief Executive role at DCX (£167,144) following the separation of the role from City Treasurer.
  - The creation of a new City Treasurer role at SS5 (£135,976 - £155,898) following the separation of the role from Deputy Chief Executive.
  - The appointment of Tom Wilkinson to the position of City Treasurer with effect from 1 April 2024, at a salary of £135,976p.a.
  - The designation of Tom Wilkinson as Section 151 Officer in accordance with Section 151 of the Local Government Act 1972 with effect from the 1 April 2024.

- The appointment of Dr Cordelle Ofori to the position of Director of Public Health with effect from 1 April 2024, at a salary of £111,906.
- The designation of Dr Cordelle Ofori as Director of Public Health under Section 73A(1) of the National Health Service Act 2006.

**CC/24/31 Adoption of Places for Everyone Plan: A Joint Development Plan Document for 9 Greater Manchester Local Authorities**

The Lord Mayor advised that this item had been included for information as all decisions relating to this had been agreed under the earlier item of Proceedings of the Executive (CC/24/??).

**CC/24/32 Key Decisions Report**

The Council considered the report of the City Solicitor on key decisions that have been taken in accordance with the urgency provisions in the Council's Constitution.

**Decision**

To note the report.

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**Manchester City Council  
Report for Resolution**

**Report to:** Constitution and Nomination Committee – 15 May 2024  
Council – 15 May 2024

**Subject:** Constitution of the Council

**Report of:** The City Solicitor

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### **Summary**

To request that the Constitutional and Nomination Committee consider, and the Council adopt, proposed amendments to the Constitution of the Council.

### **Recommendation** – Constitutional and Nomination Committee

The Constitutional and Nomination Committee is requested to note the report and recommend that the Council agree the recommendations below.

### **Recommendations** – Council

The Council is requested to:

1. Adopt, subject to recommendations 4 and 5 below, the attached revised Sections of the Constitution of the Council, namely:
  - a) Part 2
  - b) Part 3: Sections B, C and F
  - b) Part 4: Sections A, B, E and F
  - c) Part 5: Section C and D
  - d) Part 6: Sections B and C
  - e) Part 7
  - f) Part 8
2. Re-adopt the remainder of the Constitution with the overarching changes set out in section 2 of this report.
3. Note that any changes to the Constitution already approved by the Council since the last review 30 November 2022 will be included in the revised Constitution.
4. Note in relation to Part 3 of the Constitution that responsibility for the discharge of executive functions and the delegation of such responsibility rests with the Leader of the Council and that the recommended delegations of executive functions set out in Part 3 (Sections A, B and F) are for the information of the Council only.

5. Note that, as the Licensing Committee has statutory responsibility for the discharge and delegation of certain licensing authority functions, the proposed delegations of such functions set out in Part 3 (Sections C and F) have been considered and reaffirmed by the Licensing Committee and to that extent are for the information of the Council only.

**Wards Affected: All**

<b>Environmental Impact Assessment</b> - the impact of the issues addressed in this report on achieving the zero-carbon target for the city
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Not applicable
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<b>Equality, Diversity and Inclusion</b> - the impact of the issues addressed in this report in meeting our Public Sector Equality Duty and broader equality commitments
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Not applicable
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<b>Manchester Strategy outcomes</b>	<b>Summary of how this report aligns to the OMS/Contribution to the Strategy</b>
A thriving and sustainable city: supporting a diverse and distinctive economy that creates jobs and opportunities	Not applicable
A highly skilled city: world class and home-grown talent sustaining the city’s economic success	Not applicable
A progressive and equitable city: making a positive contribution by unlocking the potential of our communities	Not applicable
A liveable and low carbon city: a destination of choice to live, visit, work	Not applicable
A connected city: world class infrastructure and connectivity to drive growth	Not applicable

Full details are in the body of the report, along with any implications for:

- Equal Opportunities Policy
- Risk Management
- Legal Considerations

**Financial Consequences – Revenue** None



**Financial Consequences – Capital None**

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**Background documents (available for public inspection):**

The following documents disclose important facts on which the report is based and have been relied upon in preparing the report. Copies of the background documents are available up to 4 years after the date of the meeting. If you would like a copy please contact one of the contact officers above.

Constitution of the Council – November 2022

## 1. Background

- 1.1 Section 9P of the Local Government Act 2000, requires the Council to prepare and keep up to date a Constitution.
- 1.2 The City Solicitor is required to monitor and review the operation of the Constitution on an on-going basis and, where necessary, bring forward amendments to the Council. The City Solicitor is, under Article 15 of the Constitution, also authorised to make minor changes to the Constitution, provided that they do not materially affect the Constitution.
- 1.3 Changes to the Council's Constitution are considered by full Council once a year. In recent years the updates to the Constitution have been brought to Council for consideration generally in October or November. Consideration of revisions to the Constitution is reverting to the Council's Annual Meeting which is a more traditional timeline and the changes proposed in this report are therefore the first opportunity since the last update in November 2022 to seek the approval of the Council to these proposed amendments.
- 1.5 It should be noted that under the Leader and Cabinet form of executive governance, responsibility for the delegation of executive functions, including those local choice functions which the Council has designated as executive functions, does not rest with the Council, but is the responsibility of the Leader. The Leader may determine to exercise executive functions personally or to delegate their discharge to the Executive, a Committee of the Executive, an Executive Member, an area committee or an officer of the Council (without prejudice to the Leader's ability to exercise such functions personally). Consequently, the recommended delegations of executive functions set out in Part 3: Sections A, B and F of the Constitution are for the purpose of information only; recommended delegations of non-executive functions set out in those sections remain (subject to paragraph 1.6 below) a matter for the Council.
- 1.6 It should further be noted that (subject to limited exceptions, such as Full Council approval of the Licensing Policy Statement) the discharge of the functions of the Council as licensing authority under the Licensing Act 2003 and the Gambling Act 2005, and the further delegation of those functions to officers, is the statutory responsibility of the Licensing Committee. These were affirmed by the Licensing Committee on 23 October 2023. Consequently, the delegations of such licensing authority functions set out in Part 3: Sections C and F of the Constitution are for the purpose of information only; recommended delegations of other non-executive functions set out in those sections remain, however, a matter for the Council.
- 1.7 The proposed changes to the Constitution are set out in the attached revised versions and are shown as "track-changes" for clarity and show new wording in bold. Bold text has also been used to highlight certain changes in officer role titles that might not otherwise be immediately apparent.

- 1.8 To avoid repetition Members are advised that changes already approved by the Council in year, e.g. to reflect the changes to the remits of Scrutiny Committees and the name changes of the Environment and Climate Change Scrutiny Committee to the Environment, Climate Change and Neighbourhoods Scrutiny Committee and the Economy Scrutiny Committee to the Economy and Regeneration Scrutiny Committee as approved by the Council at its meeting on 17 May 2023 and to reflect the removal of the Code of Corporate Governance from the Constitution approved by the Council on 1 February 2023 will be included in the revised version of the Constitution without seeking further endorsement by the Council in this report.

## **2. General**

- 2.1 Changes are proposed to the Constitution to update the following which are referenced throughout:
- references to the 'Greater Manchester Transport Committee' have been changed to the 'Bee Network Committee' to reflect the name change to this Committee made by the Greater Manchester Combined Authority.
  - References to Executive Members have been changed to refer to their portfolios rather than their title for consistency and for future proofing.
  - Where appropriate gender-neutral language e.g. they/them rather than he/she, him /her has been adopted.
  - References to 'Deputy Chief Executive and City Treasurer' to 'Deputy Chief Executive' or 'City Treasurer' have been updated throughout the Constitution following the separation of these roles approved by the Council on 20 March 2024. Further detail on this in relation to delegations is set out in Section 4 of this report.
  - References to the post of Director HROD have been updated throughout to Director of Human Resources, Organisational Development & Transformation as designated in the report to the Personnel Committee in February 2024.
  - Any typographical errors have been addressed.

## **3. Part 2 of the Constitution - Articles**

- 3.1 In addition to the changes proposed at Section 2 of this report:
- Article 16.4(b) has been updated to change reference to the 'Manchester Clinical Commissioning Group' to 'Greater Manchester Integrated Care Board', to reflect the creation of the Integrated Care Board

- Article 14(4) has been amended to align the contractual threshold in respect of which written contracts are required with current thresholds in relevant legislation as set out in more detail in Section 6 of this report
- The decision of the Council on 20 March 2024 to designate the City Treasurer as “Section 151 Officer”, is reflected in the designation of the post of City Treasurer as “Chief Finance Officer” in Article 12.1(c).

#### **4. Part 3 of the Constitution – Responsibility for Functions**

4.1 In Part 3 Section B (Executive Functions) the functions delegated to the Bee Network Committee have been updated since, as the GMCA is now able to exercise the Road Traffic Act 1988 function in relating to road safety measures itself and has delegated this directly to the Bee Network Committee, this provision is no longer required.

4.2 In Part 3 Section C (Non-Executive Functions):

- The remit of the Standards Committee (as set out in Article 9 of the Constitution) has also been included in Part 3 Section C (Non- Executive Functions) for ease of reference and consistency as the remit of other Committees is set out in in this Section.
- A minor change is proposed in relation to delegations relating to ‘untidy land’ notices. to correspond with a change proposed to the Scheme of Delegation, Details regarding this are set out in paragraph 4 below.
- The remit of the Audit Committee has been updated so that approval of the Code of Corporate Governance is a function of this Committee following removal of the Code of Governance from the Constitution (as mentioned) in paragraph 1.8 of this report.
- The reference to ‘Clinical Commissioning Groups’ has been changed to ‘Integrated Care Boards’ as Integrated Care Boards have replaced Clinical Commissioning Groups.

**4.3- The Council’s Scheme of Delegation.** (Part 3, Section F of the Constitution).

##### **4.3.1 General Delegations.**

- A duplicated general delegation to Officers relating to the filling of vacant posts within approved establishments has been removed
- Delegation number 45 (and the Financial Regulations) require that surplus stock, equipment, etc. must be sold to the highest bidder via tender/auction. This has often been found not to represent best value by the time storage, transportation, and auction fees are taken into account. In practice this has been addressed by seeking waivers under the Contract Procurement Rules. To remedy this it is proposed that the procedure for the

disposal of assets is changed from a tender process to a more sustainable and economical methodology which represents best value and/or serves the financial interests of the Council. Linked to this an amendment is proposed to update general delegation 38 to expand the description of the types of assets that may be written off to include surplus or obsolete equipment and office furniture plant, machinery and stock and to require disposals to be either (as now) subject to the agreement of the City Treasurer or in accordance with guidance issued by the City Treasurer. These changes have been requested by the Council's Finance and Procurement Teams.

#### 4.3.2 Chief Executive

- A change is proposed in relation to approval of Special Severance Payments. Determination of severance packages of £100,000 and above are already reserved to the full Council by Article 4 of the Constitution. Statutory guidance published in May 2022 accessible by the following link [Statutory guidance on the making and disclosure of Special Severance Payments by local authorities in England - GOV.UK \(www.gov.uk\)](https://www.gov.uk/government/uploads/system/uploads/attachment_data/file/111111/Statutory_guidance_on_the_making_and_disclosure_of_Special_Severance_Payments_by_local_authorities_in_England_-_GOV.UK_(www.gov.uk).pdf) states that 'Special Severance Payments' of £20,000 and above, but below £100,000 for reasons of accountability must be personally approved and signed off by the Head of Paid Service (i.e. the Chief Executive), with a clear record of the Leader's approval and that of any others who have signed off the payment. The Statutory Guidance also states that payments below £20,000 must be approved according to the local authority's scheme of delegation.
- In this context in brief 'Special Severance Payments' are payments made to staff and contractors outside of statutory, contractual or other requirements when leaving employment in public service. The Government is of the view that paying additional, discretionary sums, on top of these entitlements ("special severance payments") do not usually provide good value for money or offer fairness to the taxpayers who fund them and so, should only be considered in exceptional cases and should be in line with best value principles including securing value for money in spending decisions. The Statutory Guidance states that the following types of payments are likely to constitute 'Special Severance Payments':
  - a) any payments reached under a settlement agreement between the employer and employee to discontinue legal proceedings without admission of fault
  - b) the value of any employee benefits or allowances which are allowed to continue beyond the employee's agreed exit date
  - c) write-offs of any outstanding loans
  - d) any honorarium payments
  - e) any hardship payments

f) any payments to employees for retraining related to their termination of employment

and that the following do not constitute Special Severance Payments:

a) statutory redundancy payments

b) contractual redundancy payments, whether applicable to voluntary or compulsory redundancy, and whether agreed by collective agreement or otherwise

c) severance payments made in accordance with that local authority's policy adopted pursuant to Regulation 7 of the Local Government (Early Termination of Employment) (Discretionary Compensation) (England and Wales) Regulations 2006

d) a strain cost paid to the relevant LGPS administering authority under LGPS Regulation 68(2) which results from a LGPS member's retirement benefits becoming immediately payable without reduction under Regulation 30(7), or under Regulation 30(6) where the employer has waived the reduction under Regulation 30(8)

e) payment for untaken annual leave

f) payments ordered by a court or tribunal or agreed as part of a judicial or non-judicial mediation

g) payments made as part of the ACAS Early Conciliation process

h) payments made to compensate for injury or death of the worker

i) payments made in consequence of the award of ill-health retirement benefits under Regulation 35 of the LGPS Regulations

- To give effect to the Statutory Guidance it is proposed to include an additional delegation to the Chief Executive for the payment of Special Severance Payments between £20,000 and up to but not including £100,000 in consultation with the Leader and to add to the City Treasurer's delegations the power to approve Special Severance Payments up to but not including £20,000. The choice of City Treasurer has been informed by the reasons given in the Statutory Guidance regarding the need to ensure value for public money. These changes have been discussed with the City Treasurer and the Director of Human Resources, Organisational Development & Transformation and will bring the position in line with the statutory guidance.
- As now, the position regarding non 'Special Severance Payments' (as these are effectively contractual or statutory payments) will be managed under usual management arrangements and overseen by the Director of Human Resources, Organisational Development & Transformation.

- A further change is requested by the City Treasurer to delete the delegation relating to the Chief Executive attending and voting at meetings of Manchester Airport Holdings Ltd as the Leader of the Council attends such meetings on behalf of the Council as Shareholder and as there is already a delegation to the Chief Executive which would cover this situation if needed (with a minor amendment) making the Airport delegation redundant.

#### 4.3.3 Deputy Chief Executive and City Treasurer

- The delegations to the Deputy Chief Executive and City Treasurer role have been reallocated to reflect that this role has been split between the new City Treasurer and Deputy Chief Executive roles. As shown in more detail in the attached revised Scheme of Delegation, functions that are financial have been allocated to the City Treasurer together with any functions that sit within Teams in his reporting line as set out in the report to the Council's Personnel Committee in February 2024. Allocations have been informed by the historical arrangements in place prior to the merging of these 2 roles and following discussions with the Chief Executive's Office and the City Treasurer.
- In brief all the existing specific delegations of the Deputy Chief Executive and City Treasurer have been moved to the City Treasurer except (in summary) the following which will sit with the Deputy Chief Executive:
  - i. To exercise a strategic overview of the Council's functions and services relating to environmental strategy
  - ii. To consider grievances which cannot appropriately be resolved at departmental level
  - iii. To discharge the functions of the Council relating to Building Cleaning, Depot Facilities Management, Public Conveniences, Security Storage and Archiving and Town Hall Services (including security
  - iv. Insofar as they relate to the Council's operational estate, dealing with all matters relating to the management of the Council's interests in land and property (excluding acquisition and disposal of such interests) and without prejudice to the general delegations of Chief Officers and Chapter 3A Officers in relation to the management of operational land and property or to specific estate management functions delegated to the Strategic Director (Growth and Development)
  - v. Determining appropriate arrangements in relation to the Council's duty to manage asbestos in non-domestic premises insofar as they relate to the Council's operational estate.
  - vi. To pay compensation in lieu of wages to persons excluded from work on public health grounds.
  - vii. To exercise the Council's functions in relation to the naming and numbering of streets and their renaming and renumbering. The existing delegation refers to the powers of street numbering/re-numbering contained in section 22 of the Greater Manchester Act 1981. It is proposed to amend the delegation to also refer to similar powers of street naming/renaming contained in sections 17-19 of the Public Health Act 1925 for the sake of completeness.

- viii. Except where the functions are non-executive, specifically delegated to other officers, delegated to the Greater Manchester Combined Authority or delegated to a joint committee, to discharge the Council's functions in relation to:
- a. On-street and off-street parking including without prejudice to the generality of the foregoing the administration of parking within the Council's remit and dealing with the misuse of permits and the badges on vehicles, included disabled person's badges;
  - b. Parking and Bus lane enforcement (except those relating to appointment etc. of Adjudicators to be discharged by joint committee arrangements) and to approve and authorise officers to undertake the function of cancelling penalty Charge Notices;
  - c. The removal and immobilisation of vehicles in accordance with the Vehicle Excise Duty (Immobilisation, Removal and Disposal of Vehicles) Regulations 1997 and to store and dispose of such vehicles;
  - d. Dealing with abandoned vehicles and vehicles causing a nuisance and to take whatever action is required, including authorisation of entry onto land and premises;
  - e. Providing and maintaining parking spaces on the highway and regulate parking on grass verges, central reservations and footways; and
  - f. Determining applications for operational car parking spaces in the Council's car parks and to reserve car parking spaces in off street car parks for exhibitions and other events, subject to capacity being available.
- ix. To discharge the functions of the Council as Billing Authority for the purposes of the Business Improvement Districts (England) Regulations 2004 and the Business Improvement Districts (Property Owners) (England) Regulations 2014.
- x. To determine (in consultation with the relevant Executive Member) whether to accept, reject or modify any expression of interest in providing a relevant service that is made to the Council under the Localism Act 2011 (Community Right to Challenge).
- xi. To make amendments to the Council's Community Right to Challenge Procedure.
- xii. To have operational responsibility for the Revenue and Benefits Services and in particular to exercise the following functions:
- To assess and administer the Council Tax support scheme and Housing and Education benefits.
  - To determine requests for the award of discretionary housing payments in exceptional circumstances.
  - To grant discretionary rate relief under Section 47 of the Local Government Finance Act 1988.



- To reduce or remit liability for rates under Section 49 of the Local Government Finance Act 1988.
- To reduce the liability of a person for council tax under Section 13A of the Local Government Finance Act 1992.
- To institute and conduct recovery proceedings (including winding up of companies) in relation to council tax, poll tax and national non-domestic rates
- To nominate persons to appear in Courts of Law in connection with any proceedings for distress or other recovery action relating to the National Non-Domestic Rate and Council Tax.

Paragraph xii above would give operational responsibility for the Revenue and Benefits Services to the Deputy Chief Executive in accordance with the Personnel Committee report of February 2024. To ensure however that the City Treasurer is adequately aware of decisions in this area to enable him to properly exercise his general financial supervisory role as Section 151 Officer it is proposed to add a delegation to the City Treasurer as follows:

*Without prejudice to the corresponding delegations to the Deputy Chief Executive, to have a strategic financial oversight in relation to the following functions:*

- a) *the assessment and administration of the Council Tax support scheme and Housing and Education benefits;*
  - b) *the determination of requests for the award of discretionary housing payments in exceptional circumstances;*
  - c) *the granting of discretionary rate relief under Section 47 of the Local Government Finance Act 1988;*
  - d) *the reduction or remission of liability for rates under Section 49 of the Local Government Finance Act 1988;*
  - e) *the reduction in the liability of a person for council tax under Section 13A of the Local Government Finance Act 1992; and*
  - f) *the institution and conduct of recovery proceedings (including winding up of companies) in relation to council tax, poll tax and national non-domestic rates*
- It is also proposed to delete the City Treasurer's delegation in relation to MANSAT in accordance with the instructions of the City Treasurer who has confirmed this company no longer exists.

#### **4.3.4 Strategic Director (Growth and Development)**

- It is proposed to move the delegation currently with the Strategic Director (Growth and Development) relating to preparation and maintenance of Local Development Plans under Part 2 of the Planning and Compulsory Purchase Act 2004 to the Director of Planning, Building Control and Licensing as requested by the latter as in practice this function has been undertaken by the Planning Department from around the time the current Strategic Director

Growth & Development took up their role so this revision would reflect the actual arrangements. It has been indicated that the delegation is infrequently used.

- A delegation proposed to be added to the delegations to the Strategic Director (Growth and Development) to enable them to appoint 'appointing officers' under the Party Wall Act 1996. The Council is required to appoint an officer ('the appointing officer') to appoint a surveyor to resolve disputes under the Act where the parties to the dispute cannot agree on an alternative surveyor themselves. The Council received its first request to undertake this under this Act recently. As there was no existing delegation authority to appoint 'appointing officers' the function was exercised by the Chief Executive as an interim measure (under her general delegation to discharge any function which has not been specifically delegated) and the proposed amendment is to allocate this delegation to the appropriate Chief Officer.

**4.3.5 Strategic Director (Neighbourhoods)** It is proposed to amend delegations to the Strategic Director (Neighbourhoods) relating to estate management to simplify and clarify the wording including separating out the regarding the service of Notices to Quit. The reference to the City Solicitor in his delegation has been deleted as this does not require a specific delegation. It is proposed to add a delegation to enable the Strategic Director of Neighbourhoods to exercise powers to remove of projections from buildings under s 152 of the Highways Act 1980 as this type of enforcement now sits with this Chief Officer.

**4.3.6 Director of Population Health and Wellbeing.** References to 'Public Health England' are proposed to be changed to the 'Office for Health Improvement and Disparities' to reflect that Public Health England has been dissolved and in this context has been replaced by the Office for Health Improvement and Disparities.

**4.3.7 Director of Highways** It has been identified that an amendment is needed to make it clearer that the power for making off street parking places should sit with the Director of Highways. It is proposed to amend the existing Delegation 42(a) to the Director of Highways to include the making of orders concerning pay and display car parks. A new delegation is also to be added to cover the enforcement of Moving Traffic Contraventions under the Traffic Management Act 2004 as approved by the Executive on 14 September 2022. Authority to enforce has previously been sought from the Chief Executive as an interim measure under her general delegation to discharge any function which has not been specifically delegated and the proposed amendment is to provide a specific delegation regarding this to the appropriate officer.

#### **4.3.8 Director of Planning Building Control and Licensing.**

Currently the authority to issue untidy land notices under section 215 of the Town and Country Planning Act 1990 sits with both the Director of Planning Building Control and Licensing and the Strategic Director (Neighbourhoods) in each case with a proviso that each consult with the other before using the power to avoid potential duplicate notices being issued. The Director of Planning Building Control and Licensing has requested (as the power is mainly used by that Director's Service) for

efficiency that the need for consultation by her with the Strategic Director (Neighbourhoods) is removed. The requirement for consultation with the Director of Planning Building Control and Licensing by the Strategic Director (Neighbourhoods) is retained which should solve any potential duplication of services of such notices.

## 5. Part 4 – Rules of Procedure

5.1 In addition to the changes referred to in Section 2 of this report it is proposed to make minor amendments as follows:

- **The Council Procedure Rules.** An update to Rule 11 of the Council Procedure Rules is proposed to provide that in addition to cancelling or postponing meetings, meetings dates may also be brought forward. This is intended to cover exceptional circumstances such as moving a Council meeting from the pre- election period as approved by Council at its meeting in October 2023.
- **Access to Information Rules.** Rule 15 of the Access to Information Procedure Rules (Part 4, Section B) is proposed to be revised in line with changes requested in 2023 by the Council’s then Deputy Chief Executive and City Treasurer to:
  - remove the exemption relating to Direct Service Organisations / Direct Labour Organisations as these references are no longer relevant. and
  - to add two new exemptions to the definition of ‘key decision’ to cover situations where urgency is invariably required. One relates to care plans where the need to provide sometimes high value care packages for children and adults with complex needs can arise suddenly for example following a court decision and the other in relation to certain officer decisions that need to be taken urgently out of hours to implement Council's Emergency Management Plan. The reasoning for this is it would be better for such decisions to be exempted from the key decision definition rather than have to apply the urgency provisions in each case.
- **Scrutiny Procedure Rules.** Updates are proposed to the Scrutiny Procedure Rules (Part 4, Section E) of the Constitution:
  - to change references to the ‘Manchester Clinical Commissioning Group’ to ‘Greater Manchester Integrated Care Board’ to reflect the creation of the Integrated Care Board.
  - to add wording in Rule 13.5 to clarify for the avoidance of doubt that a decision may not be called-in if the relevant Scrutiny Committee has already wholly endorsed the particulars of the decision which reflects current practice
- **The Officer Employment Rules** (Part 4 Section E) have been updated to change the reference to ‘Proper Officer’ in Annex 1 and Annex 3 to

‘Deputy Chief Executive’ in line with arrangements in place before the merger of this role with that of the City Treasurer.

## **6. Part 5 – Financial Procedures**

- 6.1 In addition to the changes referred to in Section 2 of this report in **Section C Financial Systems and Procedures** as requested in 2023 by the then Deputy Chief Executive and City Treasurer it is proposed to add an approval procedure to ensure the Council complies with the Subsidy Control Act 2022 when giving financial assistance. The Subsidy Control Act replaces the state aid provisions following the UK leaving the European Union. Provisions on the disposal of assets has been added from the Contract Procurement Rules. Changes are proposed (as indicated at paragraph 4 above) in relation the wording relating to disposal of assets for consistency.
- 6.2 In **Section D Contract Procurement Rules** – It is proposed to increase the financial thresholds from £25,000 to £30,000 to reflect the increased thresholds in national legislation. A number of drafting changes are proposed to future proof contract procedures to reflect new legislation. Provisions on the disposal of assets has been removed and added to Part C of the Financial Procedure Rules as it sits more closely in this Part. A technical change is proposed to the wording in relation to sealing of documents (replacing the reference to consecutive sealing number with unique sealing number) to facilitate the move to electronic sealing of documents as part of the move to increased use of technology.
- 6.3 Changes to Part 5 have been made in accordance with instructions from the Council’s Finance and Procurement Teams

## **7. Part 6 – Codes and Protocols**

- 7.1 The Planning Protocol has been updated to reflect minor changes endorsed by the Council’s Standards Committee in June 2023 and reported to full Council as part of the minutes of that meeting.
- 7.2 A proposed amendments to the Use of Resources Guidance for Members were supported by the Standards Committee at its meeting in June 2023 and adopted by Council at its meeting in July 2023. The main changes relate to Information Security including completion of cyber security e-learning, and to clarify that ‘@manchester.gov.uk’ email addresses and Councillor telephone numbers are Council resources. The only further amendment proposed relates to the name change of the ‘Greater Manchester Transport Committee’ to the ‘Bee Network Committee’.

## **8. Part 7 of the Constitution – Members’ Allowances Scheme**

- 8.1 Members Allowances are independently recommended to the Council.

- 8.2 In February 2022 the Council's Independent Remuneration Panel (IRP) issued a report that recommended that a number of amendments be made to the Members Allowances Scheme. Those recommendations were reported to Council on 18 May 2022 and the recommendations were agreed by Council.
- 8.3 Unfortunately, one of the recommendations of the IRP, in relation to broadband allowances to Members, was not referenced in the report to Council. In relation to this the IRP recommended as follows:

**“Expenses deemed included within the Basic Allowance**

32. Currently, the Basic Allowance has been deemed to be sufficient to cover broadband provision, in-City travel and parking, in-City subsistence, all telephone expenses (including mobile phone) office and all other incidental expenses not otherwise expressly specified in the allowances scheme.
33. There was some very limited representation arguing that the Basic Allowance should not be deemed to cover broadband, telephone and printing costs on the basis that the Basic Allowance was no longer sufficient.
34. The IRP notes that it is common for the Basic Allowance in the more compact Councils includes in-City travel and subsistence expenses. It is now common for the same to apply to broadband and telephone costs as broadband and telephones are now so omnipresent to be perceived as a utility. As such, the IRP has not changed the current range of expenses that the Basic Allowance is deemed to cover.
35. The IRP recommends that there should be no change to the current range of expenses that the Basic Allowance is deemed to include.”
- 8.4 No Member of the Council currently receives the £15 per month payment in respect of their broadband usage.
- 8.5 Given the above the Council is recommended to agree the deletion of paragraph 15 of the Members' Allowances Scheme.

**9. Part 8 of the Constitution – Management Structure**

- 9.1 It is proposed that amendments are made to the management structure set out in Part 8 of the constitution to reflect changes to the senior management structure.

**10. Recommendations**

- 10.1 The recommendations appear at the beginning of this report.

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# **PART 2**

# **ARTICLES OF THE CONSTITUTION**

# CONTENTS

**Article 1.** The Constitution

**Article 2.** Members of the Council

**Article 3.** Citizens and the Council

**Article 4.** The Full Council

**Article 5.** Chairing the Council

**Article 6.** Scrutiny Committees

**Article 7.** The Executive

**Article 8.** Regulatory and other Committees

**Article 9.** The Standards Committee

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**Article 11.** Joint Arrangements

**Article 12.** Officers

**Article 13.** Decision Making

**Article 14.** Finance, Contract and Legal matters

**Article 15.** Review and Revision of the Constitution

**Article 16.** Suspension, Interpretation and Publication of the Constitution

**Schedule 1.** Description of Executive Arrangements



## ARTICLES OF THE CONSTITUTION

**Article 1****The Constitution****1.1 Powers of the Council**

The Council will exercise all its powers and duties in accordance with the law and this Constitution.

**1.2 The Constitution**

This Constitution is the Constitution of Manchester City Council.

**1.3 Purpose of the Constitution**

The purpose of the Constitution is to:

1. enable the Council to provide clear leadership to the community in partnership with citizens, businesses and other organisations;
2. support the active involvement of citizens in the process of local authority decision making;
3. help councillors represent their constituents more effectively;
4. enable decisions to be taken efficiently and effectively;
5. create a powerful and effective means of holding decision-makers to public account;
6. ensure that no one will review or scrutinise a decision in which they were directly involved;
7. ensure that those responsible for decision making are clearly identifiable to local people and that they explain the reasons for decisions; and
8. provide a means of improving the delivery of services to the community.

**1.4 Review of the Constitution**

The Council will monitor and evaluate the operation of the Constitution as set out in Article 15.

## ARTICLES OF THE CONSTITUTION

**Article 2****Members of the Council****2.1 Composition and eligibility**

- (a) **Composition.** The Council comprises 96 members, otherwise called councillors. Three councillors are elected by the voters of each ward in accordance with a scheme drawn up by the Electoral Commission and approved by the Secretary of State.
- (b) **Eligibility.** Only individuals who are not disqualified from holding office and are registered voters of the area or those living or working in the area, or those who occupy any land or premises in the area, are eligible to hold the office of councillor.

**2.2 Election and terms of councillors**

**Election and terms.** The whole Council was elected in May 2018 with councillors elected for terms of office of either one, two or four years. Thereafter, beginning in 2019 there will be an ordinary election of one third of all councillors held on the first Thursday in May each year, except that in 2021, and every fourth year after there will be no regular election. From 2019 the terms of office of councillors elected in that year onwards (except those elected in by-elections) will be four years starting on the fourth day after being elected and finishing on the fourth day after the date of the regular election four years later.

**2.3 Roles and functions of all councillors**

- (a) **Key roles.** All councillors will:
- (i) collectively be the ultimate policy-makers and carry out a number of strategic and corporate management functions;
  - (ii) bring views of their communities into the Council's decision-making process;
  - (iii) effectively represent the interests of their ward and of individual constituents;
  - (iv) deal with individual casework and act as an advocate for constituents in resolving particular concerns or grievances;
  - (v) respond to constituents' enquiries and representations, fairly and impartially;
  - (vi) participate in the governance and management of the Council;
  - (vii) be available to represent the Council on other bodies; and

**ARTICLES OF THE CONSTITUTION**

(viii) maintain the highest standards of conduct and ethics.

**(b) Rights and duties**

- (i) Councillors will have such rights of access to such documents, information, land and buildings of the Council as are necessary for the proper discharge of their functions and in accordance with the law.
- (ii) Councillors will not make public information which is confidential or exempt without the consent of the Council or divulge information given in confidence to anyone other than a councillor or officer entitled to know it.
- (iii) Subject to the Code of Conduct for Members, the common law rule against bias and the Council Procedure Rules, councillors have a right to speak and vote on any item before the Council and councillors who are members of a committee have a right to speak and vote on any item before that committee.
- (iv) For these purposes, "confidential" and "exempt" information are defined in the Access to Information Rules in Part 4 of this Constitution.

**2.4 Conduct**

Councillors will at all times observe the Code of Conduct for Members set out in Part 6 of this Constitution.

**2.5 Allowances**

Councillors will be entitled to receive allowances in accordance with the Members' Allowances Scheme set out in Part 7 of this Constitution.

## ARTICLES OF THE CONSTITUTION

## **Article 3**

### **Citizens and The Council**

#### **3.1 Citizens' rights**

Citizens have the following rights. Their rights to information and to participate are explained in more details in the Access to Information Rules in Part 4 Section B of this Constitution:

- (a) **Voting and petitions.** Citizens on the electoral roll for the area have the right to vote and sign a petition to request a referendum for a change in the Council's governance arrangements.
- (b) **Information.** Citizens have the right to:
  - (i) attend meetings of the Council and its committees except where confidential or exempt information is likely to be disclosed, and the meeting is therefore held in private;
  - (ii) attend meetings of the Executive except where confidential or exempt information is likely to be disclosed; find out from the Register of Key Decisions what key decisions will be taken by the Executive and when;
  - (iii) see reports and background papers (except where confidential or exempt), and any records of decisions made by the Council and the Executive;
  - (iv) inspect the Council's accounts and make their views known to the external auditor; and
  - (v) exercise their rights under the Freedom of Information Act 2000, the Environmental Information Regulations 2004 to obtain information held by the Council.
- (c) **Participation.** Citizens have the right to submit petitions to the Council in accordance with the Council's petition scheme, and questions to Executive members and contribute to investigations by scrutiny committees.
- (d) **Complaints.** Citizens have the right to complain to:
  - (i) the Council itself under its complaints scheme;
  - (ii) the Ombudsman after using the Council's own complaints scheme;
  - (iii) the Monitoring Officer about a breach of the Code of Conduct for Members.

#### **3.2 Citizens' responsibilities**

Citizens must not be violent, abusive or threatening to councillors or officers and must not wilfully harm things owned by the Council, councillors or officers.

## ARTICLES OF THE CONSTITUTION

**Article 4****The Full Council****4.1 Meanings**

- (a) **Policy Framework.** The policy framework means the following plans and strategies: -
- (i) those required by law to be adopted by the Council:
- Crime and Disorder Reduction Strategy under Sections 5 and 6 of the Crime and Disorder Act 1998;
  - Youth Justice Plan under Section 40 of the Crime and Disorder Act 1998.
  - Development Plan Documents under Section 15 of the Planning and Compulsory Purchase Act 2004, and Plans and Alterations which together comprise the Development Plan under Part 2 of, and Schedule 8 to, the Planning and Compulsory Purchase Act 2004;
  - Licensing Authority Policy Statement under Section 349 of the Gambling Act 2005;
- (ii) those other plans and strategies which the Council has determined should be adopted by the Council as part of the Policy Framework:
- The Council's Corporate Plan;
  - ~~...Code of Corporate Governance;~~
  - Work and Skills Plan;
  - Housing Strategy
  - Tenancy Strategy (under Section 150 of the Localism Act 2011);
  - The plan and strategy which comprise the Housing Investment Programme;
  - Manchester Joint Health and Wellbeing Strategy (under the Health and Social Care Act 2012);
  - Manchester Strategy for Improving Outcomes for Children, Young People and their Families;
  - Climate Change Action Plan;
  - Cultural Strategy;

## ARTICLES OF THE CONSTITUTION

- Neighbourhood Focus Strategy;
  - Asset Management Plans;
  - ICT Strategy and Information Strategy;
  - Licensing Policy Statement (under the Licensing Act 2003);
  - Policies relating to Periodic Electoral Reviews, Local Government Reviews and reviews of parliamentary constituencies;
  - Corporate Enforcement Policy;
  - The Manchester Strategy ('Our Manchester');
  - Our Manchester Industrial Strategy
  - Other plans and strategies determined by the Council.
- (b) **Budget.** The budget includes the calculations required under section 31A, 31B and 36 of the Local Government Finance Act 1992 and in particular the council tax requirement, the basic rate of council tax and the setting of council tax for respective bands and decisions relating to the control of the Council's borrowing, capital expenditure, treasury management, annual investment strategy and minimum revenue provision.
- (c) **Housing Land Transfer.** Housing Land Transfer means the approval or adoption of applications (whether in draft form or not) to the Secretary of State for approval of disposal of land used for residential purposes where consent is required under sections 32 or 43 of the Housing Act 1985.

### 4.2 Functions of the full Council

Only the Council will exercise the following functions:-

- (a) adopting and changing the Constitution, including the determination of which of the Council's "Local Choice" functions (as defined in The Local Authorities (Functions and Responsibilities) (England) Regulations 2000) should be executive functions and which should be non-executive functions;
- (b) approving, adopting, amending, modifying, revising, varying, withdrawing or revoking (unless, by law, expressly the responsibility of the Executive, or otherwise authorised by any provision of this Constitution) any plan or strategy which forms part of the policy framework or budget;
- (c) the approval, for the purposes of public consultation in accordance with regulation 10 or 22 of the Town and Country Planning (Development Plans) (England) Regulations 1999, of draft proposals associated with the preparation of alterations to, or the replacement of, a development plan;

## ARTICLES OF THE CONSTITUTION

- (d) the approval, for the purpose of its submission to the Secretary of State for independent examination under Section 20 of the Planning and Compulsory Purchase Act 2004, of a development plan document;
- (e) approving any application to the Secretary of State in respect of any Housing Land Transfer;
- (f) determining, as licensing authority, its policy with respect to the exercise of its licensing functions under the Licensing Act 2003, and making revisions to such policy at such times as it considers appropriate;
- (g) subject to the urgency procedure contained in the Access to Information Procedure Rules or the Budget and Policy Framework Policy Rules in Part 4 of this Constitution, making decisions about any matter in the discharge of an executive function which is covered by the policy framework or the budget where the decision maker is minded to make it in a manner which would be contrary to the policy framework or contrary to/or not wholly in accordance with the budget;
- (h) appointing the Leader;
- (i) agreeing and/or amending the terms of reference for committees, deciding on their composition and making appointments to them;
- (j) appointing representatives to outside bodies unless the appointment is an executive function or has been delegated by the Council;
- (k) adopting a members' allowances scheme;
- (l) changing the name of the area, conferring the title of honorary alderman or alderwoman or freedom of the borough;
- (m) resolving under Section 166 of the Gambling Act 2005 not to issue casino premises licenses;
- (n) making, amending, revoking, re-enacting or adopting bye-laws and promoting or opposing the making of local legislation or personal Bills;
- (o) making requests for single-member electoral areas;
- (p) passing a resolution to change a scheme for elections;
- (q) deciding whether to make proposals for a change in governance arrangements, deciding whether the change should be subject to approval in a referendum and passing a resolution to make a change;
- (r) deciding the term of office of the Leader;
- (s) including a provision in executive arrangements to remove the Leader by resolution and passing such a resolution;

## ARTICLES OF THE CONSTITUTION

- (t) making an order giving effect to recommendations made in a community governance review;
- (u) duty to make a change in governance arrangements;
- (v) all local choice functions set out in Part 3 of this Constitution, which the Council has decided should be undertaken by itself;
- (w) preparing and amending the annual Pay Policy Statement under Section 38 of the Localism Act 2011;
- (x) making determinations in relation to the remuneration of posts (including coroner posts) whose remuneration is, or is proposed to be, or would become, £100,000 p.a. or above, and severance packages of £100,000 and above;
- (y) adopting a Code of Conduct for elected and co-opted members of the Council under section 27 of the Localism Act 2011;
- (z) making or revising a council tax reduction scheme under section 13 A(2) of the Local Government Finance Act 1992;
- (za) exercising the power under section 153C(1) of the Small Business, Enterprise and Employment Act 2015 in relation to the relaxation of the restriction on exit payments made by the Council, subject to the consent of the Treasury or acting in compliance with directions given by the Treasury; and
- (zb) all other matters which, by law, must be reserved to Council.

### 4.3 Council meetings

There are three types of Council meeting:-

- (a) the annual meeting;
- (b) ordinary meetings;
- (c) extraordinary meetings;

and they will be conducted in accordance with the Council Procedure Rules in Part 4 Section A of this Constitution.

### 4.4 Responsibility for functions

The Council will allocate responsibilities for and maintain a record in Part 3 of this Constitution setting out the responsibilities for the discharge of:-

- (a) the Council's functions which are not the responsibility of the Executive;
- (b) local choice functions which are not the responsibility of the Executive.



## ARTICLES OF THE CONSTITUTION

**Article 5****Chairing the Council****5.1 Role and function of the Lord Mayor**

The Lord Mayor and in his/her absence, the Deputy Lord Mayor will have the following roles and functions:

1. to uphold and promote the purposes of the Constitution;
2. to preside over meetings of the Council so that its business can be carried out efficiently and with regard to the rights of councillors and the interests of the community;
3. to endeavour to ensure that the Council meeting is a forum for the debate of matters of concern to the local community and the place at which members who are not on the Executive are able to hold the Executive to account;
4. to promote public involvement in the Council's activities;
5. to attend such civic and ceremonial functions as the Council and he/she determines appropriate.

5.2 The Lord Mayor and Deputy Lord Mayor will be elected annually at the Annual Meeting of the Council.

5.3 Without prejudice to 5.2 above, the Leaders of the political groups represented on the Council may agree a protocol for determining which political group will provide the Lord Mayor and Deputy Lord Mayor in future years.

## ARTICLES OF THE CONSTITUTION

## **Article 6**

### **Scrutiny Committees**

#### **6.1 Terms of Reference**

The Council will appoint the scrutiny committees set out below to discharge the functions conferred by section 9F of the Local Government Act 2000.

#### **Committee**

Children and Young People

Communities and Equalities

Environment and Climate Change

Economy

Health

Resources and Governance

The specific remit and terms of reference of the above Committees are set out in Part 3 Section E of this Constitution.

#### **6.2 General Role**

Within their terms of reference, scrutiny committees will:

- (i) review and/or scrutinise decisions made or actions taken in connection with the discharge of any of the Council's functions;
- (ii) make reports and/or recommendations to the full Council and/or the Executive and/or any joint or area committee in connection with the discharge of any functions;
- (iii) make reports and/or recommendations to the full Council and/or the Executive on any matter affecting the area or its inhabitants;
- (iv) exercise (subject to the Scrutiny Procedure Rules) the right to call in decisions made but not yet implemented by the executive or by an officer (where the officer has made an executive key decision) and to recommend that the decision be reconsidered by the Executive or officer who made it;
- (v) arrange for its function under (i) above as regards any decision to be exercised by the full Council;
- (vi) require members of the Executive or officers of the authority to attend before it to answer questions, and invite other persons to attend;

## ARTICLES OF THE CONSTITUTION

- (vii) appoint one or more sub-committees and arrange for the discharge of any of its functions by such a sub-committee.

### 6.3 Specific functions

- (a) **Policy development and review.** Scrutiny committees may:

- (i) assist the Council and the Executive in the development of its budget and policy framework by in-depth analysis of policy issues;
- (ii) conduct research, community and other consultation in the analysis of policy issues and possible options;
- (iii) consider and implement mechanisms to encourage and enhance community participation in the development of policy options;
- (iv) question members of the Executive and/or committees and chief officers about their views on issues and proposals affecting the area; and
- (v) liaise with other external organisations operating in the area, whether national, regional or local, to ensure that the interests of local people are enhanced by collaborative working.

- (b) **Scrutiny.** Scrutiny committees may:

- (i) review and scrutinise the decisions made by and performance of the Executive and/or committees and council officers both in relation to individual decisions and over time;
- (ii) review and scrutinise the performance of the Council in relation to its policy objectives, performance targets and/or particular service areas;
- (iii) question members of the Executive and/or committees and chief officers about their decisions and performance, whether generally in comparison with service plans and targets over a period of time, or in relation to particular decisions, initiatives or projects;
- (iv) make recommendations to the Executive and/or appropriate committee and/or Council arising from the outcome of the scrutiny process;
- (v) review and scrutinise the performance of other public bodies in the area and invite reports from them by requesting them to address the scrutiny committee and local people about their activities and performance, and question and gather evidence from any person (with their consent).

- (c) **Support.** Chief officers will provide the necessary support for scrutiny committees.

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- (d) **Reporting.** Scrutiny committees will publish reports on their workings and make recommendations for future work programmes and amended working methods if appropriate.

### 6.4 Crime and Disorder Committee

- (a) One scrutiny committee will be designated as the Crime and Disorder Committee. Its responsibilities will include:-

- to review or scrutinise decisions or actions taken in connection with the discharge by the responsible authorities of their crime and disorder functions;
- to make reports and recommendations to the Council or Executive with respect to the discharge of those functions.

- (b) **“Responsible authorities” include:-**

- Manchester City Council;
- every provider of probation services operating within Manchester in pursuance of arrangements under Section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities;
- the Chief Constable of Greater Manchester Police;
- the Greater Manchester Combined Authority in respect of fire and rescue functions for the Manchester area;
- **Greater Manchester Integrated Care Board (ICB)**~~Manchester Clinical Commissioning Group (CCG).~~

- (c) **“Crime and Disorder Functions” are:-**

- reducing crime and disorder in the area (including anti-social and other behaviour adversely affecting the local environment); and
- combating the misuse of drugs, alcohol and other substances in the area and reduction of re-offending in the area.

### 6.5 Health Scrutiny Committee

One scrutiny committee will be designated as the Health Scrutiny Committee to discharge the health scrutiny functions of the Council under Regulations 21 to 23, 26 and 27 of The Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013. Its responsibilities will include:-

- to review and scrutinise any matter relating to the planning, provision and operation of the health service in the Council’s area;
- to make reports and recommendations to relevant NHS bodies and health service providers.

## ARTICLES OF THE CONSTITUTION

**6.6 Proceedings of scrutiny committees**

Scrutiny committees will conduct their proceedings in accordance with the Scrutiny Procedure Rules set out in Part 4 Section E of this Constitution.

**6.7 Joint Co-ordinating Panel****(a) Role**

There will be a Joint Co-ordinating Panel whose role will be: -

- to act as a mechanism to allow for dialogue between the Executive and the scrutiny committee;
- to assist in forward planning of the work programmes of the Executive and the scrutiny function
- to overview the Council's best value programme to consider proposals for the use of the scrutiny budget

**(b) Membership**

The Joint Co-ordinating Panel will comprise the Chairs of the Scrutiny Committees, the Leader and two other members of the Executive.

**6.8 Chairs**

Chairs of scrutiny committees will receive a standing invitation to attend public meetings of the Executive and receive the relevant papers, including parts of the meeting where exempt matters are being discussed.

**6.9 Scrutiny Officer**

The Council will designate one of their officers to act as the Council's Scrutiny Officer who will discharge the following functions:

- (a) to promote the role of the Council's scrutiny committees;
- (b) to provide support for the Council's scrutiny committees and the members of those committees;
- (c) to provide support and guidance to –
  - (i) members of the Council;
  - (ii) members of the Executive; and
  - (iii) officers of the Council

in relation to the functions of the Council's scrutiny committees.

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**Article 7****The Executive****7.1 Role**

The Executive Leader ("the Leader") and the Executive will carry out all of the local authority's functions which are not the responsibility of any other part of the local authority, whether by law or under this Constitution.

**7.2 Form and composition**

The Executive will consist of the Leader together with between two and nine councillors appointed to the Executive by the Leader (one of whom will be appointed by the Leader to act as Deputy Leader).

**7.3 Leader**

- (a) The Leader will be a councillor elected to the position of Leader by the Council.
- (b) The Council will decide the term of office of the Leader which must expire no later than the day on which the Council holds its first annual meeting after the Leader's normal day of retirement as a councillor.
- (c) The Leader's term of office will end on the day determined by the Council under (b) above, unless before that date **the Leader** -
  - (i) ~~he/she~~ resigns from the office;
  - (ii) ~~he/she~~ is no longer a councillor for any other reason; or
  - (iii) ~~he/she~~ is removed by resolution of Council provided that no such resolution may be moved unless it is delivered to the Chief Executive 14 days before the meeting at which it is to be debated and signed by 50% of the members (for the time being) of the Council.
- (d) If there is a vacancy in the office of Leader as a result of a resolution under 7.3(c) (iii) above, the Council shall elect another councillor as Leader at the meeting at which the Leader is removed from office or at the next subsequent meeting of the Council.
- (e) If there is a vacancy in the office of Leader for any other reason, the Council shall elect another councillor as Leader at the first meeting after the vacancy occurs, provided that if the vacancy occurs after the issue of the summons and agenda for the meeting the election shall take place at the next subsequent meeting.

## ARTICLES OF THE CONSTITUTION

## 7.4 Deputy Leader

- (a) The Leader will appoint one of the members of the Executive to act as Deputy Leader. The Leader must give written notice of such appointment to both the person who he/she is appointing as Deputy Leader and the Monitoring Officer. The appointment of the Deputy Leader will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of the Deputy Leader and the Leader will report the appointment to Council and the Executive at the earliest opportunity.
- (b) The Deputy Leader will hold office until the end of the Leader's term of office unless before that date **the Deputy Leader**:-
- (i) ~~he/she~~ resigns from the office; or
  - (ii) ~~he/she~~ is no longer a councillor for any other reason; or
  - (iii) ~~he/she~~ is no longer a member of the Executive; or
  - (iv) ~~he/she~~ is removed by the Leader who must give written notice of such removal to both the Deputy Leader and to the Monitoring Officer. The removal of the Deputy Leader will take effect on receipt of the Leader's written notice by both the Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of any removal of the Deputy Leader and the Leader will report any such removal to the Council and the Executive at the earliest opportunity.
- (c) Where a vacancy occurs in the office of Deputy Leader, the Leader must appoint another person in his/her place. The Leader must give written notice of such appointment to both the person he/she is appointing as Deputy Leader and to the Monitoring Officer. The appointment of the Deputy Leader will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as Deputy Leader and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of the Deputy Leader and the Leader will report the appointment to Council and the Executive at the earliest opportunity.
- (d) If for any reason:-
- (i) the Leader is unable to act; or
  - (ii) the office of Leader is vacant
- the Deputy Leader will act in **the Leader's his/her** place.
- (e) If for any reason:-
- (i) the Leader is unable to act, or the office of Leader is vacant; and
  - (ii) the Deputy Leader is unable to act, or the office of Deputy Leader is vacant

## ARTICLES OF THE CONSTITUTION

the Executive must act in the Leader's place, or arrange for an Executive member to act in the Leader's his/her place.

### 7.5 Other Executive members

- (a) In addition to the Deputy Leader, the Leader will appoint between one and eight further Executive Members to hold such Portfolios as the Leader shall determine. When appointing an Executive Member the Leader must give written notice of the appointment and of the Portfolio to both the person who he/she is appointing as an Executive Member and to the Monitoring Officer. The appointment of the Executive Member will take effect on receipt of the Leader's written notice by both the person who the Leader is appointing as an Executive Member and the Monitoring Officer. The Monitoring Officer will keep a written record of the appointment of an Executive Member and the Leader will report the appointment of an Executive Member and their Portfolio to Council and the Executive at the earliest opportunity.
- (b) An Executive member will hold office until the end of the Leader's term of office unless before that date the Executive member-
- (i) ~~he/she~~ resigns from the office; or
  - (ii) ~~he/she is~~ no longer a councillor for any other reason; or
  - (iii) ~~he/she is~~ removed by the Leader who must give written notice of such removal to both the Executive Member and to the Monitoring Officer. The removal of an Executive Member will take effect on receipt of the Leader's written notice by both the Executive Member and the Monitoring Officer. The Monitoring Officer will keep a written record of any removal of an Executive Member and the Leader will report any such removal to Council and the Executive at the earliest opportunity.

### 7.6 Remits of Executive Members

- (a) The Council shall designate one of the members of the Executive as the lead member for Children's Services for the purposes of Section 19 of the Children Act 2004.
- (b) Within the above framework and any statutory requirements, the Leader will determine the detailed remits of Executive Members (including the Deputy Leader) and report such detailed remits (and any changes thereto) to the Executive Members concerned, the Monitoring Officer, Council and the Executive at the earliest opportunity.

### 7.7 Assistants to Executive Members

The Leader may appoint other councillors to act as Assistants to those Executive members with a specific Portfolio, provided that there shall be no more than seven such Assistants. A Councillor may not be an Assistant in respect of the same Portfolio for more than 4 years. Assistants to Executive members are not members of the Executive and may not serve on Scrutiny Committees.



## ARTICLES OF THE CONSTITUTION

**7.8 Standing Consultative Panel**

There will be a Standing Consultative Panel consisting of no more than 15 councillors with a standing invitation to attend public meetings of the Executive, including parts of the meeting where exempt matters are being discussed. The Panel will include members of opposition political groups. Members of the Panel will be entitled to speak (but not vote) at meetings of the Executive.

The representation of the opposition political groups on the Panel will be calculated in accordance with the following formula:

$$X \times \frac{25}{96} = Y$$

Where -

*X = the number of members of the opposition political group on the Council, and*

*Y = the entitlement to representation of that group on the Panel (rounded up or down to the nearest whole number)*

**7.9 Proceedings of the Executive**

Proceedings of the Executive shall take place in accordance with the Executive Procedure Rules set out in Part 4 Section D of this Constitution.

**7.10 Responsibility for functions**

- (a) The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:
- (i) the Executive
  - (ii) another member of the Executive
  - (iii) a committee of the Executive
  - (iv) an officer of the Council
  - (v) an area committee
- (b) The Monitoring Officer will maintain a Register of the Delegation of Executive Functions and will record any alterations to the allocation of responsibility for the discharge of executive functions.
- (c) At the earliest opportunity following the election of a Leader, the Leader will review the allocation of responsibilities for the discharge of executive functions recorded in Part 3 of this Constitution. Any alterations that the Leader wishes to make to the allocation of responsibility for the discharge of executive functions will be dealt with in accordance with Executive Procedure Rule 1.4 in Part 4, Section D of the Constitution.

## ARTICLES OF THE CONSTITUTION

**Article 8****Regulatory and other Committees****8.1 Regulatory and other ordinary committees**

The Council will appoint the committees set out below to discharge the functions described in Part 3 of this Constitution.

- Planning and Highways Committee
- Licensing and Appeals Committee
- Licensing Committee
- Licensing Policy Committee
- Employee Appeals Committee
- Art Galleries Committee
- Constitutional and Nomination Committee
- Personnel Committee
- Audit Committee

**8.2 Health and Wellbeing Board**

(a) The Council will establish a Health and Wellbeing Board to discharge the functions described in Part 3 of this Constitution.

(b) The Health and Wellbeing Board will be composed of:

- The Leader of the Council;
- At least one councillor appointed by the Leader;
- The Director of Adult Social Services;
- The Director of Children's Services;
- The Director of Public Health;
- One representative appointed by the Local Healthwatch organisation;
- One representative appointed by the Manchester Clinical Commissioning Group;
- One representative appointed by the NHS Commissioning Board;
- Such other persons, or representatives of such persons, as the Council considers appropriate (provided that in the case of appointments after the Board is established the Council first consults the Board);
- Such additional persons as the Board considers appropriate.

**8.3 Advisory Committees**

The Council may appoint an advisory committee to advise the Council or the Executive in relation to any matter relating to the discharge of their functions.

## ARTICLES OF THE CONSTITUTION

**Article 9****The Standards Committee****9.1 Standards Committee**

The Council meeting will establish a Standards Committee.

**9.2 Composition****(a) Membership**

The Standards Committee will be composed as follows:

- Six elected Members of Manchester City Council (none of whom may be the leader of any political group and no more than one of whom may be a member of the Executive);
- One Member of Ringway Parish Council who is not a Member of Manchester City Council (the Parish Member); and
- Two people appointed by the Council who are not councillors or officers of the Council (Independent Members).

**(b) Independent Members**

Independent Members will not be entitled to vote at meetings.

**(c) Parish Member**

The Parish Member must be present when matters relating specifically to Ringway Parish Council or its Members are being considered. As of co-opted Member the Parish Member will not be entitled to vote at meetings.

**(d) Chairing the Committee**

The Council will appoint one of the Independent Members as Chair of the Committee. In the absence of the appointed Chair, the Committee will be chaired by the other Independent Member, and in the absence of both Independent Members will be chaired as determined by the Committee.

**(e) Quorum**

The quorum for the Standards Committee shall be three voting members (and in relation to the matters referred to in Article 9.3 f), g), h), i) and k) an Independent Member must also be present).

**(f) Questions at Council**

The Committee will appoint one of its elected Members for the purpose of answering questions at Council on the discharge of the Committee's functions.

## ARTICLES OF THE CONSTITUTION

### 9.3 Role and Functions

The Council has delegated to the Standards Committee the following powers to deal with matters of conduct and ethical standards, and the Council's Standards Committee has the following role and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, Co-opted Members and church and parent governor representatives;
- (b) Assisting Councillors, Co-opted Members and church and parent governor representatives to observe the Council's Code of Conduct for Members;
- (c) Advising the Council on the adoption, revision or replacement of the Council's Code of Conduct for Members and the Council's Arrangements for dealing with Complaints that Council Members and Co-opted voting members of the Health and Wellbeing Board have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements");
- (d) Monitoring the operation of the Council's Code of Conduct for Members and the Council's Arrangements;
- (e) Advising, training or arranging to train Councillors and Co-opted Members and church and parent governor representatives on matters relating to the Council's Code of Conduct for Members and other issues relating to Standards and Conduct;
- (f) To determine in accordance with the Council's Arrangements whether a Council Member has failed to comply with the Council's Code of Conduct for Members and, if so, to determine what action (if any) to take;
- (g) To take decisions in respect of a Council Member who is found on a hearing held in accordance with the Council's Arrangements to have failed to comply with the Council's Code of Conduct for Members ("the Subject Member"), such actions to include:–
  - (i) Publication of the findings of the Standards (Hearing) Sub-Committee in respect of the Subject Member's conduct;
  - (ii) Reporting the findings of the Standards (Hearing) Sub-Committee to Council for information;
  - (iii) Recommendation to Council that the Subject Member should be censured;
  - (iv) Recommendation to the Subject Member's Group Leader (or in the case of ungrouped Members to Council) that the Subject Member should be removed from any or all Committees or Sub-Committees of the Council;

## ARTICLES OF THE CONSTITUTION

- (v) Recommendation to the Leader that the Subject Member should be removed from the Executive, or removed from their Portfolio responsibilities;
  - (vi) Instructing the Monitoring Officer (or recommending to Ringway Parish Council) to arrange training for the Subject Member;
  - (vii) Recommendation to Council (or to Ringway Parish Council) that the Subject Member should be removed from all outside appointments to which the Subject Member has been appointed or nominated by the Council (or by Ringway Parish Council);
  - (viii) Withdrawal of (or recommendation to Ringway Parish Council that it withdraws) facilities provided to the Subject Member by the Council, such as a computer, website and/or e-mail and internet access; or
  - (ix) Placing such restrictions on the Subject Member's access to Council staff, buildings or parts of buildings as may be reasonable in the circumstances.
- (h) To grant dispensations from section 31(4) of the Localism Act 2011 (after consultation with one of the Council's Independent Persons) if having had regard to all relevant circumstances, the Standards Committee:-
- (i) considers that granting the dispensation is in the interests of persons living in the Council's area; or
  - (ii) considers that it is otherwise appropriate to grant a dispensation.
- (i) To determine appeals against the Monitoring Officer's decision on the grant of dispensations;
- (j) To deal with any reports from the Monitoring Officer on any matter which is referred to it for determination;
- (k) To deal with reports of the Monitoring Officer regarding breaches of the protocols/guidance to Members accompanying the Council's Code of Conduct for Members which do not in themselves constitute a breach of that Code;
- (l) To report from time to time to Council on ethical governance within the City Council.

### 9.4 Additional Roles of Standards Committee

~~(a) ...~~

- ~~(b)~~ to consider the Code of Corporate Governance and the Annual Governance Statement.

**ARTICLES OF THE CONSTITUTION****9.5 Delegation**

The Standards Committee may appoint one or more sub-committees for the purpose of discharging any of the Committee's functions. Any such sub-committee shall have a quorum of three voting members (and in relation to the matters referred to in Article 9.3 f), g), h), i) and k) an Independent Member must also be present).

## ARTICLES OF THE CONSTITUTION

**Article 10****Area Committees****10.1 Area Committees**

The Council and the Leader may appoint Area Committees as they think fit, if they are satisfied that to do so will ensure improved service delivery in the context of best value and more efficient, transparent and accountable decision making.

**10.2 Form, composition and function****(a) Table of Area Committee(s)**

The Council and the Leader will appoint the Area Committee(s) as set out in the first column of the table below, composed as set out in the second column of that table.

<b>Name of Committee</b>	<b>Composition</b>
No Area Committees are currently required to be established or appointed to.	In the absence of any established Area Committees, there are no appointments to be made.

**(b) Terms of Reference and Delegations**

The terms of reference of, and the delegations to, any Area Committee(s) will be set out in Part 3 of this Constitution.

**10.3 Conflicts of interest - membership of Area Committees and Scrutiny Committees****(a) Conflict of interest**

If a scrutiny committee is scrutinising specific decisions or proposals in relation to the business of the Area Committee of which the Councillor concerned is a member, then the Councillor may not speak or vote at the scrutiny committee meeting unless a dispensation to do so is given as permitted under the Localism Act 2011.

**(b) General policy reviews**

Where a scrutiny committee is reviewing policy generally the member must declare his/her interest before the relevant agenda item is reached but need not withdraw.

**ARTICLES OF THE CONSTITUTION**

**10.4 Area Committees - access to information**

Area Committees will comply with the Access to Information Rules in Part 4 Section B of this Constitution.

Agendas and notices for Area Committee meetings which deal with both functions of the Executive and functions which are not the responsibility of the Executive will state clearly which items are which.

**10.5 Executive members on Area Committees**

A member of the Executive may serve on an Area Committee if otherwise eligible to do so as a councillor.



## ARTICLES OF THE CONSTITUTION

**Article 11****Joint Arrangements****11.1 Joint arrangements**

- (a) The Council may establish joint arrangements with one or more local authorities to exercise functions which are not executive functions in any of the participating authorities, or to advise the Council. Such arrangements may involve the appointment of a joint committee with these other local authorities. The political balance requirements apply to such appointments if the Council has 3 or more seats on the joint committee.
- (b) The Leader or the Executive may establish joint arrangements with one or more local authorities and/or their executives to exercise functions which are executive functions. Such arrangements may involve the appointment of joint committees with these other local authorities.
- (c) Where (b) above applies, except as set out below, the Leader or the Executive may only appoint Executive members to a joint committee and those members need not reflect the political composition of the local authority as a whole.
- (d) The Leader or the Executive may appoint members to a joint committee from outside the Executive where:-
- the joint committee is discharging a function in relation to five or more authorities; or
  - the function discharged is a function which is required by statute to be discharged by a joint committee; or
  - the joint committee has functions for only part of the area of the authority, and that area is smaller than two-fifths of the authority by area or population. In such cases, the Executive may appoint to the joint committee any councillor who is a member for a ward which is wholly or partly contained within the area;

In these circumstances the political balance requirements do not apply to such appointments.

**11.2 Access to information**

- (a) The Access to Information Rules in Part 4 Section B of this Constitution apply.
- (b) If all the members of a joint committee are members of the Executive in each of the participating authorities then its access to information regime is the same as that applied to the Executive.

## ARTICLES OF THE CONSTITUTION

- (c) If the joint committee contains members who are not on the Executive of any participating authority then the access to information rules in Part VA of the Local Government Act 1972 will apply.

### 11.3 Delegation to and from other local authorities

- (a) The Council may delegate non-executive functions to another local authority.
- (b) The Council “(or an officer with relevant delegated authority) may make arrangements with another local authority to discharge that authority’s non-executive functions.
- (c) The Leader or the Executive may delegate executive functions to another local authority or the Executive of another local authority.
- (d) The Leader or the Executive may make arrangements with another local authority to discharge that authority’s executive functions.

### 11.4 Contracting out

The Council (for functions which are not executive functions) and the Leader or the Executive (for executive functions) may contract out to another body or organisation functions which may be exercised by an officer and which are subject to an order under section 70 of the Deregulation and Contracting Out Act 1994, or under contracting arrangements where the contractor acts as the Council's agent under usual contracting principles, provided there is no delegation of the Council's discretionary decision making.

### 11.5 Joint Committees

Joint arrangements will include the Council’s participation in the following joint committees:

- AGMA Executive Board, and its Commissions and sub-committees (including the Statutory Functions Committee)
- ~~Greater Manchester~~ **Bee Network** ~~Transport~~ Committee
- Greater Manchester Joint Health Scrutiny Committee
- GM Health and Social Care Strategic Partnership
- Parking and Traffic Regulations Outside London Adjudication Joint Committee (PATROLAJC)
- Bus Lane Adjudication Service Joint Committee
- Greater Manchester Police and Crime Panel
- Greater Manchester Integrated Care Partnership

## ARTICLES OF THE CONSTITUTION

**Article 12****Officers****12.1 Management structure**

- (a) **General.** The Council may engage such staff (referred to as officers), as it considers necessary to carry out its functions.
- (b) **Chief Officers.** The Council will engage persons, who will be designated chief officers and shall include a Director of Children's Services and a Director of Adult Social Services, for the posts designated as Chief Officers in Part 8 of this Constitution:
- (c) **Head of Paid Service, Monitoring Officer and Chief Finance Officer.** The Council will designate the following posts as shown:

Post	Designation
Chief Executive	Head of Paid Service
City Solicitor	Monitoring Officer
<del>Deputy Chief Executive and City Treasurer</del>	Chief Finance Officer

Such posts will have the functions described in Article 12.2 – 12.4 below.

- (d) **Director of Public Health.** The Council, acting jointly with the Secretary of State will appoint a Director of Public Health.
- (e) **Structure.** The Head of Paid Service will determine and publicise a description of the overall departmental structure of the Council showing the management structure and deployment of officers. This is set out at Part 8 of this Constitution.

**12.2 Functions of the Head of Paid Service**

- (a) **Discharge of functions by the Council.** The Head of Paid Service will report to full Council on the manner in which the discharge of the Council's functions is co-ordinated, the number and grade of officers required for the discharge of functions and the organisation of officers.
- (b) **Restrictions on functions.** The Head of Paid Service may not be the Monitoring Officer but may hold the post of Chief Finance Officer if a qualified accountant.

## ARTICLES OF THE CONSTITUTION

## 12.3 Functions of the Monitoring Officer

- (a) **Maintaining the Constitution.** The Monitoring Officer will maintain an up-to-date version of the Constitution and will ensure that it is widely available for inspection by members, staff and the public.
- (b) **Ensuring lawfulness and fairness of decision-making.** After consulting with the Head of Paid Service and Chief Finance Officer, the Monitoring Officer will report to the full Council or to the Executive in relation to an executive function - if ~~he or she~~they considers that any proposal, decision or omission would give rise to unlawfulness or if any decision or omission has given rise to maladministration. Such a report will have the effect of stopping the proposal or decision being implemented until the report has been considered.
- (c) **Supporting the Standards Committee.** The Monitoring Officer will contribute to the promotion and maintenance of high standards of conduct through provision of support to the Standards Committee. The Council has delegated to the Monitoring Officer the following powers to deal with matters of conduct and ethical standards:
- (i) To act as the Council's Proper Officer to receive complaints that Council Members have failed to comply with the Council's Code of Conduct for Members;
  - (ii) To determine, after consultation with the Independent Person and in accordance with the Council's Arrangements for dealing with Complaints that Council Members have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements") whether to reject, informally resolve or investigate a complaint;
  - (iii) To seek informal resolution of complaints that Council Members have failed to comply with the Council's Code of Conduct for Members wherever practicable;
  - (iv) To refer decisions dealing with a complaint against a Council Member to the Standards Committee in exceptional circumstances;
  - (v) To arrange for the appointment of an Investigating Officer to investigate a complaint where the Monitoring Officer (in consultation with an Independent Person) determines that a complaint merits formal investigation;
  - (vi) To issue guidance to be followed by an Investigating Officer on the investigation of complaints;
  - (vii) To confirm, after consultation with an Independent Person and in accordance with the Council's Arrangements, an Investigating Officer's finding of no failure to comply with the Council's Code of Conduct for Members;

## ARTICLES OF THE CONSTITUTION

- (viii) Where an Investigating Officer's report finds that the Subject Member has failed to comply with the Council's Code of Conduct for Members, to determine, after consultation with the Independent Person and in accordance with the Council's Arrangements, either to seek an informal resolution or to send a matter for local hearing.
- (ix) To grant dispensations from section 31(4) of the Localism Act 2011 if, having had regard to all relevant circumstances, the Monitoring Officer:-
- considers that without the dispensation the number of persons prohibited by section 31(4) of the Localism Act 2011 from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business; or
  - considers that without the dispensation each Member of the Council's Executive would be prohibited by section 31(4) of the Localism Act 2011 from participating in any particular business to be transacted by the Executive; or
  - considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business.
- (d) **Maintaining the Council's Register of Members' Interests.** The Monitoring Officer will establish and maintain the Council's Register of Interests of Members and Co-opted Members as required by section 29(1) of the Localism Act 2011 and ensure that it is available for inspection and published on the Council's website as required by the Act.
- (e) **Proper officer for access to information.** The Monitoring Officer will ensure that:
- (i) executive decisions, together with the reasons for those decisions and relevant officer reports and background papers, are made publicly available as soon as possible in accordance with the requirements of the Local Authorities (Executive Arrangements) (Meetings and Access to Information) (England) Regulations 2012; and
  - (ii) non-executive decisions taken under delegated powers, together with the reasons for those decisions and background papers, are made publicly available as soon as possible in accordance with the requirements of the Openness of Local Government Bodies Regulations 2014.
- (f) **Advising whether executive decisions are within the budget and policy framework.** The Monitoring Officer will advise whether decisions of the Executive are in accordance with the budget and policy framework.
- (g) **Providing advice.** The Monitoring Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all councillors.

## ARTICLES OF THE CONSTITUTION

- (h) **Freedom of Information Act.** The Monitoring Officer will determine exemptions under Section 36 of the Freedom of Information Act 2000 relating to information which is prejudicial to the effective conduct of public affairs.
- (i) **Restrictions on posts.** The Monitoring Officer cannot be the Chief Finance Officer or the Head of Paid Service.

#### 12.4 Functions of the Chief Finance Officer

- (a) **Ensuring lawfulness and financial prudence of decision-making.** After consulting with the Head of Paid Service and the Monitoring Officer, the Chief Finance Officer will report to the full Council or to the Executive in relation to an executive function and the Council's external auditor if ~~he or she~~ **they** consider ~~s~~ that any proposal, decision or course of action will involve incurring unlawful expenditure, or is unlawful and is likely to cause a loss or deficiency or if the Council is about to enter an item of account unlawfully. **The Chief Finance Officer** ~~S/he~~ will also make a report under this section if it appears that the expenditure of the authority (including expenditure it proposes to incur) in a financial year is likely to exceed the resources (including sums borrowed) available to meet that expenditure.
- (b) **Administration of financial affairs.** The Chief Finance Officer will have responsibility for the administration of the financial affairs of the Council.
- (c) **Contributing to corporate management.** The Chief Finance Officer will contribute to the corporate management of the Council, in particular through the provision of professional financial advice.
- (d) **Providing advice.** The Chief Finance Officer will provide advice on the scope of powers and authority to take decisions, maladministration, financial impropriety, probity and budget and policy framework issues to all councillors and will support and advise councillors and officers in their respective roles.

#### 12.5 Duty to provide sufficient resources to the Head of Paid Service, Monitoring Officer and Chief Finance Officer

The Council will provide the Head of Paid Service, Monitoring Officer and Chief Finance Officer with such officers, accommodation and other resources as are in the opinion of these officers sufficient to allow their duties to be performed.

#### 12.6 Conduct

Officers will comply with the Code of Conduct for Employees set out in Part 6 Section E of this Constitution.

#### 12.7 Employment

The recruitment, selection and dismissal of officers will comply with the Officer Employment Procedure Rules set out in Part 4 Section F of this Constitution.

## ARTICLES OF THE CONSTITUTION

**Article 13****Decision Making****13.1 Responsibility for decision making**

- (a) The Council will issue and keep up to date a record of what part of the Council or which individual has responsibility for particular types of decisions or decisions relating to particular areas or functions. This record is set out in Part 3 of this Constitution.
- (b) The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:-
  - (i) the Executive;
  - (ii) a member of the Executive;
  - (iii) a committee of the Executive;
  - (iv) an officer of the Council;
  - (v) an area committee.

The Monitoring Officer will maintain a Register of the Delegation of Executive Functions.

**13.2 Principles of decision making**

Decisions of the Council should be made in accordance with the following principles:-

- (a) Proportionality (meaning the action must be proportionate to the results to be achieved);
- (b) Due consultation (including the taking of relevant professional advice);
- (c) Respect for human rights;
- (d) Presumption in favour of openness;
- (e) Clarity of aims and desired outcomes;
- (f) Due consideration to be given to alternative options;
- (g) Reasons for the decisions to be given provided there is no breach of confidentiality.



## ARTICLES OF THE CONSTITUTION

### 13.3 Types of decision

#### (a) Decisions reserved to full Council

Decisions relating to the functions listed in Article 4.2 will be made by the full Council and not delegated.

#### (b) Key decisions

(i) A “key decision” means an executive decision which is likely:-

- (a) to result in the local authority incurring expenditure which is, or the making of savings which are, significant having regard to the local authority’s budget for the service or function to which the decision relates (as defined in rule 15.2 of the Access to Information Procedure Rules at Part 4 Section B of this Constitution); or
- (b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards in the area of the local authority.

(ii) A decision taker may only make a key decision in accordance with the requirements of the Access to Information Procedure Rules set out in Part 4 Section B of this Constitution.

### 13.4 Decision making by the full Council

Subject to Article 13.8, the Council meeting will follow the Council Procedure Rules set out in Part 4 Section A of this Constitution when considering any matter.

### 13.5 Decision making by the Executive

Subject to Article 13.8, the Executive will follow the Executive Procedure Rules set out in Part 4 Section D of this Constitution when considering any matter.

### 13.6 Decision making by scrutiny committees

Scrutiny committees will follow the Scrutiny Procedure Rules set out in Part 4 Section E of this Constitution when considering any matter.

### 13.7 Decision making by other committees and sub-committees established by the Council

Subject to Article 13.8, other Council committees and sub-committees will follow those parts of the Council Procedure Rules set out in Part 4 Section A of this Constitution as apply to them.

### 13.8 Decision making by Council bodies acting as tribunals

The Council, the Executive, any committee, sub-committee, councillor or officer acting as a tribunal or in a quasi judicial manner or determining/considering (other



**ARTICLES OF THE CONSTITUTION**

than for the purposes of giving advice) the civil rights and obligations or the criminal responsibility of any person will follow a proper procedure which accords with the requirements of natural justice and the right to a fair trial contained in Article 6 of the European Convention on Human Rights.

**13.9 Decision making by Officers**

Officers will exercise their delegated authority in accordance with the Scheme of Delegation to Officers, the Register of the Delegation of Executive Functions and other provisions of this Constitution.

## ARTICLES OF THE CONSTITUTION

**Article 14****Finance, Contracts and Legal Matters****14.1 Financial management**

The management of the Council's financial affairs will be conducted in accordance with the Financial Rules set out in Part 5 of this Constitution.

**14.2 Contracts**

Contracts made by the Council will comply with the Contract Procurement Rules set out in Part 5 of this Constitution.

**14.3 Legal proceedings**

The City Solicitor is authorised to institute, defend, settle or participate in any legal proceedings in any case where such action is necessary to give effect to decisions of the Council or in any case where the City Solicitor considers that such action is necessary to protect the Council's interests.

**14.4 Authentication of documents**

Where any document is necessary to any legal procedure or proceedings on behalf of the Council, it will be signed by the City Solicitor or some other person duly authorised by the Council, the Executive or the City Solicitor, unless any enactment otherwise authorises or requires.

Any contract with a value ~~of exceeding £30,000~~ ~~25,000~~ **or more** entered into on behalf of the Council in the course of the discharge of an executive function shall be made in writing. Such contracts must either be signed by two duly authorised officers of the authority or made under the common seal of the Council attested by an authorised officer. Any contract with a value **exceeding of** £75,000 **or more** must be made under the Common Seal of the Council attested by an authorised officer.

Without prejudice to the foregoing, the Council's Contract Procurement Rules (contained in Section D, Part 5 of this Constitution) may make further provision as to the authorisation of officers for the signing or sealing of contracts.

**14.5 Common Seal of the Council**

The Common Seal of the Council will be kept in a safe place in the custody of the City Solicitor. A decision of the Council, or of any part of it, will be sufficient authority for sealing any document necessary to give effect to the decision. The Common Seal will be affixed to those documents which in the opinion of the City Solicitor should be sealed. The affixing of the Common Seal will be attested by the City Solicitor or some other person authorised by him/her.

**ARTICLES OF THE CONSTITUTION****14.6 Electronic Signing and Sealing of Documents**

A document may be signed or sealed by electronic means, provided that the signing or sealing of the document by such means is permitted by law and the method of electronic signing or sealing to be utilised has been approved by the City Solicitor for use in relation to the type of document concerned. The requirements of this Constitution relating to the signing and sealing of documents shall apply regardless of whether electronic or physical means of signing or sealing are used.

## ARTICLES OF THE CONSTITUTION

**Article 15****Review and Revision of the Constitution****15.1 Duty to monitor and review the constitution**

- (a) The Monitoring Officer will monitor and review the operation of the Constitution to ensure that the aims and principles of the Constitution are given full effect.
- (b) A key role for the Monitoring Officer is to be aware of the strengths and weaknesses of the Constitution adopted by the Council, and to make recommendations for ways in which it could be amended in order better to achieve the purposes set out in Article 1. In undertaking this task the Monitoring Officer may:-
  - (i) observe meetings of different parts of the member and officer structure;
  - (ii) examine the audit trail relating to a sample of decisions;
  - (iii) record and analyse issues raised with him/her by members, officers, the public and other relevant stakeholders; and
  - (iv) compare practices in this authority with those in other comparable authorities, or national examples of best practice.
- (c) Before making any recommendations to amend the Constitution the Monitoring Officer may consult the Constitutional and Nomination Committee.

**15.2 Changes to the Constitution****(a) Approval**

Changes to the Constitution will only be approved by the full Council after consideration of the proposal by the Monitoring Officer and in accordance with Rules 18.5 and 18.6 of the Council Procedure Rules in Part 4 Section A of this Constitution, PROVIDED that minor amendments which do not materially affect the constitution may be made by the Monitoring Officer.

**(b) Change from a Leader and Cabinet form of Executive either to Mayoral form of Executive or vice versa.**

The Council must take reasonable steps to consult with local electors and other interested persons in the area when drawing up proposals and must hold a referendum.

## ARTICLES OF THE CONSTITUTION

**Article 16****Suspension, Interpretation and Publication of the Constitution****16.1 Suspension of the Constitution****(a) Limit to suspension**

The Articles of this Constitution may not be suspended. The Rules specified below may be suspended to the extent permitted within those Rules and the law.

**(b) Rules capable of suspension**

The Council Procedure Rules may be suspended in accordance with Article 16.1.

**(c) Procedure to Suspend**

The procedure to suspend rules is set out in Rule 2.1 of the Council Procedure Rules in Part 4 Section A of this Constitution. A motion to suspend any rules will not be moved without notice unless at least one half of the whole number of councillors are present. The extent and duration of suspension will be proportionate to the result to be achieved.

**16.2 Interpretation**

- (a) Except as otherwise provided and subject to (b) below, the ruling of the City Solicitor on the interpretation of the Constitution shall be final.
- (b) In relation to proceedings of Council, the ruling of the Lord Mayor as to the construction or application of this Constitution shall not be challenged at any meeting of the Council.

**16.3 Publication**

- (a) The Monitoring Officer will give electronic access to a copy of this Constitution to each member of the authority as soon as practicable after the delivery to **the Monitoring Officer** ~~him/her~~ of that individual's declaration of acceptance of office on the member first being elected to the Council.
- (b) The Monitoring Officer will ensure that copies are available for inspection at Council offices, and can be purchased by members of the local press and the public on payment of a reasonable fee.
- (c) The Monitoring Officer will ensure that the Constitution is accessible via the Council's website and is updated as necessary.

## ARTICLES OF THE CONSTITUTION

**Schedule 1****Description of Executive Arrangements**

The following parts of this Constitution constitute the Executive arrangements:

1. Article 6 (Scrutiny committees) and the Scrutiny Procedure Rules;
2. Article 7 (The Executive) and the Executive Procedure Rules;
3. Article 10 (Area Committees) insofar as any Committee is determining matters delegated to them by the Leader or the Executive;
4. Article 11 (Joint arrangements) insofar as any joint committee is determining matters delegated to it by the Leader or the Executive;
5. Article 13 (Decision making) and the Access to Information Procedure Rules (in so far as they relate to executive functions);
6. Part 3 (Responsibility for Functions) insofar as the function is the responsibility of the Executive.

RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

# Section B

# Executive Functions

## RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

### SECTION B: RESPONSIBILITY FOR EXECUTIVE FUNCTIONS

The Leader of the Council may determine to exercise any of the executive functions of the Council personally, or may arrange for the exercise of any of the Council's executive functions by:-

- (i) the Executive;
  - (ii) another member of the Executive;
  - (iii) a committee of the Executive;
  - (iv) an officer of the Council;
  - (v) an area committee;
  - (vi) another local authority or the executive of another local authority; or
  - (vii) joint arrangements with one or more other local authorities including a joint Committee with such authority or authorities.
- (A) The Leader has delegated all executive functions to the Executive under Section 9E of the Local Government Act 2000, as amended, but this does not prevent the Leader from discharging such functions personally.
- (B) Officers may discharge the executive functions delegated to them in the Scheme of Delegation to Officers, subject to the terms of the delegation.
- (C) Where joint arrangements are established with one or more local authorities and/ or their executives to exercise functions which are executive functions, any joint committee appointed in accordance with those arrangements may, subject to the terms of those arrangements, discharge those executive functions.
- (D) Where executive functions are delegated by the Leader or the Executive to be discharged by another local authority or the executive of another authority, that authority or executive may discharge such functions (subject to the terms of the delegation), but this will not prevent the Leader (or the Council's Executive) discharging such functions themselves.
- (E) The Leader or the Executive may make arrangements with the Leader/Mayor or Executive of another local authority to discharge the executive functions of that authority and, subject to the terms of such arrangements may further delegate the exercise of such functions to an officer of the Council.

#### NOTES

- (i) In exercising delegated powers Officers must have regard to the principles set out in the Introduction to the Scheme of Delegation to Officers.
- (ii) Where the Leader delegates the discharge of executive functions to the Executive, the Executive may (unless the Leader otherwise directs) arrange for the discharge of any of those functions by a committee of the Executive, an area committee or an officer of the authority.



**RESPONSIBILITY FOR EXECUTIVE FUNCTIONS**

- (iii) Any arrangements made by the Leader for the discharge of executive functions by the Executive or a member of the Executive do not prevent the Leader from exercising those functions.
- (iv) Any arrangements made by the Leader or the Executive for the discharge of executive functions by a committee of the Executive, an area committee or an officer do not prevent the Leader or, as the case may be, the Executive from exercising those functions.
- (v) ~~By an Operating Agreement between the Greater Manchester Combined Authority, the Mayor of Greater Manchester and the ten Greater Manchester district councils, the discharge of the following Executive functions has been delegated to the Greater Manchester Transport Committee~~ The discharge of the following executive function has been delegated to the Bee Network Committee:—

carrying out actions to facilitate the performance by local traffic authorities of their duty to manage road traffic on their own roads and facilitating the same on other local authorities' roads pursuant to sections 16 and 17 (except for sub-sections 17(2) and (3)) of the Traffic Management Act 2004.

~~preparing and carrying out a programme of measures designed to promote road safety, including carrying out road safety studies, studies into accidents, accident prevention campaigns, the dissemination of information and advice relating to the use of roads, and arranging for the giving of practical training to road users pursuant to sections 39(2) and 39(3)(a) and (b) of the Road Traffic Act 1988.~~

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# Section C

## Council (Non-Executive) Functions

## SECTION C: RESPONSIBILITY FOR NON - EXECUTIVE FUNCTIONS

To discharge non-executive functions which are not reserved to full Council alone, the Council has established the following ordinary committees.

- **PLANNING AND HIGHWAYS COMMITTEE**
- **LICENSING AND APPEALS COMMITTEE**
- **LICENSING COMMITTEE**
- **LICENSING POLICY COMMITTEE**
- **ART GALLERIES COMMITTEE:** - consisting of at least 14 members of the Council and 7 non-voting co-opted members appointed by the University of Manchester.
- **AUDIT COMMITTEE**
- **CONSTITUTIONAL AND NOMINATION COMMITTEE**
- **EMPLOYEE APPEALS COMMITTEE**
- **PERSONNEL COMMITTEE**
- **HEALTH AND WELLBEING BOARD**
- **STANDARDS COMMITTEE**

The terms of reference of these Committees and their delegated powers are set out in the following pages.

~~The Council has also established a Standards Committee.~~ The functions delegated to the Standards Committee are **also** set out in Article 9 of Part 2 of the Council's Constitution.

Where the Council has delegated responsibility for the discharge of non-executive functions to officers, these delegations will appear in the Scheme of Delegation to Officers.

## **PLANNING AND HIGHWAYS COMMITTEE**

<b>Function</b>		<b>Provision of Act or Statutory Instrument</b>	<b>Delegation to Officers</b>
<b>I.</b>	<b>Functions relating to town and country planning and development control</b>		
1	Power to determine applications for planning permission or permission in principle.	Sections 59A(1)(b), 70(1)(a) and (b) and 72 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
2	Power to determine applications to develop land without compliance with conditions previously attached.	Section 73 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
3	Power to grant planning permission for development already carried out.	Section 73A of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing* See note below
4	Power to decline to determine application for planning permission or permission in principle.	Section 70A of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
5	Duties relating to the making of determinations of planning applications.	Sections 69 and 92 of the Town and Country Planning Act 1990 and the Town and Country Planning (Development Management Procedure) (England) Order 2015/595 and directions made thereunder.	Director of Planning, Building Control and Licensing
6	Power to determine applications for planning permission or permission in principle made by a local authority, alone or jointly with another person.	Section 316 of the Town and Country Planning Act 1990 and the Town and Country Planning General Regulations 1992 (S.I.1992/1492).	Director of Planning, Building Control and Licensing* See note below
7	Power to make determinations, give approvals and agree certain other matters relating to the exercise of permitted development rights.	Parts 6, 7, 11, 17, 19, 20, 21 to 24, 26, 30 and 31 of Schedule 2 to the Town and Country Planning (General Permitted Development) Order 1995 (S.I.1995/418).	Director of Planning, Building Control and Licensing
8	Power to enter into agreement regulating development or use of land.	Section 106 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
9	Power to issue a certificate of existing or proposed lawful use or development.	Sections 191(4) and 192(2) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
10	Power to serve a completion notice.	Section 94(2) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
11	Power to grant consent for the display of advertisements.	Section 220 of the Town and Country Planning Act 1990 and the Town and Country Planning (Control of Advertisements) (England) Regulations 2007 (S.I. 2007/783).	Director of Planning, Building Control and Licensing
12	Power to authorise entry onto land.	Section 196A of the Town and	Director of Planning,

		Country Planning Act 1990.	Building Control and Licensing
13	Power to require the discontinuance of a use of land.	Section 102 of the Town and Country Planning Act 1990.	Not Delegated
14	Power to serve a planning contravention notice, breach of condition notice or stop notice.	Sections 171C, 187A and 183(1) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
15	Power to issue a temporary stop notice	Section 171E of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
16	Power to issue an enforcement notice.	Section 172 of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
17	Power to apply for an injunction restraining a breach of planning control.	Section 187B of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing
18	Power to determine applications for hazardous substances consent, and related powers.	Sections 9(1) and 10 of the Planning (Hazardous Substances) Act 1990 (c.10).	Director of Planning, Building Control and Licensing
19	Duty to determine conditions to which old mining permissions, relevant planning permissions relating to dormant sites or active Phase I or II sites, or mineral permissions relating to mining sites, as the case may be, are to be subject.	Paragraph 2(6)(a) of Schedule 2 to the Planning and Compensation Act 1991, paragraph 9(6) of Schedule 13 to the Environment Act 1995 (c.25) and paragraph 6(5) of schedule 14 to that Act.	Director of Planning, Building Control and Licensing
20	Power to require proper maintenance of land.	Section 215(1) of the Town and Country Planning Act 1990.	Director of Planning, Building Control and Licensing ( <del>subject to consultation with the Strategic Director (Neighbourhoods)</del> )  <b><u>Strategic Director (Neighbourhoods) (subject to consultation with the Director of Planning, Building Control and Licensing)</u></b>
21	Power to determine application for listed building consent, and related powers.	Section 16(1) and (2), 17 and 33(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990 (c.9).	Director of Planning, Building Control and Licensing* See note below
22	[.....]		
23	Duties relating to applications for listed building consent.	Section 13(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990 and regulations 3 to 6 and 13 of the Planning (Listed Buildings and Conservation Areas) Regulations 1990 (S.I.1990/1519).	Director of Planning, Building Control and Licensing
24	Power to serve a building preservation notice, and related powers.	Sections 3(1) and 4(1) of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Not Delegated

25	Power to issue listed building enforcement notice.	Section 38 of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
26	Powers to acquire a listed building in need of repair and to serve a repairs notice.	Sections 47 and 48 of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
27	Power to apply for an injunction in relation to a listed building.	Section 44A of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing
28	Power to execute urgent works.	Section 54 of the Planning (Listed Buildings and Conservation Areas) Act 1990.	Director of Planning, Building Control and Licensing subject to consultation with the Chair of Planning and Highways Committee
29	Power to enter land in Part 2 of the brownfield land register	Regulations 3 and 5 of the Town & Country Planning (Brownfield Land Register) Regulations 2017	Director of Planning, Building Control and Licensing* See note below
30	Power to grant permission under Section 115E of the Highways Act 1980 ("the 1980 Act") for the provision of refreshment facilities on highways (table and chairs licences), and related powers under Sections 115E and 115F of the 1980 Act and to take any enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.	Sections 115E, 115F and 115K of the Highways Act 1980	Director of Planning, Building Control and Licensing* See note below
31	Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation	Sections 115G of the Highways Act 1980	Director of Planning, Building Control and Licensing

Note: Functions marked with an \* are delegated to the Director of Planning, Building Control and Licensing to approve (including approvals subject to conditions) the applications either where there is no objection from third parties of a planning nature or where there are objections from third parties of a planning nature but the proposal is clearly in accordance with approved Council planning policies.

II	<b>Miscellaneous Functions relating to highways.</b>		
1	Power to create footpath, bridleway or restricted byway by agreement.	Section 25 of the Highways Act 1980.	Director of Highways* see note below
2	Power to create footpaths, bridleways and restricted byways.	Section 26 of the Highways Act 1980.	Director of Highways* see note below
3	Duty to keep register of information with respect to maps, statements and declarations.	Section 31A of the Highways Act 1980.	Director of Highways
4	Power to stop up footpaths bridleways and restricted byways.	Section 118 of the Highways Act 1980.	Director of Highways* see note below
5	Power to make a rail crossing extinguishment order.	Section 118A the Highways Act 1980.	Director of Highways* see note below
6	Power to make a special extinguishment order.	Section 118B the Highways Act 1980.	Director of Highways* see note below

7	Power to divert footpaths bridleways or restricted byways.	Section 119 of the Highways Act 1980.	Director of Highways* see note below
8	Power to make a rail crossing diversion order.	Section 119A of the Highways Act 1980.	Director of Highways* see note below
9	Power to make a special diversion order.	Section 119B of the Highways Act 1980.	Director of Highways* see note below
10	Power to make SSSI diversion order.	Section 119D of the Highways Act 1980.	Director of Highways* see note below
11	Duty to assert and protect the rights of the public to use and enjoyment of highways.	Section 130 of the Highways Act 1980.	Director of Highways
12	Duty to serve notice of proposed action in relation to obstruction.	Section 130A of the Highways Act 1980.	Director of Highways
13	Power to apply for variation of order under Section 130B of the Highways Act 1980.	Section 130B(7) of the Highways Act 1980.	Director of Highways
14	Power to authorise temporary disturbance of surface of footpath bridleways or restricted byways.	Section 135 of the Highways Act 1980.	Director of Highways
15	Powers relating to the removal of things so deposited on highways as to be a nuisance.	Section 149 of the Highways Act 1980.	Strategic Director (Neighbourhoods)
16	Power to extinguish certain public rights of way.	Section 32 of the Acquisition of Land Act 1981.	Director of Highways* see note below
17	Duty to keep a definitive map and statement under review.	Section 53 of the Wildlife and Countryside Act 1981.	Director of Highways
18	Power to include modifications in other orders.	Section 53A of the Wildlife and Countryside Act 1981.	Director of Highways
19	Duty to keep register of prescribed information with respect to applications under Section 53(5) of the Wildlife and Countryside Act 1981.	Section 53B of the Wildlife and Countryside Act 1981.	Director of Highways
20	Power to prepare map and statement by way of consolidation of definitive map and statement.	Section 57A of the Wildlife and Countryside Act 1981.	Director of Highways
21	Power to designate footpath as cycle track.	Section 3 of the Cycle Tracks Act 1984.	Director of Highways
22	Power to extinguish public right of way over land acquired for clearance.	Section 294 of the Housing Act 1985.	Director of Highways
23	Power to make applications for orders for stopping up or diversion of highway.	Section 247 of the Town and Country Planning Act 1990	Director of Highways * see note below
24	Power to authorise stopping up or diversion of footpath, bridleway or restricted byways.	Section 257 of the Town and Country Planning Act 1990.	Director of Highways * see note below
25	Power to extinguish public rights of way over land held for planning purposes.	Section 258 of the Town and Country Planning Act 1990.	Director of Highways * see note below
26	Power to enter into agreements with respect to means of access.	Section 35 of the Countryside and Rights of Way Act 2000.	Director of Highways
27	Power to provide access in absence of agreement.	Section 37 of the Countryside and Rights of Way Act 2000.	Director of Highways



28	Power to permit deposit of builders' skips on highway.	Section 139 of the Highways Act 1980.	Director of Highways (except in relation to enforcement regarding deposit of skips, which is delegated to the Strategic Director (Neighbourhoods))
29	Power to license planting, retention and maintenance of trees etc. in part of highway.	Section 142 of the Highways Act 1980.	Director of Highways
30	Power to authorise erection of stiles etc. on footpaths or bridleways.	Section 147 of the Highways Act 1980.	Director of Highways
31	Power to license works in relation to buildings etc. which obstruct the highways.	Section 169 of the Highways Act 1980.	Director of Highways
32	Power to consent to temporary deposits or excavations in streets.	Section 171 of the Highways Act 1980.	Director of Highways
33	Power to dispense with obligation to erect hoarding or fence.	Section 172 of the Highways Act 1980.	Director of Highways
34	Power to restrict the placing of rails, beams etc. over highway.	Section 178 of the Highways Act 1980.	Director of Highways
35	Power to consent to construction of cellars etc. under street.	Section 179 of the Highways Act 1980.	Director of Highways
36	Power to consent to the making of openings into cellars etc. under streets, pavement lights, ventilators.	Section 180 of the Highways Act 1980.	Director of Highways (except in relation to enforcement relating to making of openings, which is delegated to the Strategic Director (Neighbourhoods))
37	Power to grant a street works licence.	Section 50 of the New Roads and Street Works Act 1991.	Director of Highways
38	Power to grant permission under Section 115E of the 1980 Act (except in relation to the provision of refreshment facilities on highway ('table and chairs licences')) and related powers under Sections 115E and 115F of the 1980 Act and to take any enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.	Sections 115E, 115F and 115K of Highways Act 1980.	Director of Highways (except 'table and chairs' licences which are delegated to the Director of Planning, Building Control and Licensing)
39	Duty to publish notice in respect of proposal to grant permission under S115E of the Highways Act 1980, insofar as it relates to the above delegation.	Section 115G of Highways Act 1980.	Director of Highways

Note: Functions marked with a \* are delegated to the Director of Highways subject to consultation with the Chair of the Planning and Highways Committee.

<b>III</b>	<b>Trees and Hedgerows</b>		
1	Powers relating to the preservation of trees.	Sections 197, 198, 200, 202 and 206 to 214D of the Town and Country Planning Act 1990 and Town and Country Planning (Tree	Director of Planning, Building Control and Licensing

		Preservation) (England) Regulations 2012 (2012/605).	
2	Powers relating to the protection of important hedgerows.	The Hedgerows Regulations 1997.	Director of Planning, Building Control and Licensing
3	Powers relating to complaints about high hedges.	Part 8 of the Anti-Social Behaviour Act 2003.	Director of Planning, Building Control and Licensing

<b>IV Local Choice Functions</b>			
1	Provision of trees and shrubs.	Section 24 Greater Manchester Act 1981.	Director of Highways

<b>V Conditions etc. and Enforcement</b>		
1	The Functions of - (a) Imposing any condition, limitation or other restriction on an approval, consent, licence, permission or registration granted in the exercise of any of the functions set out in sections I, II, III and IV above, and (b) determining any other terms to which any such approval, consent, licence, permission or registration is subject.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions.
2	The function of determining whether, and in what manner, to enforce - (a) any contravention or failure to comply with an approval, consent, licence, permission or registration granted as mentioned in sections I, II, III and IV above; (b) any contravention or failure to comply with a condition, limitation or term to which any such approval, consent, licence, permission or registration is subject.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions except in relation to the enforcement of licensing functions (excluding private hire and hackney carriage) within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate which is delegated to the Strategic Director (Neighbourhoods).
3	The function of - (a) amending, modifying or varying any such approval, consent, licence, permission or registration as is mentioned at sections I, II, III and IV above, or any condition, limitation or term to which it is subject, or (b) revoking any such approval, consent, licence, permission or registration.	Delegated to the same officer who has been delegated the power to approve, consent, licence permit or register in the exercise of any of the above functions.
4	The function of determining - (a) Whether a charge should be made for any approval, consent, licence, permit or registration as is mentioned at sections I, II, III and IV above; and (b) Where a charge is made for any such approval, consent, licence, permit or registration, the amount of the charge.	Delegated to the relevant officer in consultation with the Chair.

## **DELEGATION**

In exercising the above powers and responsibilities, the Committee and, unless the Committee or this Constitution provides otherwise, the relevant Officer shall have

delegated powers to make decisions and act on behalf of the Council, PROVIDED THAT neither the Committee nor the relevant Officer shall exercise their delegated powers (but shall submit recommendations to the Council) in relation to any item which the Council calls in for determination.

[Note: the Committee may refer items to the Council for determination.]

## **LICENSING AND APPEALS COMMITTEE**

<b>Function</b>		<b>Provision of Act or Statutory Instrument</b>	<b>Delegation to Officers</b>
<b>I.</b>	<b>Licensing and Registration Functions</b>		
1	Power to issue licences authorising the use of land as a caravan site ("site licences").	Section 3(3) of the Caravan Sites and Control of Development Act 1960.	Director of Planning, Building Control and Licensing
2	Power to license the use of moveable dwellings and camping sites.	Section 269(1) of the Public Health Act 1936.	Director of Planning, Building Control and Licensing
3	Power to license hackney carriages and private hire vehicles.	(a) as to hackney carriages, the Town Police Clauses Act 1847, as extended by section 171 of the Public Health Act 1875, and section 15 of the Transport Act 1985; and sections 47, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976;  (b) as to private hire vehicles, sections 48, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
4	Power to license drivers of hackney carriages and private hire vehicles.	Sections 51, 53, 54, 59, 61 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
5	Power to license operators of hackney carriages and private hire vehicles.	Sections 55 to 58, 62 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.	Director of Planning, Building Control and Licensing
6	[...]		
7	[...]		
8	[...]		
9	[...]		
10	[...]		
11	[...]		
12.	Power to institute proceedings for failing to provide for safety of children at entertainments.	Section 12 of the Children and Young Persons Act 1933.	City Solicitor
13	Power to license sex shops and sex cinemas, and sexual entertainment venues.	Section 2 & Schedule 3 of the Local Government (Miscellaneous Provisions) Act 1982 (as amended).	Director of Planning, Building Control and Licensing (limited to power to renew existing licences)
14	Power to license performances of hypnotism.	The Hypnotism Act 1952.	Director of Planning, Building Control and Licensing
15	Power to license premises for acupuncture, tattooing, ear-piercing and electrolysis.	Sections 13 to 17 of the Local Government (Miscellaneous Provisions) Act 1982.	Director of Planning, Building Control and Licensing
16	Power to license pleasure boats and pleasure vessels.	Section 94 of the Public Health Acts Amendment Act 1907.	Director of Planning, Building Control and Licensing

17	Power to license market and street trading.	Part III of, and Schedule 4 to, the Local Government (Miscellaneous Provisions) Act 1982.	Director of Planning, Building Control and Licensing (in relation to street trading); In relation to market trading – Strategic Director (Neighbourhoods) (power to license) and Director of Commercial and Operations (appeals on revocation)
18	Functions relating to pavement licences	Sections 1 to 7 of the Business and Planning Act 2020	Director of Planning, Building Control and Licensing
19	Power to register and license premises for the preparation of food.	Section 19 of the Food Safety Act 1990.	Strategic Director (Neighbourhoods)
20	[.....]		
21	Power to issue, amend or replace safety certificates (whether general or special) for sports grounds.	The Safety of Sports Grounds Act 1975.	Director of Planning, Building Control and Licensing
22	Power to issue, cancel, amend or replace safety certificates for regulated stands at sports grounds.	Part III of the Fire Safety and Safety of Places of Sport Act 1987.	Director of Planning, Building Control and Licensing
23	Power to grant or renew a licence for a licensable activity under the Animal Welfare (Licensing of Activities Involving Animals) (England) Regulations 2018 (selling animals as pets, providing or arranging for the provision of boarding for cats or dogs, hiring out horses, breeding dogs or keeping or training animals for exhibition).	Regulation 4 of those Regulations.	Director of Planning, Building Control and Licensing
24	[...]		
25	[...]		
26	Power to license zoos.	Section 1 of the Zoo Licensing Act 1981.	Director of Planning, Building Control and Licensing
27	Power to license dangerous wild animals.	Section 1 of the Dangerous Wild Animals Act 1976.	Director of Planning, Building Control and Licensing
28	Power to license the employment of children.	Part II of the Children and Young Persons Act 1933, byelaws made under that Part, and Part II of the Children and Young Persons Act 1963.	Director of Planning, Building Control and Licensing
29	Power to approve premises for the solemnisation of marriages and civil partnerships.	Section 46A of the Marriage Act 1949, Section 28 of the Civil Partnership Act 2004, and The Marriages and Civil Partnerships (Approved Premises) Regulations 2005 (S.I.2005/3168).	City Solicitor

30	Power to register common land or town or village greens, except where the power is exercisable solely for the purpose of giving effect to an exchange of lands effected by an order under section 19(3) of, or paragraph 6(4) of Schedule 3 to, the Acquisition of Land Act 1981.	Commons Registration (New Land) Regulations 1969 (S.I.1969/1843).	Chief Executive
31	Power to register variation of rights of common.	Regulation 29 of the Commons Registration (General) Regulations 1966 (S.I.1966/1471).	Chief Executive
32	Power to license persons to collect for charitable and other causes.	Section 5 of the Police, Factories etc. (Miscellaneous Provisions) Act 1916, and section 2 of the House to House Collections Act 1939.	Director of Planning, Building Control and Licensing
33	Power to grant consent for the operation of a loudspeaker.	Schedule 2 to the Noise and Statutory Nuisance Act 1993.	Director of Planning, Building Control and Licensing
34	[ .....]		
35	[.....]		
36	Functions relating to the registration of common land and town or village greens.	Part 1 of the Commons Act 2006 (c.26) and the Commons (Registration of Town or Village Greens) (Interim Arrangements) (England) Regulations 2007 (S.I. 2007/457).	Chief Executive
37	Functions Relating to Smoke Free Premises - Duty to enforce Chapter 1 and regulations made under it.	Section 10(3) of the Health Act 2006.	Strategic Director (Neighbourhoods)
38	Functions Relating to Smoke Free Premises - Power to authorise officers.	Section 10(5) of the Health Act 2006.	Strategic Director (Neighbourhoods)
39	Functions Relating to Smoke Free Premises - Functions relating to fixed penalty notices.	Paragraphs 13, 15 and 16 of Schedule 1 to the Health Act 2006. Smoke-free (Vehicle Operators and Penalty Notices) Regulations 2007 (S.I. 2007/760).	Strategic Director (Neighbourhoods)
40	Functions Relating to Smoke Free Premises - Power to transfer enforcement functions to another enforcement authority.	Smoke-free (Premises and Enforcement) Regulations 2006 (S.I. 2006/3368).	Strategic Director (Neighbourhoods)
41	[.....]	.	
42	Power to apply for an enforcement order against unlawful works on common land.	Section 41 of the Commons Act 2006.	City Solicitor
43	Power to protect unclaimed registered common land and unclaimed town or village greens	Section 45(2)(a) of the Commons Act 2006.	City Solicitor
44	Power to institute proceedings for offences in respect of unclaimed registered common land and unclaimed town or village greens.	Section 45(2)(b) of the Commons Act 2006.	City Solicitor

<b>II Functions relating to health and safety at work</b>			
	Functions under any of the "relevant statutory provisions" within the meaning of Part I (health, safety and welfare in connection with work, and control of dangerous substances) of the Health & Safety at Work etc. Act 1974, to the extent that those functions are discharged otherwise than in the authority's capacity as an employer.	Part I of the Health & Safety at Work etc. Act 1974.	All Chief Officers and Chapter 3A Officers (as defined in Section F of Part 3 of this Constitution)

<b>III Local Choice Functions</b>			
1	Hairdressers and Barbers.	Section 30 of the Greater Manchester Act 1981 ("the Local Act")	Director of Planning, Building Control and Licensing
2	Acupuncturists, Tatooisists, Ear Piercers, Electrolysisists etc.	Section 32 of the Local Act	Director of Planning, Building Control and Licensing
3	Dust etc. from Building Operations.	Section 34 of the Local Act	Strategic Director (Neighbourhoods)
4	Power to Order Alteration of Chimneys.	Section 36 of the Local Act	Strategic Director (Neighbourhoods)
5	Repair of Walls etc. of Yards.	Section 43 of the Local Act	Strategic Director (Neighbourhoods)
6	Artificial Lighting In Habitable Rooms etc.	Section 48 of the Local Act	Strategic Director (Neighbourhoods)
7	Trees impeding Natural Light to Houses, Shops and Offices.	Section 49 of the Local Act	Strategic Director (Neighbourhoods)
8	Weatherproofing of Property.	Section 50 of the Local Act	Director of Planning, Building Control and Licensing
9	Prohibition of Interference with Bird Traps.	Section 53 of the Local Act	Strategic Director (Neighbourhoods)
10	Dealings in Second Hand Goods.	Section 54 of the Local Act	Director of Planning, Building Control and Licensing
11	Safety of Ceilings in Bingo Halls.	Section 55 of the Local Act	Director of Planning, Building Control and Licensing
12	Safety of Stands.	Section 58 of the Local Act	Director of Planning, Building Control and Licensing
13	Touting, Hawking, Photographing etc.	Section 60 of the Local Act	Director of Planning, Building Control and Licensing
14	Fire Precautions.	Sections 63 & 66 of the Local Act	Director of Planning, Building Control and Licensing
15	Storage of Flammable Material.	Sections 67-73 of the Local Act	Director of Planning, Building Control and Licensing
16	Entertainment Clubs.	Sections 74-81 of the Local Act	Director of Planning, Building Control and Licensing
17	[...]		
18	Power to Compound to Payment of Tolls.	Section 117 of the Local Act	Strategic Director (Neighbourhoods)

19	Power of Council to require information to allow Council to discharge its duties and powers in relation to markets.	Section 118 of the Local Act	Strategic Director (Neighbourhoods) (where information required in relation to functions of the Council delegated to the Strategic Director (Neighbourhoods)).  Director of Planning, Building Control and Licensing (where information required in relation to functions of the Council delegated to the Director of Planning, Building Control and Licensing)
20	Power to permit market places to be used for Public Meetings etc.	Section 119 of the Local Act	Strategic Director (Neighbourhoods) (excluding any property matters which are to be exercised by the Strategic Director (Growth and Development))
21	Night Cafes in Manchester.	Section 136-144 of the Local Act	Director of Planning, Building Control and Licensing
22	Signs on Vehicles.	Section 167 of the Local Act	Director of Planning, Building Control and Licensing
23	The service of an abatement notice in respect of a statutory nuisance	Section 80(1) of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)
24	The inspection of the Authority's area to detect statutory nuisance	Section 79 of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)
25	The investigation of any complaint as to the existence of a statutory nuisance	Section 79 of the Environmental Protection Act 1990	Strategic Director (Neighbourhoods)

IV Appeals		
1	To determine appeals where payments of the National Non-Domestic Rate would cause hardship, appeals in relation to Discretionary Rate Relief, and appeals to reduce amount of council tax payable.	Not Delegated
2	To determine appeals against the decisions of Chief Officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.	<del>Deputy Chief Executive and City Treasurer</del>
3	To determine appeals by market traders where the trader's licence has been revoked.	Director of Commercial and Operations
4	To determine any other appeals referred to the Committee against any decision made by or on behalf of the authority.	All Chief Officers



V	Conditions etc. and Enforcement	
1	The functions of imposing any condition, limitation or other restriction on any approval, consent, licence, permission or registration granted in the exercise of any of the above functions and determining any other terms to which any such approval, consent, licence, permission or registration is subject.	Officer relevant to the function.
2	The function of determining whether, and in what manner, to enforce - (a) any contravention or failure to comply with an approval, consent, licence, permission or registration granted as mentioned above; or (b) any failure to comply with a condition, limitation or term to which any such approval, consent, licence, permission or registration is subject.	Officer relevant to the function except in relation to the enforcement of licensing functions (excluding private hire and hackney carriage) within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate which is delegated to the Strategic Director (Neighbourhoods).
3	The function of - (a) amending, modifying or varying any such approval, consent, licence, permission or registration as is mentioned above, or any condition, limitation or term to which it is subject, or (b) revoking any such approval, consent, licence, permission or registration.	Officer relevant to the function.
4	The function of determining - (a) Whether a charge should be made for any approval, consent, licence, permit or registration as is mentioned above; and (b) Where a charge is made for any such approval, consent, licence, permit or registration, the amount of the charge.	Officer relevant to the function in consultation with the Chair.

## **DELEGATION**

In exercising the above powers and responsibilities, the Committee and, unless the Committee directs otherwise, the relevant Officer shall have delegated powers to make decisions and act on behalf of the Council, except that in the following matters, neither the Committee nor the relevant Officer shall exercise their delegated powers, but shall submit recommendations to the Council:

- (1) decisions on the control of hackney carriage numbers.
- (2) any item which the Council calls in for determination

[Note: the Committee may refer any items to the Council for determination].

*[Note: The members of the Licensing and Appeals Committee will also act as an advisory committee under section 102(4) of the Local Government Act 1972 to advise the Executive on the discharge of the functions of the Council relating to:-*

- (1) *Stands (ranks) for hackney carriages pursuant to section 63 of the Local Government (Miscellaneous Provisions) Act 1976 ("the Act");*
- (2) *Fixing of fares for hackney carriages pursuant to section 65 of the Act.]*

## **LICENSING COMMITTEE**

1. To discharge the functions of the Council as licensing authority under the Licensing Act 2003, with the exception of any function conferred on the Council under Section 5 of the Act (statement licensing policy).
2. Without prejudice to the generality of the above the Committee may arrange for the sub-delegation of the functions to subcommittee(s) established by it or (subject to the exceptions in Section 10 (4) of the Act) to an officer of the licensing authority.

<b>Matter to be dealt with</b>		<b>Subcommittee (Licensing Panel)</b>	<b>Director of Planning, Building Control and Licensing</b>
1	Application for personal licence.	If a police objection made and not withdrawn	If no unwithdrawn objection made
2	Application for personal licence with unspent convictions.	If a police objection made and not withdrawn	If no unwithdrawn objection made
3	Application for premises licence/club premises certificate.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
4	Application for provisional statement.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
5	Application to vary premises licence/club premises certificate.	If relevant representation made and not withdrawn	If no relevant unwithdrawn representation made
6	Application to vary designated premises supervisor.	If a police objection made and not withdrawn	All other cases
6A	Request to be removed as designated premises supervisor		All cases
7	Application for transfer of premises licence.	If a police objection made and not withdrawn	All other cases
8	Applications for interim authorities.	If a police objection made and not withdrawn	All other cases
9	Application to review premises licence/club premises certificate.	All cases	
9A	Decision on whether a representation is irrelevant, frivolous or vexatious.		All cases
9B	Decision on whether a ground for review is irrelevant, frivolous or vexatious.		All cases
10	Decision to object when local authority is a consultee and not the relevant authority considering the application.	All cases	
11	Determination of an objection to a temporary event notice.	All cases	
11A	Determination of application to vary premises licence at community premises to include alternative licence condition.	If a police objection made and not withdrawn	All other cases

12	Determination of minor variation.		All cases
13	Decision whether or not to give consideration to revoke or suspend a personal licence, and to give notice to the licence holder following convictions or immigration penalties.		All cases
14	Decision to revoke or suspend a personal licence following convictions or immigration penalties	All cases	
15	Decision on whether there has been a material change of circumstances in cases of representations against any interim steps taken pending review.		All cases

**Note:** Where no representations are received in respect of an application, the licensing officer will deal with the application under delegated authority without the need for a hearing.

3. The Licensing Committee has delegated to the Director of Planning, Building Control and Licensing the function of discharge the functions of the Council in relation to the suspension of licences under section 55A (premises licence) and section 92A (club premises licence) of the Licensing Act 2003.
4. To discharge the functions of the Council as licensing authority under the Gambling Act 2005 and Regulations made thereunder with the exception of any function conferred on the Council under sections 166, and 349 of the Act.
5. Without prejudice to the generality of the above the Committee may arrange for the sub-delegation of the functions to sub-committee(s) established by it or (subject to the exceptions in Section 154(4) of the Gambling Act 2005) to an officer of the licensing authority.

Matter to be dealt with		Full Council	Sub-committee of licensing committee	Director of Planning, Building Control and Licensing
1	Three year licensing policy.	✓		
2	Policy not to permit casinos.	✓		
3	Fee setting (when appropriate).			✓
4	Application for premises licences.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
5	Application for a variation to a licence.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
6	Application for a transfer of a licence.		Where representations have been received from	Where no representations received from the

			the Commission	Commission
7	Application for a provisional statement.		Where representations have been received and not withdrawn	Where no representations received/representations have been withdrawn
8	Review of a premises licence.		✓	
9	Application for club gaming/club machine permits.		Where objections have been made (and not withdrawn)	Where no objections made/objections have been withdrawn
10	Cancellation of club gaming/club machine permits.		✓	
11	Applications for other permits.			✓
12	Cancellation of licensed premises gaming machine permits.			✓
13	Consideration of Temporary Use Notice.			✓
14	Decision to give a counter notice to a Temporary Use Notice.		✓	

6. To establish one or more sub committees consisting of three members of the Committee.

### **NOTE**

Where the committee is unable to discharge any function delegated to it because of the number of members who are unable to take part in the consideration or discussion of any matter or vote on any question, the committee must refer the matter back to the licensing authority and the authority must discharge the function.

## **LICENSING POLICY COMMITTEE**

1. To monitor and keep under review the Council's policy with respect to its licensing functions during the three year period of the policy.
2. To make arrangements for consulting the statutory consultees and other appropriate persons or bodies in relation to the Council's policy.
3. To make recommendations to the Council as licensing authority as to any proposed revisions to its licensing policy during the period of that policy and in relation to any new policy for a subsequent three year period.

## **ART GALLERIES COMMITTEE**

1. To discharge the functions of the Council under section 149 of the Greater Manchester Act 1981 and, insofar as they relate to art galleries, sections 12-15 of the Public Libraries and Museums Act 1964 and section 145 of the Local Government Act 1972.
2. To provide such financial and other information as is required by the Executive and the Council to enable them to exercise their functions in relation to the revenue and capital budgets.

### **Delegation**

In exercising the above powers and responsibilities, the Committee shall have delegated power (subject to Council Procedure Rule 9 – Reference to Council of Decisions taken under Delegated Powers) to make decisions and act on behalf of the Council.

## **AUDIT COMMITTEE**

### **Purpose**

1. The main purpose of the Committee is to obtain assurance over the Council's corporate governance and risk management arrangements, the control environment and associated anti-fraud and anti-corruption arrangements.

### **Governance**

2. Review the Council's corporate governance arrangements including **approval consideration** of the Code of Corporate Governance.
  - Review the Annual Governance Statement prior to approval and consider whether it properly reflects the risk environment and supporting assurances, taking into account internal audit's opinion on the overall adequacy and effectiveness of the Council's framework of governance, risk management and control.
  - Review the governance and assurance arrangements for significant partnerships or collaborations, including the Register of Significant Partnerships.
  - To consider the effectiveness of the Council's risk management arrangements including reviewing the Risk Management Strategy and Policy; and the Corporate Risk Register.
  - Review the assessment of fraud risks and potential harm to the Council from fraud and corruption including oversight of key anti-fraud policies and monitoring of the counter-fraud strategy.
  - To make recommendations to the Chief Finance Officer and Monitoring Officer in respect of Part 5 of the Council's Constitution (Financial Regulations).

### **Financial Reporting**

3. Review and approval of the annual Statement of Accounts. Specifically, to consider whether appropriate accounting policies have been followed and whether there are concerns arising from the financial statements or from the audit that need to be brought to the attention of the Council.
  - Consider the external auditor's report to those charged with governance on issues arising from the audit of the accounts and monitor the Council's response to individual issues of concern identified.
  - Approve the Council's Statement of Accounts and associated governance and accounting policy documents in accordance with the Accounts and Audit Regulations 2015.

## External Audit

4. Support the independence of external audit through consideration of the external auditor's annual assessment of its independence and review of any issues raised by Public Sector Audit Appointments (PSAA).
  - Consider the external auditor's annual audit plan, annual audit letter, relevant reports and the report to those charged with governance.
  - Advise and recommend on the effectiveness of relationships between external and internal audit and other inspection agencies or relevant bodies.

## Internal Audit

5. Oversee and provide assurance to the Council on the provision of an effective internal audit service and the main issues arising from Internal Audit work. In particular undertake the duties of the Board as set out in Public Sector Internal Audit Standards (PSIAS) as follows:
  - Approve the Internal Audit Charter
  - Review and approve the risk-based internal audit plan, including internal audit's resource requirements, including any significant changes, the approach to using other sources of assurance and any work required to place reliance upon those other sources.
  - Receive confirmation from the Head of Audit and Risk Management with regard to the organisational independence of the internal audit activity and make appropriate enquiries of management and the Head of Audit and Risk Management to determine whether there are inappropriate scope or resource limitations.
  - Provide free and unfettered access to the Audit Committee Chair for the Head of Audit and Risk Management, including the opportunity for a private meeting with the Committee.
  - Consider any impairments to independence or objectivity arising from additional roles or responsibilities outside of internal auditing of the Head of Audit and Risk Management. To approve and periodically review safeguards to limit such impairments.
  - Receive the results of the Quality Assurance and Improvement Plan annually and the external quality assessment of internal audit that takes place at least once every five years.
  - Receive communications from the Head of Audit and Risk Management on the internal audit activity's purpose, authority, responsibility and performance relative to its plan. To include significant risk exposures and control issues, including fraud risks, governance issues and other matters needed or requested by senior management and the Committee.



- Consider the Head of Audit and Risk Management's annual opinion and report.
- Seek assurance on the adequacy of management response to internal audit advice, findings and recommendations in the form of implementation of agreed action plans.
- To monitor the implementation and outcomes of the Council's internal audit programme and where required, to review summary and individual audit reports with significant implications for financial management and internal control.

### **Treasury Management**

6. To monitor the performance of the Treasury Management function including:
- approval of / amendments to the organisation's adopted clauses, treasury management policy statement and treasury management practices;
  - budget consideration and approval;
  - approval of the division of responsibilities; and
  - receiving and reviewing regular monitoring reports and acting on recommendations.

### **Additional role of Audit Committee**

7. To overview the Council's whistleblowing policy.

**Delegation:** In exercising the above powers and responsibilities, the Committee shall have delegated power to make decisions and act on behalf of the Council.

**Note:** The Committee may itself determine not to exercise its delegated powers and instead make recommendations to the Council.

## **CONSTITUTIONAL AND NOMINATION COMMITTEE**

### **Delegations**

1. To discharge the functions relating to elections set out in schedule 1(D) of the Local Authorities (Functions and Responsibilities) (England) Regulations 2000.

	<b>Function</b>	<b>Provision of Act</b>	<b>Delegation to Officers</b>
1	Duty to appoint an electoral registration officer.	Section 8(2) of the Representation of the People Act 1983.	Not Delegated
2	Power to assign officers in relation to requisitions of the registration officer.	Section 52(4) of the Representation of the People Act 1983.	Chief Executive
3	Duty to appoint returning officer for local government elections.	Section 35 of the Representation of the People Act 1983.	Not Delegated
4	Duty to divide constituency into polling districts.	Sections 18A, 18B, 18C, 18D and 18E of, and Schedule A1 to the Representation of the People Act 1983.	Not Delegated
5	Power to divide electoral divisions into polling districts at local government elections.	Section 31 of the Representation of the People Act 1983.	Not Delegated
6	Powers in respect of holding of elections.	Section 39(4) of the Representation of the People Act 1983.	Chief Executive
7	Power to pay expenses properly incurred by electoral registration officers.	Section 54 of the Representation of the People Act 1983.	Chief Executive
8	Power to fill vacancies in the event of insufficient nominations.	Section 21 of the Representation of the People Act 1985.	Chief Executive
9	Duty to declare vacancy in office in certain cases.	Section 86 of the Local Government Act 1972.	Chief Executive
10	Duty to give public notice of a casual vacancy.	Section 87 of the Local Government Act 1972.	Chief Executive
11	Power to make temporary appointments to parish councils.	Section 91 of the Local Government Act 1972.	Not Delegated
12	Power to submit proposals to the Secretary of State for an order for pilot schemes for local elections in England and Wales.	Section 10 of the Representation of the People Act 2000.	Not Delegated
13	Duties relating to publicity.	Sections 35, 41 and 52 of the Local Government and Public Involvement in Health Act 2007.	Chief Executive
14	Duties relating to notice to Local Government Boundary Commission.	Sections 36 and 42 of the Local Government and Public Involvement in Health Act 2007.	Chief Executive

2. To make payments and provide other benefits in cases of maladministration.

### **Referred to make recommendations**

3. To consider reports from time to time of the Monitoring Officer on the Council's Constitution and to make reports to Council on proposed amendments.

4. To make recommendations to Council on:
  - 4.1 the power to dissolve small parish councils under Section 10 of the Local Government Act 1972.
  - 4.2 the power to make orders for grouping parishes, dissolving groups and separating parishes from groups under Section 11 of the Local Government Act 1972.
  - 4.3 the duty to consult on change of scheme for elections under Sections 33(2), 38(2) and 40(2) of the Local Government and Public Involvement in Health Act 2007.
  - 4.4 the power to alter the years of ordinary elections of parish councillors under Section 53 of the Local Government and Public Involvement in Health Act 2007.
  - 4.5 functions relating to the change of the name of an electoral area under Section 59 of the Local Government and Public Involvement in Health Act 2007.
  - 4.6 the power to confer the title of honorary alderman or honorary alderwoman, or admit to be an honorary freeman or honorary freewoman, under Section 249 of the Local Government Act 1972.
  - 4.7 making, amending, revoking, re-enacting or enforcing of byelaws.
  - 4.8 the power to promote or oppose local or personal Bills under Section 239 of the Local Government Act 1972.
  - 4.9 the power to appoint "proper officers" for particular purposes.
  - 4.10 the appointment, removal, and substitution of members on committees of the Council and on joint authorities.
  - 4.11 the appointment, removal, and substitution of members to serve on joint committees and other bodies, insofar as they are not concerned with executive functions.
  - 4.12 the appointment of the Chairs of Scrutiny Committees, Ordinary Committees and Advisory Committees.
  - 4.13 establishing and control of the political balance requirements.
  - 4.14 functions relating to changing governance arrangements under Part 1A of the Local Government Act 2000.
  - 4.15 functions relating to community governance under the Local Government and Public Involvement in Health Act 2007 in relation to:
    - community governance reviews under Section 79 of the 2007 Act;

- community governance petitions under Sections 80, 83 to 85 of the 2007 Act;
- terms of reference of review under Sections 81(4) to (6) of the 2007 Act;
- power to undertake a community governance review under Section 82 of the 2007 Act;
- making of recommendations under Sections 87 to 92 of the 2007 Act;
- duties when undertaking review under Section 93 to 95 of the 2007 Act;
- duty to publicise outcome of review under Section 96 of the 2007 Act;
- duty to send two copies of order to Secretary of State and Local Government Boundary Commission under Section 98(1) of the 2007 Act; and
- power to make agreements about incidental matters under Section 99 of the 2007 Act.

4.16 the formal recognition of the outstanding contributions and achievements of individuals and organisations under Rule 31.3 of the Council Procedures Rules (“The City of Manchester Award”).

## **EMPLOYEE APPEALS COMMITTEE**

### **Delegations**

1. To determine appeals against dismissal.

## **PERSONNEL COMMITTEE**

1. To establish at the appropriate time panels of members as a sub-committee to act as appointment panels for the appointment of the Chief Executive and Chief Officers (except where the Chief Executive exercises delegated powers to appoint Non-Statutory Chief Officers (as defined in the Officer Employment Procedure Rules)).
2. To establish at the appropriate time two sub-committees to act as an investigating and disciplinary committee and an appeals committee in relation to disciplinary proceedings against the Head of Paid Service, the Monitoring Officer and the Chief Finance Officer.
3. To determine major changes to workforce strategy and major changes to terms and conditions.
4. To consider major Organisational Reviews.
5. To provide the Head of Paid Service, the Monitoring Officer and the Chief Finance Officer with such staff as are in the opinion of those officers sufficient to allow their statutory duties to be performed, where there is a specific statutory duty on the Council to make such provision.
6. To determine policies relating to local government pensions and discretionary compensation for early termination of employment.
7. To provide input, alongside the officers constituting the Employers' side of any Local Joint Committee with the relevant trade unions, in the event of a major dispute.
8. To make recommendations to Council in relation to the annual Pay Policy Statement, other statutory statements relating to staffing, and any amendments to such statements.
9. To make recommendations to Council in relation to decisions affecting the remuneration of any newly established or upwardly regraded post whose remuneration is or is proposed to be or would become £100,000 p.a. or more and in relation to proposed severance packages with a value of £100,000 or more.
10. To make recommendations to Council (or to the City Solicitor acting under delegated powers) in relation to the appointment of the senior coroner for the coroner area of Manchester (City) and to make recommendations to Council in accordance with the provisions of paragraph 15 of Schedule 3 to the Coroners and Justice Act 2009 in relation to agreeing the salary to be paid to the senior coroner or any area coroner where the salary is or is proposed to be or would become £100,000 p.a. or more.
11. Insofar as is compatible with any order made by the Lord Chancellor under Paragraph 2 of Schedule 3 to the Coroners and Justice Act 2009 to recommend whether the Council (or the City Solicitor acting under delegated powers) should appoint an area coroner for the coroner area of Manchester (City), and to recommend the number assistant coroners to be appointed by the Council (or the City Solicitor acting under delegated powers) for the coroner area of Manchester (City);

12. To make recommendations to the Council (or to the City Solicitor acting under delegated powers) in relation to:

- the salary to be paid to any area coroner (except in respect of where the salary is or is proposed to be or would become £100,000 p.a. or more, in which case any recommendation should instead be made to Council in accordance with delegation 9 above); and
- the amount of the fees to be paid to any assistant coroners,

in accordance with the provisions of paragraphs 16 and 17 of Schedule 3 to the Coroners and Justice Act 2009

### **Delegation**

In exercising the above powers and responsibilities, the Committee shall have delegated power (subject to Council Procedure Rule 9 – Reference to Council of decisions taken under Delegated Powers) to make decisions on behalf of the Council, except in relation to delegations 8 - 12 or any matter where:

- (a) the Head of the Paid Service determines the matter should be considered by full Council, or
- (b) the Council has resolved to determine the matter.

**Note:** The Committee may itself determine not to exercise its delegated powers and instead make recommendations to Council.

## **HEALTH AND WELLBEING BOARD**

1. To encourage, for the purpose of advancing the health and wellbeing of people in Manchester, persons who arrange for the provision of any health or social care services in Manchester to work in an integrated manner.
2. To provide such advice, assistance or other support as appropriate for the purpose of encouraging partnership arrangements under section 75 of the National Health Service Act 2006 between the Council and NHS bodies in connection with the provision of health and social care services.
3. To encourage persons who arrange for the provision of health-related services (i.e. services which are not health or social care services but which may have an effect on the health of individuals) to work closely with the Board and with persons providing health and social care services.
4. To exercise the functions of the Council and its partner **Integrated Care Boards (ICB)**~~Clinical Commissioning Groups~~ in preparing a joint strategic needs assessment under section 116 of the Local Government and Public Involvement in Health Act 2007 and a joint health and wellbeing strategy under section 116A of that Act.
5. To give the Council the opinion of the Board on whether the Council is discharging its duty to have regard to the joint strategic needs assessment and joint health and wellbeing strategy in discharging the Council's functions.
6. To exercise such other Council functions which are delegated to the Board by the Council or the Leader.
7. To appoint such sub-committees or joint sub-committees as the Board considers appropriate.



## STANDARDS COMMITTEE

1.The Council has delegated to the Standards Committee the following powers to deal with matters of conduct and ethical standards, and the Council’s Standards Committee has the following role and functions:

- (a) Promoting and maintaining high standards of conduct by Councillors, Co-opted Members and church and parent governor representatives;
- (b) Assisting Councillors, Co-opted Members and church and parent governor representatives to observe the Council’s Code of Conduct for Members;
- (c) Advising the Council on the adoption, revision or replacement of the Council’s Code of Conduct for Members and the Council’s Arrangements for dealing with Complaints that Council Members and Co-opted voting members of the Health and Wellbeing Board have failed to comply with the Council’s Code of Conduct for Members (“the Council’s Arrangements”);
- (d) Monitoring the operation of the Council’s Code of Conduct for Members and the Council’s Arrangements;
- (e) Advising, training or arranging to train Councillors and Co-opted Members and church and parent governor representatives on matters relating to the Council’s Code of Conduct for Members and other issues relating to Standards and Conduct;
- (f) To determine in accordance with the Council’s Arrangements whether a Council Member has failed to comply with the Council’s Code of Conduct for Members and, if so, to determine what action (if any) to take;
- (g) To take decisions in respect of a Council Member who is found on a hearing held in accordance with the Council’s Arrangements to have failed to comply with the Council’s Code of Conduct for Members (“the Subject Member), such actions to include:–
  - (i) Publication of the findings of the Standards (Hearing) Sub-Committee in respect of the Subject Member’s conduct;
  - (ii) Reporting the findings of the Standards (Hearing) Sub-Committee to Council for information;
  - (iii) Recommendation to Council that the Subject Member should be censured;

- (iv) Recommendation to the Subject Member's Group Leader (or in the case of ungrouped Members to Council) that the Subject Member should be removed from any or all Committees or Sub-Committees of the Council;
  - (v) Recommendation to the Leader that the Subject Member should be removed from the Executive, or removed from their Portfolio responsibilities;
  - (vi) Instructing the Monitoring Officer (or recommending to Ringway Parish Council) to arrange training for the Subject Member;
  - (vii) Recommendation to Council (or to Ringway Parish Council) that the Subject Member should be removed from all outside appointments to which the Subject Member has been appointed or nominated by the Council (or by Ringway Parish Council);
  - (viii) Withdrawal of (or recommendation to Ringway Parish Council that it withdraws) facilities provided to the Subject Member by the Council, such as a computer, website and/or e-mail and internet access; or
  - (ix) Placing such restrictions on the Subject Member's access to Council staff, buildings or parts of buildings as may be reasonable in the circumstances.
- (h) To grant dispensations from section 31(4) of the Localism Act 2011 (after consultation with one of the Council's Independent Persons) if having had regard to all relevant circumstances, the Standards Committee:-
- (i) considers that granting the dispensation is in the interests of persons living in the Council's area; or
  - (ii) considers that it is otherwise appropriate to grant a dispensation.
- (i) To determine appeals against the Monitoring Officer's decision on the grant of dispensations;
- (j) To deal with any reports from the Monitoring Officer on any matter which is referred to it for determination;
- (k) To deal with reports of the Monitoring Officer regarding breaches of the protocols/guidance to Members accompanying the Council's Code of Conduct for Members which do not in themselves constitute a breach of that Code;

- (l) To report from to time to time to Council on ethical governance within the City Council.

Additional Roles of Standards Committee

- (a) ...
- (b) to consider the Code of Corporate Governance and the Annual Governance Statement.

Delegation

The Standards Committee may appoint one or more sub-committees for the purpose of discharging any of the Committee's functions. Any such sub-committee shall have a quorum of three voting members (and in relation to the matters referred to in 1. f), g), h), i) and k) an Independent Member must also be present).

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# SECTION F

## Scheme of Delegation to Officers

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CITY SOLICITOR

DEPUTY CHIEF EXECUTIVE

~~AND CITY TREASURER~~

STRATEGIC DIRECTOR (CHILDREN'S AND EDUCATION SERVICES)

EXECUTIVE DIRECTOR OF ADULT SOCIAL SERVICES

STRATEGIC DIRECTOR (GROWTH AND DEVELOPMENT)

STRATEGIC DIRECTOR (NEIGHBOURHOODS)

DIRECTOR OF POPULATION HEALTH AND WELLBEING

ASSISTANT CHIEF EXECUTIVE

#### CHAPTER 3A – OFFICERS

DIRECTOR OF HIGHWAYS

DIRECTOR OF ~~HUMAN RESOURCES, ORGANISATIONAL DEVELOPMENT & TRANSFORMATION~~ ~~HR AND OD~~

DIRECTOR OF ICT

DIRECTOR OF EDUCATION

~~DEPUTY CITY TREASURER~~ ~~ASSISTANT DIRECTOR (CORPORATE FINANCE)~~

DIRECTOR OF PLANNING, BUILDING CONTROL AND LICENSING

#### CHAPTER 3B – OFFICERS

~~DIRECTOR OF CAPITAL PROGRAMMES~~

DIRECTOR OF DEVELOPMENT ~~AND STRATEGIC HOUSING~~

~~DIRECTOR OF CAPITAL PROGRAMMES~~

DIRECTOR OF INCLUSIVE ECONOMY

DIRECTOR OF COMMERCIAL AND OPERATIONS  
HEAD OF COMPLIANCE, ENFORCEMENT AND COMMUNITY SAFETY  
PREVENT AND COMMUNITY COHESION COORDINATOR

# CHAPTER 1

## INTRODUCTION

1. Officers may only exercise the delegated powers in this Scheme in accordance with:
  - 1.1 statute or other legal requirements, including the principles of public law, the Human Rights Act 1998, statutory guidance and statutory codes of practice.
  - 1.2 the Constitution, the Council's Register of the Delegation of Executive Functions, Standing Orders and Financial Regulations of the Council.
  - 1.3 the revenue and capital budgets of the Council, subject to any variation thereof which is permitted by the Council's ~~f~~Financial ~~r~~Regulations.
2. Officers may not exercise delegated powers where -
  - 2.1. the matter is reserved to the Council by law or by the Council's Constitution.
  - 2.2. the matter is a function which cannot by law be discharged by an officer.
  - 2.3. the Council, a Committee or Sub-committee (in the case of a non-executive function), or the Leader or the Executive (in the case of an executive function), has determined that the matter should be discharged otherwise than by an officer.
  - 2.4. the relevant Executive Member or the Leader of the Council (in the case of an executive function) has directed that the matter should be referred to the Executive or the appropriate committee or sub-committee for determination.
  - 2.5. the Chief Executive has directed that the officer concerned should not exercise a delegated function in special circumstances.
3. Subject to 1 above, officers may not exercise delegated powers in a way contrary to the policies and plans approved by or on behalf of the Council.
4. Before exercising delegated powers, particularly on matters involving the reputation of the Council, officers should consider the advisability of consulting the Chief Executive or the appropriate Chief Officer and/or the appropriate Executive Member.
5. Before exercising delegated powers, on matters of significance to a particular ward or wards, officers should consult, where practicable, the relevant ward councillors.
6. The delegated powers of officers set out in this Scheme (or elsewhere in Part 3 of this Constitution) may be exercised by other officers authorised by the officer with the delegated power to act on their behalf and in their name, provided that those officers report directly or indirectly to the officer with the delegated power. The officer with the delegated power should ensure that administrative procedures are in place to record the authorisation and to record and monitor decisions taken by officers acting under the authorisation.

7. Any reference in this Scheme of Delegation to any enactment shall include a reference to any amendment to or re-enactment of the same.
8. For the purposes of this Scheme, except where expressly provided otherwise, the term "Chief Officer" will be defined as those officers defined as such in Part 8 of this Constitution. "Non-Statutory Chief Officer" shall have the same meaning as in the Officer Employment Procedure Rules in Part 4 Section F of this Constitution. "Chapter 3A Officer" means any of those officers included in Chapter 3A of this Scheme.
9. Where an officer has delegated authority to discharge functions by virtue of any other provision of this Constitution or a specific decision of the Council, a committee, a sub-committee (in the case of a non-executive function) or the Leader or the Executive (in the case of an executive function) whether made before or after the adoption of this Scheme, the absence of that delegation from this Scheme shall not be treated as preventing the exercise of that delegation.
10. Where an officer in exercise of delegated authority takes an Executive decision, a record of that decision must be made in accordance with Rule 22 of the Access to Information Procedure Rules in Part 4 Section B of this Constitution. In addition, where that Executive decision is also a "key decision" (as defined in Rule 15 of the Access to Information Procedure Rules) the officer must ensure that the particular publicity and procedural requirements relating to key decisions are met.
11. Where an officer in exercise of delegated authority takes a non-Executive decision, a record of that decision must be made in accordance with Rule 23 of the Access to Information Procedure Rules (where the non-Executive decision is of a type that is covered by that Rule).
12. Where, in relation to an item before the Council, the Executive, a Committee, or a Sub-Committee, an officer is given specific authority to determine a particular matter, the officer should ensure that there is an appropriate audit trail to evidence such determination.
13. Where an officer has delegated authority to discharge functions in consultation with an Executive member, in the absence of that Executive member the officer may discharge such function in consultation with the Leader instead (or in the Leader's absence, in cases of urgency, with the Deputy Leader).
14. Where the delegation provides for discharge of a function in consultation with the Leader, in the absence of the Leader the officer may discharge the function in case of urgency in consultation with the Deputy Leader instead.
15. Where an officer who is not a Chief Officer (as defined in Part 8 of this Constitution) has delegated authority to discharge a function ("the delegated function") by virtue of this Constitution, the relevant Chief Officer in relation to that officer or post may discharge the delegated function where that officer is unable to act, through absence or otherwise, or where the post with the delegated function is vacant or has been disestablished.



16. The delegations in this Scheme of Delegation include the discharge of both executive and non-executive functions.
17. The Scheme describes particular functions as executive functions and non-executive functions for illustrative purposes only, and misattribution of a function as executive or non-executive shall not be treated as preventing the exercise of the delegation.

## **GENERAL DELEGATIONS TO ALL CHIEF OFFICERS AND CHAPTER 3A OFFICERS**

*The delegations below may only be exercised by Chief Officers / Chapter 3A Officers in connection with their own service area(s).*

### **General Functions**

These functions can be either Executive or Non-Executive depending on the circumstances.

- 1 To be responsible for the management of their service area(s)
2. To determine officer and Member attendance at Conferences/Seminars/visits, including those held abroad (in the case of Member attendance, in consultation with the appropriate Chair or Executive Member).
3. Subject to any delegations to specific officers, the nomination, appointment and removal of representatives to serve on relevant outside organisations.
4. To determine (and agree increases in) fees and charges (where there is an express power to charge), in consultation with the appropriate Chair or Executive Member.
5. To reduce elements of fees and charges for individual events or items whereby such action will increase, overall, the income to the Council.
6. Service of statutory notices or orders and/or arranging for the execution of work consequent on non-compliance with such notices or orders in relation to aspects of their service in consultation where appropriate with the Chief Executive.
7. To exercise the responsibilities assigned to the officer concerned under the Financial Regulations.

### **Non-Executive Functions**

#### **Financial**

8. To agree payments not exceeding £1000 in cases of maladministration.
9. To approve financial assistance for private legal proceedings on behalf of employees, on the advice of the City Solicitor, where the police/Crown Prosecution Service have declined to prosecute in cases of violence to employees.

#### **Human Resources**

10. Assignment of temporary posts which are for a period not exceeding 12 months.
11. Filling of vacant posts within approved establishments except Chief Officer and Deputy Chief Officer posts.
12. Establishment of additional posts and re-grading of existing posts:

- (a) up to and including Grade 11;
- (b) Grade 12 and over but below £100,000 salary, in consultation with the Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~,

provided in all cases the proposals are within available budget and Grades to be assigned through the Council's Job Evaluation process.

13. Agreement to employees progressing beyond the competency bar.
14. In exceptional circumstances granting acceleration of increments for any staff within their substantive grade for merit and ability.
15. Determination of extensions of sickness allowance up to a maximum of one year on full pay, in consultation with the Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~.
16. In exceptional circumstances determination of planned overtime for officers above Spinal Column Point 25, in consultation with the Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~, provided the overtime payments can be accommodated within existing budgets.
17. Determination of the payment of removal expenses, lodging allowances or travelling allowances.
18. Determination of requests for the reimbursement of post-entry training and examination fees.
19. Determination of proposals to attend training courses.
20. Determination of proposals for the retention fees for trainers.
21. Determination of staff grievances.
22. Discipline, suspension and/or dismissal of employees.
23. Authority to assimilate staff on appointment or regrading where appropriate within the approved grade in exceptional circumstances where agreed by the relevant Chief Officer on the basis of a clear business case and in accordance with the Council's Pay Policy Statement.
24. To determine market rate supplements for posts, in consultation with the Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~ and subject to review by **the** Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~ after 12 months.
25. To agree requests or recommendations for honoraria (in consultation with the Director of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~ in the case of honoraria in respect of posts Grade 12 and over), subject to review by the Director of **Human Resources, Organisational Development &**

**Transformation** ~~HR and OD~~ for honoraria of more than 12 months' duration, provided in all cases the proposals are within available budget.

26. ~~To approve the filling of vacant posts within approved establishments (except for Chief Officer and Deputy Chief Officer posts). [...]~~

### Miscellaneous

27. To require information as to interests in land under Section 16 of Local Government (Miscellaneous Provisions) Act 1976.
28. To submit applications for deemed planning consent in respect of development by the Council.

### Health and Safety at Work Functions

29. Functions under any of the "relevant statutory provisions" within the meaning of Part 1 (health, safety and welfare in connection with work, and control of dangerous substances) of the Health and Safety at Work etc. Act 1974, to the extent that those functions are discharged otherwise than in the Council's capacity as an employer.

### Executive Functions

#### Business Plan/Policy

30. Implementation in conjunction with Heads of Service of Departmental Business Plans and delivery of service as defined therein within the annual cash limited net budget allocation identified to a particular service area within the overall approved Council budget.

#### Financial

31. To accept tender(s) or bid(s) for the carrying out of works for the Council, the purchase, leasing or hiring of goods, materials and equipment by the Council, or the supply of services to the Council, where the estimated contract value does not exceed £250,000 or where it does exceed £250,000 with the agreement of the **Deputy Chief Executive and City Treasurer** and, in the case of Chapter 3A Officers, the approval of the Chief Officer to whom the Chapter 3A Officer reports., In all cases budget provision must be available.
32. To enter into contract documentation following tender/bid acceptance (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).
33. To negotiate and agree price increases where a contract provides for price increases to be negotiated and agreed by the parties, subject to the agreement of the **Deputy Chief Executive and City Treasurer**.
34. The assignment or novation of a contract.

35. The approval of the appointment of or the acceptance of the tender of a sub-contractor or supplier for the specialist work or material provided that this does not result in the budget provision for the works as a whole being exceeded.
36. Provided that authority to let a contract has been obtained: -
- 36.1 Acceptance of the highest tender or bid for the award of concession/sponsorship contracts and the acceptance of other than the highest tender or bid with the agreement of the ~~Chief Executive and the Deputy Chief Executive and City Treasurer~~.
- 36.2 Entry into contract documentation following tender/bid acceptance (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).
37. To bid and tender for and enter into contract documentation for the supply of works, goods or services to other bodies where provided for in the relevant Business Plan (subject to the provisions of Financial Regulations relating to contract formalities and the execution of contracts under seal).
38. Subject to the agreement of or in accordance with guidance issued by the ~~Deputy Chief Executive and City Treasurer~~: -
- 38.1 the writing-off of debts which are irrecoverable or losses due to burglaries, etc.;- and
- 38.2 the writing-off or making adjustments in respect of deficiencies or surpluses of equipment, furniture, fittings, stock, office equipment, plant and machinery, stocks etc.
39. Provision of reasonable hospitality to representatives of other authorities visiting the Department or premises under the Chief Officer's / Chapter 3A Officer's control, subject to agreement by the Chief Executive for expenditure in excess of £500.
40. To make grants and loans to voluntary and other organisations in accordance with such grant procedures in place at the time of making the grant.
41. To vary the price of goods and services supplied, in accordance with changes in the cost incurred by the Council, in consultation with ~~the Deputy Chief Executive and City Treasurer~~.
42. To negotiate and agree variations in contracts arising out of statutory requirements.
43. Determination of ex-gratia claims for damage to, or loss of, personal property subject to the approval of the City Solicitor for claims in excess of £1000.
44. To settle claims not exceeding £1000 in line with the Council's procedure for low value claims handling.
45. ~~—Disposal~~ Sale or disposal of surplus or obsolete equipment, (except vehicles), furniture, fittings, office equipment, plant and machinery, stocks etc. in a

**sustainable and economical way, which represents best value and/or serves the financial interests of the Council.** ~~to the highest tenderer.~~

Land and Property

46. Management of land, property, accommodation and facilities held or used for a purpose within their remit.
47. Authorising the use of premises for political meetings (other than public election meetings held in accordance with candidates' rights under electoral legislation) but with a discretion for the Officer to refuse any such application, after consultation with the Leader of the Council, the Leader of the Opposition and the Chief Executive, where the Officer is of the opinion that a breach of the peace, injury to persons, damage to the Council's premises or equipment, is likely to arise should such a meeting take place.

Miscellaneous

48. Production of publications, relating to the service provided, for sale or free distribution to the public, subject to Section 2 of the Local Government Act 1986 and the Code of Recommended Practice on Local Authority Publicity.
49. Authorisation to statutory authorities to carry out essential works.
50. To respond to consultation papers relating to matters within the Officer's remit, subject to consultation with the appropriate Executive Member where the consultation raises policy issues and (where the matter has corporate significance) the Chief Executive.
51. To exercise the functions of the Council pursuant to Section 115 (disclosure of information to a relevant authority) of the Crime and Disorder Act 1998.
52. To arrange for the determination of requests for information under the Freedom of Information Act 2000 and the Environmental Information Regulations 2004, including dealing with internal reviews and complaints, and the determination of requests to exercise individual data subject rights under data protection legislation and the determination of requests by third parties for disclosure of personal data, including where there is reliance on relevant exemptions set out in data protection legislation.
53. To arrange for:
  - 53.1 (subject to consultation with the City Solicitor) the entering into of Information Sharing and Data Processing Agreements; and
  - 53.2 effective reporting of breaches of data protection legislation.
54. Authorise the appointment of consultants and professional advisers.
55. Power to authorise entry onto premises under relevant legislation in connection with the Council's functions and provide signed authorisation for such entry.

# **CHAPTER 2**

## **CHIEF EXECUTIVE**

The holder of the post of Chief Executive shall have overarching responsibility for the Council's paid service, with direct management responsibility for the senior management team, and without prejudice to the foregoing shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations, and any legal requirements, to:

### **General Functions**

These functions can be either Executive or Non-Executive depending on the circumstances.

1. Discharge any function of the Council or the Executive which has not been specifically delegated to another officer, committee or otherwise reserved by full Council or the Executive and may direct any officer not to exercise a delegated function in special circumstances except where that officer is required to do so by law.
2. Authorise officers to enter premises in exercise of specific statutory powers.
3. Take any action which is required as a matter of urgency in the interests of the Council, in consultation with the Leader if time permits.
4. Take preliminary steps to protect the rights and interests of the Council subject to consultation with the Leader in relation to any Bill or Statutory Instrument or Order in Parliament.
5. Nominate, appoint and remove, in consultation with the Leader, Council representatives on the board of companies, trusts and other bodies (including those companies, trusts and other bodies on which the Council has representatives who have been nominated by other bodies) and to agree constitutional arrangements for such companies, trusts and other bodies and give any necessary consent required within relevant constitutions.
6. Discharge any function that has been delegated to a Chief Officer (as defined in Part 8 of this Constitution), where that Chief Officer is unable to act, through absence or otherwise, or where the Chief Officer post with the delegated function is vacant or has been disestablished.

### **Non-Executive Functions**

7. Discharge the following functions of the Council relating to parliamentary elections, local government elections and referenda:
  - 7.1. Power to assign officers in relation to requisitions of the registration officer (Section 52(4) of the Representation of the People Act 1983)

- 7.2 Powers in respect of holding of elections (Section 39(4) of the Representation of the People Act 1983)
  - 7.3 Power to pay expenses properly incurred by electoral registration officers (Section 54 of the Representation of the People Act 1983)
  - 7.4 Power to fill vacancies in the event of insufficient nominations (Section 21 of the Representation of the People Act 1985)
  - 7.5 Duty to declare vacancy in office in certain cases (Section 86 of the Local Government Act 1972)
  - 7.6 Duty to give public notice of a casual vacancy (Section 87 of the Local Government Act 1972)
  - 7.7 Duties relating to publicity (Sections 35, 41 and 52 of the Local Government and Public Involvement in Health Act 2007)
  - 7.8 Duties relating to notice to the Local Government Boundary Commission for England (Sections 36 and 42 of the Local Government and Public Involvement in Health Act 2007)
8. To appoint Deputy Electoral Registration Officers.
  9. In consultation with the Leader, Executive Member with portfolio responsibility for Human Resources, Chair of Personnel Committee and relevant Portfolio Executive Member to appoint Non-Statutory Chief Officers in accordance with the Officer Employment Procedure Rules in Part 4 Section F of this Constitution.
  10. In consultation with the ~~Deputy Chief Executive and~~ **City Treasurer**, to administer the scheme of Members' allowances and to uprate such allowances annually in accordance with the formula agreed by the Council.
  11. Agree in consultation with the Leader, starting salaries (within the range determined by the Council) on appointment of Chief Officers and for any assimilations into revised grades if the Chief Officer's post is re-graded.
  12. The making of arrangements with other local authorities for the placing of staff at the disposal of those other authorities.
  13. Power to register common land or town or village greens, except where the power is exercisable solely for the purpose of giving effect to an exchange of lands effected by an order under Section 19(3) of, or paragraph 6(4) of Schedule 3 to, the Acquisition of Land Act 1981.
  14. Power to register variation of rights of common.
  15. To discharge the Council's functions relating to the registration of common land and town or village greens pursuant to Part 1 of the Commons Act 2006 and the Commons (Registration of Town or Village Greens) (Interim Arrangements) (England) Regulations 2007.



## **Executive Functions**

### **Financial**

16. Incur expenditure (including the making of grants and loans) up to £100,000 and above that level (up to £500,000) in consultation with the Leader, for the purposes of promoting or improving economic social or environment well-being of the City consistent with the Manchester Strategy ('Our Manchester').
17. Make all decisions relating to the reclaim of capital grants, cessation of revenue grants and variation to any legal agreement (including financial returns to the Council) in relation to the above expenditure.
18. Determine applications (in consultation with the Leader) for financial assistance for anti-deportation campaigns up to a maximum of £500.
19. Administer, following consultation with the Leader, the economic regeneration fund for the purposes of improving services, keeping and generating jobs, attracting visitors to the City or raising Manchester's national and international profile.
20. Be responsible for Capital Investment bids for the refurbishment of property, changes in office layout, replacement of lifts, heating and other plant.

### **Human Resources**

21. Maintain the list of Senior Nominated Officers in connection with establishment arrangements for the protection of children.

### **21A. Making determinations in relation to 'Special Sseverance Payments' (as referenced in Statutory Guidance) of £20,000 and above up to (but not including) £100,000 in consultation with the Leader.**

### **Manchester Airport.**

22. Grant consent to the waiver of covenants or to variations to the Leases granted to Manchester Airport group of Companies where these are urgently required to enable the Company to carry out specific development projects.
23. Acquire land and property within the Airport's operational area on behalf of Manchester Airport group of Companies subject to no net cost to the Council being incurred and to the basis of the purchase and the provisional terms being approved by the Airport Board.
24. Exercise any functions of the Council under the byelaws relating to Manchester Airport, including the authorisation of persons to act on behalf of the Council.

### **Miscellaneous**

25. Deal with emergencies and disasters and the carrying out of civil defence functions.

26. Without prejudice to the specific delegations to other Council officers, to exercise a strategic overview of the Council's communications.
27. Deal with issues relating to the Commission for Local Administration provided that: -
  - 27.1 Complaints involving Members shall be notified to the Member concerned and the Leaders of the majority and minority parties;
  - 27.2 Complaints involving the Chief Executive shall be undertaken by a Chief Officer nominated by the Leader;
  - 27.3 The Leader shall be consulted about statements to be published with the Local Commissioner.
28. Determine arrangements for civic hospitality.
29. Determine attendance at conferences where more than one service is involved in consultation with appropriate Executive Members, Chairs and Chief Officers / Chapter 3A Officers.
30. ~~Attend and vote on behalf of the Council at any meeting of Manchester Airport Holdings Limited meetings, including meetings of any associated companies of Manchester Airport Holdings Limited and to nominate a member of staff to attend and vote where the Chief Executive is unable to do so.[...]~~
31. Attend and vote on behalf of the Council at meetings of any ~~other~~ company or organisation of which the Council is a member or shareholder, and to nominate a member of staff to attend and vote where the Chief Executive is unable to do so.
32. Following consultation with appropriate partners, to appoint (remove and hold to account) the Chairs of the Manchester Safeguarding Partnership and Manchester Safeguarding Adults Board.
33. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

*The CHIEF EXECUTIVE is designated as the Council's HEAD OF PAID SERVICE for the purposes of Section 4 of the Local Government and Housing Act 1989. The duties of the Head of Paid Service are to report to the Council where necessary setting out proposals with respect to the co-ordination of the Council's functions, the number and grades of staff required and the organisation, appointment and proper management of the Council's staff.*

*The Chief Executive is also:*

- a. *The electoral registration officer.*
- b. *The returning officer for the election of Councillors and Parish Councillors.*
- c. *Responsible in consultation with the Council's monitoring officer for considering and determining applications for exemptions from political restriction;*

d. *The proper officer:*

- i) responsible for the list of politically restricted posts;*
- ii) (together with the City Solicitor) in respect of declarations of acceptance of office for the purpose of Section 83 of the Local Government Act 1972;*
- iii) for the purpose of any enactment, unless the Council or this Constitution designates another officer for the purpose of a specific enactment.*

## **CITY SOLICITOR**

The holder of the post of City Solicitor shall act as Solicitor to the Council and shall be responsible for the management of the City Solicitor's **Department within the Deputy Chief Executive's** Division of the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations, and any legal requirements, to:

### **General Functions**

These functions can be either Executive or Non-Executive depending on the circumstances.

1. To institute, conduct, prosecute, defend and, if in the interests of the Council, to settle any legal proceedings or threatened legal proceedings on behalf of the Council, or where the Council considers it expedient for the protection or the promotion of the interests of the inhabitants of the City, subject to consultation with the Leader in any case where the Council is to appeal to the Court of Appeal or the Supreme Court.
2. To determine procedural arrangements for the issue of all consents, refusals, decisions and notices on behalf of the Council under statutory powers.
3. To determine applications made under the Council's Scheme of Allowances to employees injured in the course of their employment.
4. To approve the entering into of arrangements for the provision of legal services to third parties.

### **Non-Executive Functions**

5. Make arrangements for reviews of the permanent exclusion of pupils.
6. Make arrangements for school admission appeals.
7. Make arrangements for appeals by governing bodies.
8. Approve premises for the solemnisation of marriages and civil partnerships.
9. Maintain a register of common land and a register of town or village greens.
10. Power to apply for an enforcement order against unlawful works on common land pursuant to Section 41 of the Commons Act 2006.
11. Power to protect unclaimed registered common land and unclaimed town or village greens against unlawful interference pursuant to Section 45(2)(a) of the Commons Act 2006.
12. Power to institute proceedings for offences in respect of unclaimed registered common land and unclaimed town or village greens pursuant to Section 45(2)(b) of the Commons Act 2006.

13. To designate an officer of the Council to discharge the functions of the Council's Scrutiny Officer under Section 9FB of the Local Government Act 2000.
14. To appoint (i) a Senior Coroner for the Manchester (City) Coroner area and (ii) area Coroner(s) or any Assistant Coroner(s) to the Manchester (City) Coroner area, in accordance with the Coroners and Justice Act 2009.
15. To appoint, in consultation with the Leaders of political groups represented on the Council, members of the independent remuneration panel established under regulation 20 of the Local Authorities (Members' Allowances) (England) Regulations 2003.

### Ethical Standards

16. To act as the Council's proper officer to receive complaints that Council members have failed to comply with the Council's Code of Conduct for Members;
17. To determine, after consultation with the Independent Person, and in accordance with the Council's Arrangements for dealing with complaints that Council Members have failed to comply with the Council's Code of Conduct for Members ("the Council's Arrangements") including whether to reject, informally resolve or investigate a complaint or and where appropriate following an Investigating Officers report convene a hearing.
18. To issue guidance to be followed by an Investigating Officer on the investigation of complaints.
19. Independent Persons and Co-opted Independent Members
  - a. To make arrangements to advertise a vacancy, shortlist and make interview arrangements for recommendations to the Council on the appointment of:
    - i. Independent Persons and
    - ii. Co-Opted Independent Members.
20. Council's Register of Member's Interests
  - a. To prepare and maintain a Council Register of Member's Interests to comply with the requirements of the Localism Act 2011 and the Council's Code of Conduct for Members, and ensure that it is available for inspection and published on the Council's website as required by the Act.
  - b. To prepare and maintain a register of Member's interests for Ringway Parish Council to comply with the Localism Act 2011 and the Code of Conduct adopted by Ringway Parish Council and ensure that it is available for inspection as required by the Act.

## 21. Dispensations

To grant dispensations from Section 31(4) of the Localism Act 2011 if, having had regard to all relevant circumstances, the Monitoring Officer:-

- (i) considers that without the dispensation the number of persons prohibited by Section 31(4) of the Localism Act from participating in any particular business would be so great a proportion of the body transacting the business as to impede the transaction of the business; or
- (ii) considers that without the dispensation each member of the Council's Executive would be prohibited by Section 31(4) of the Localism Act from participating in any particular business to be transacted by the Council's Executive.
- (iii) considers that without the dispensation the representation of different political groups on the body transacting any particular business would be so upset as to alter the likely outcome of any vote relating to the business.

### **Executive Functions**

- 22. Under Section 223 of the Local Government Act 1972 to authorise officers who are not admitted solicitors to appear in Magistrates' Court on behalf of the Council.
- 23. Under Section 60 of the County Courts Act 1984, to authorise officers of the Council to conduct actions on behalf of the Council in the County Court before a district judge in respect of: -
  - 23.1 the recovery of possession of houses and other property belonging to the Council;
  - 23.2 the recovery of any rent, mesne profits, damages or other sum claimed by the Council in respect of the occupation by any person of such a house.
- 24. To arrange for the discharge of the Council's functions relating to petitions.
- 25. To arrange for the discharge of the Council's functions relating to Local Land Charges.
- 26. To appoint a secretary to Local Access Forums and to provide administration and support to the same and its members.
- 27. Without prejudice to the generality of the above delegations: -
  - 27.1 To prosecute any person who places or maintains a notice deterring public use of Access Land under Section 14 of the Countryside and Rights of Way Act 2000.
  - 27.2 To prosecute contravention of byelaws in relation to Access Land.
  - 27.3 To defend appeals against notices served under Sections 36(3) and 37(1) of the Countryside and Rights of Way Act 2000.

- 27.4 To prosecute for obstruction of persons entering land pursuant to Section 40 of the Countryside and Rights of Way Act 2000.
28. To maintain a list of assets of community value and a list of land nominated by unsuccessful community nominations, pursuant to Part 5 (Chapter 3) of the Localism Act 2011 and to discharge any ancillary functions under that act in relation to the nomination and/or review of assets of community value.
29. To act as the Council's Senior Information Risk Owner and to oversee the Council's responsibilities under data protection legislation (including the UK General Data Protection Regulation and the Data Protection Act 2018), the Freedom of Information Act 2000 and the Environmental Information Regulations 2004.
30. To approve information governance policies and guidance, except for those specifically relating to Information and Communications Technology (ICT) matters.
31. To provide training to Members that is relevant to their role in the carrying out of 'Council business' (as defined in the Use of Council Resources Guidance for Members contained in Section C of Part 6 of this Constitution).
32. To control and co-ordinate press and media relations, the organisation of press conferences, publicity and public relations including the issue of official publicity and official publications.
33. To enter into Agreements in relation to any of the above matters.

*The CITY SOLICITOR is designated as the Council's MONITORING OFFICER for the purposes of Section 5 of the Local Government and Housing Act 1989. The functions of the monitoring officer are listed in Article 12.3 in Part 2 of the Constitution and include:*

- *Report on contraventions or likely contraventions of any enactment or rule of law.*
- *Report on any maladministration or injustice where the Ombudsman has carried out an investigation.*
- *Appointment of a Deputy.*
- *Power to delegate functions of the Monitoring Officer.*
- *Establish and maintain the Register of Members' interests.*
- *Maintain, monitor and review the Constitution (and to make minor or consequential changes to the Constitution in accordance with Article 15.2(a) of Part 2 of the Constitution).*
- *Support the Standards Committee.*
- *Promote and maintain high standards of conduct.*
- *Advise on whether executive decisions are within the Budget & Policy Framework.*
- *Provide advice on vires issues, maladministration, financial impropriety, probity, Budget and Policy Framework issues to all members.*

*The City Solicitor is also:*

1. *Authorised to act as Solicitor to the Council.*
2. *The Clerk to the Independent Education Appeals Panel and authorised to appoint lay members to serve on the Panel.*
3. *The monitoring officer for Ringway Parish Council.*
4. *The Senior Responsible Officer on behalf of the Council in relation to Part 1 of the Regulation of Investigatory Powers Act 2000.*
5. *Responsible for approving and authorising officers to act as: -*
  - a. *An Authorising Officer in relation to Part 2 of the Regulation of Investigatory Powers Act 2000, and*
  - b. *A designated person and single point of contact in relation to Part 1 of the Regulation of Investigatory Powers Act 2000.*
6. *The proper officer:*
  - a. *for the purposes of Sections 225 (Deposit of Documents) and 229 (Certification of Photocopies) of the Local Government Act 1972.*
  - b. *in respect of declarations of acceptance of office for the purpose of Section 83 of the Local Government Act 1972.*
  - c. *for the Registration of Births, Deaths and Marriages or to nominate in writing another officer to be the Proper Officer.*
  - d. *for Access to Information.*
7. *The qualified person for the purposes of section 36 of the Freedom of Information Act 2000.*



## **DEPUTY CHIEF EXECUTIVE ~~AND CITY TREASURER~~**

The holder of the post of Deputy Chief Executive ~~and City Treasurer~~ shall be responsible for the management of the **Deputy Chief Executive's Division of the** Corporate Core Directorate ~~(except for the City Solicitor's Division)~~ and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements, to:

### **General Functions**

These functions can be either Executive or Non-Executive depending on the circumstances.

- ~~1. To exercise the responsibilities assigned to the Deputy Chief Executive and City Treasurer in the Financial Regulations.~~
- ~~2. In consultation with the Executive Member with portfolio responsibility for Finance, to determine the council tax base pursuant to the Local Government Finance Act 1992 and the Local Authorities (Calculation of Council Tax Base) (England) Regulations 2012.~~
- ~~3. In consultation with the Executive Member with portfolio responsibility for Finance, to estimate any surplus or deficit with respect to council tax in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.~~
- ~~4. In consultation with the Executive Member with portfolio responsibility for Finance, to estimate any surplus or deficit with respect to business rates in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.~~
- ~~5. In consultation with the Executive Member with portfolio responsibility for Finance, to calculate the Council's business rate income for budget setting purposes, including the share of such income of central government and the Greater Manchester Combined Authority ("GMCA") and such other calculations in relation to business rate income as may be required by the Local Government Finance Acts 1988 and 2012 and any regulations made thereunder.~~
- ~~6. To approve Form NNDR1 and notify relevant business rate calculations to the Secretary of State and the GMCA.~~
- ~~7. To agree dates for payment of their respective shares of council tax with the GMCA and of business rates with central government, the GMCA the relevant major precepting authorities.~~
- ~~8. In consultation with the Executive Member with portfolio responsibility for Finance to determine whether the Council should be part of a business rate pooling arrangement with other local authorities.~~
- 19.** To exercise a strategic overview of the Council's functions and services relating to environmental strategy and subject to consultation with the City Solicitor to enter into Agreements in relation to the same.

## **Non-Executive Functions**

- ~~10.— Determine appeals against the decisions of officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.~~
- ~~11.— Subject to 12 and 14 below, to exercise the functions of the authority in relation to pensions.~~
- ~~12.— In consultation with the relevant Chief Officer, to determine applications for voluntary early retirement (subject to consultation with the Executive Member with portfolio responsibility for Finance where applications for voluntary early retirement have a capital cost) and to determine discretionary payments/awards in connection with such applications in accordance with the Council's policy statement.~~
- ~~13.— In consultation with the Executive Member with portfolio responsibility for Finance and the City Solicitor, to determine requests for guarantees to the Greater Manchester Pension Fund from bodies entering into admission agreements with the Fund.~~
- ~~14.— Be the person specified to determine disputes in the first instance arising from the decisions of the Council as scheme employer under the Local Government Pension Scheme Regulations 2013.~~
- ~~15.— Determine appropriate arrangements for the reimbursement of expenditure incurred by staff in the exercise of Council duties, including establishing petty cash accounts where this is the most appropriate arrangement.~~
- ~~16.— To operate the assisted car / bicycle purchase scheme.~~
- ~~17.— To discharge the functions of the "Responsible Financial Officer" under the Accounts and Audit Regulations 2015, including the requirement under Regulation 9(1) to sign and date the statement of accounts, and certify that it presents a true and fair view of the financial position of the Council at the end of the year to which it relates and of the Council's income and expenditure for that year.~~
- ~~18.— To discharge the functions of the Council under the Accounts and Audit Regulations 2015 with the exception of Regulations 6(2), 9(2) and 20(1).~~
- 219.** To consider grievances which cannot appropriately be resolved at departmental level.

## **Executive Functions**

### **Financial**

- ~~20.— To raise loans and make investments (temporary investment of surplus monies and longer term investment of monies held for specific purposes) as and when required at appropriate rates.~~
- ~~21.— To enter into leasing agreements for items included within the approved capital or revenue budgets.~~

- ~~322.~~ To assess and administer the Council Tax support scheme and Housing and Education benefits.
- ~~423.~~ To determine requests for the award of discretionary housing payments in exceptional circumstances.
- ~~524.~~ To grant discretionary rate relief under Section 47 of the Local Government Finance Act 1988.
- ~~625.~~ To reduce or remit liability for rates under Section 49 of the Local Government Finance Act 1988.
- ~~726.~~ To reduce the liability of a person for council tax under Section 13A of the Local Government Finance Act 1992.
- ~~827.~~ To institute and conduct recovery proceedings (including winding up of companies) in relation to council tax, poll tax and national non-domestic rates.
- ~~928.~~ To nominate persons to appear in Courts of Law in connection with any proceedings for distress or other recovery action relating to the National Non-Domestic Rate and Council Tax.
- ~~29.~~ To operate the Home Loans scheme including mortgage advances, transfer of mortgages, sales of houses in possession and guarantees to building societies.
- ~~30.~~ To provide financial services, either on an agency basis or where required by statute for other authorities or bodies.
- ~~31.~~ To determine and declare local average rates in accordance with legislation and the interest rate for loans to housing associations.
- ~~32.~~ To organise (in consultation with the Leader, Executive Member with portfolio responsibility for Finance and the Chief Executive) the letting of capital contracts through MANSAT Developments Ltd; subject to:—
- ~~• schemes let to MANSAT already having approval in accordance with Financial Standing Regulation 4.7; and~~
  - ~~• the approval of the MANSAT Board of Directors to undertake the projects concerned.~~
- ~~33.~~ To sign certificates under the Local Government (Contracts) Act 1997.
- ~~34.~~ To authorise persons to act for the Council at Company and creditors meetings.
- ~~35.~~ In accordance with Section 38 of the Local Government Finance Act 1992, to publish within 21 days in the local newspaper notice of the amount of Council tax set by the authority.

- ~~36. To appoint, and determine the rate of remuneration, of any accountant employed by the Council concerning gaming, lotteries and amusements after consultation with a registered pool betting promoter or the occupier of a licensed betting track.~~
- ~~37. To be the officer nominated, or to nominate in writing another officer, as the person to receive disclosures of suspicious transactions for the purposes of the Proceeds of Crime Act 2002 and any regulations made thereunder.~~
- ~~38. To determine an amount (not exceeding the sterling equivalent of 15,000 euros) being the maximum sum which the Council will receive in cash without the express written consent of the Deputy Chief Executive and City Treasurer.~~

**1039.** To determine (in consultation with the relevant Executive Member) whether to accept, reject or modify any expression of interest in providing a relevant service that is made to the Council under the Localism Act 2011 (Community Right to Challenge).

**1140.** To make amendments to the Council's Community Right to Challenge Procedure.

~~41. To authorise in consultation with the Executive Member with portfolio responsibility for Finance, increases in capital expenditure by up to £500,000 per scheme, subject to external funding, capital receipts or revenue budget being available.~~

~~42. To exercise the Council's powers under the Prevention of Social Housing Fraud Act 2013.~~

#### VAT

~~43. Where appropriate, to elect for VAT status on particular land and property.~~

#### Facilities Management and Operational Estate Management

**1244.** To discharge the functions of the Council relating to Building Cleaning, Depot Facilities Management, Public Conveniences, Security, Storage and Archiving and Town Hall Services (including security).

**1345.** Insofar as they relate to the Council's operational estate, dealing with all matters relating to the management of the Council's interests in land and property (excluding acquisition and disposal of such interests) and without prejudice to the general delegation of Chief Officers and Chapter 3A Officers in relation to the management of operational land and property or to the specific estate management functions delegated to the Strategic Director (Growth and Development).

**1446.** Determining appropriate arrangements in relation to the Council's duty to manage asbestos in non-domestic premises in accordance with Regulation 4 of The Control of Asbestos Regulations 2012, insofar as they relate to the Council's operational estate.

#### General

~~47. Power to authorise entry onto premises under Section 61 of the Public Health (Control of Disease) Act 1984 in connection with the Council's functions in relation to burial~~

~~and cremation under Section 46 of the same Act and provide signed authorisation for such entry.~~

~~48. To institute proceedings in the Court of Protection in relation to financial Deputyship under the Mental Capacity Act 2005.~~

**1549.** To pay compensation in lieu of wages to persons excluded from work on public health grounds.

**1650.** To exercise the Council's functions in relation to the naming and numbering of streets and their renaming and renumbering, including the exercise of the Council's powers in relation to Street Numbers under Section 22 of the Greater Manchester Act 1981, **and in relation to street naming under sections 17-19 of the Public Health Act 1925** in consultation with the Executive Member with portfolio responsibility for Highways.

**1751.** Except where the functions are non-executive, specifically delegated to other officers, delegated to the Greater Manchester Combined Authority or delegated to a joint committee, to discharge the Council's functions in relation to:

- i) On-street and off-street parking including without prejudice to the generality of the foregoing the administration of parking within the Council's remit and dealing with the misuse of permits and the badges on vehicles, included disabled person's badges;
- ii) Parking and Bus lane enforcement (except those relating to appointment etc. of Adjudicators to be discharged by joint committee arrangements) and to approve and authorise officers to undertake the function of cancelling penalty Charge Notices;
- iii) The removal and immobilisation of vehicles in accordance with the Vehicle Excise Duty (Immobilisation, Removal and Disposal of Vehicles) Regulations 1997 and to store and dispose of such vehicles;
- iv) Dealing with abandoned vehicles and vehicles causing a nuisance and to take whatever action is required, including authorisation of entry onto land and premises;
- v) Providing and maintaining parking spaces on the highway and regulate parking on grass verges, central reservations and footways; and
- vi)** Determining applications for operational car parking spaces in the Council's car parks and to reserve car parking spaces in off street car parks for exhibitions and other events, subject to capacity being available.

**1852.** To discharge the functions of the Council as Billing Authority for the purposes of the Business Improvement Districts (England) Regulations 2004 and the Business Improvement Districts (Property Owners) (England) Regulations 2014.

**1953.** Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

~~The DEPUTY CHIEF EXECUTIVE AND CITY TREASURER is designated as the Council's CHIEF FINANCE OFFICER for the purposes of Section 151 of the Local Government Act 1972. The functions of the chief finance officer are listed in Article 12.4 in Part 2 of the Constitution and include:~~

- ~~1.— Responsibility for the administration of financial affairs under Section 151 Local Government Act 1972~~
- ~~2.— Exercise of the duties of the Chief Finance Officer under Section 114, 114A, 115 & 116 of the Local Government Finance Act 1988 and Section 25 of the Local Government Act 2003, namely ensuring lawfulness and financial prudence of decision-making.~~
- ~~3.— Appointment of a Deputy~~

~~In the absence of the Deputy Chief Executive and City Treasurer, the above delegations may be exercised by the Deputy City Treasurer.~~

## CITY TREASURER

The holder of the post of City Treasurer shall be responsible for the management of the City Treasurer's Division of the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements, to:

### General Functions

These functions can be either Executive or Non-Executive depending on the circumstances.

1. To exercise the responsibilities assigned to the City Treasurer in the Financial Regulations.
2. In consultation with the Executive Member with portfolio responsibility for Finance, to determine the council tax base pursuant to the Local Government Finance Act 1992 and the Local Authorities (Calculation of Council Tax Base) (England) Regulations 2012.
3. In consultation with the Executive Member with portfolio responsibility for Finance, to estimate any surplus or deficit with respect to council tax in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.
4. In consultation with the Executive Member with portfolio responsibility for Finance, to estimate any surplus or deficit with respect to business rates in the collection fund in respect of any financial year and to notify relevant precepting authorities accordingly.
5. In consultation with the Executive Member with portfolio responsibility for Finance, to calculate the Council's business rate income for budget setting purposes, including the share of such income of central government and the Greater Manchester Combined Authority ("GMCA") and such other calculations in relation to business rate income as may be required by the Local Government Finance Acts 1988 and 2012 and any regulations made thereunder.
6. To approve Form NNDR1 and notify relevant business rate calculations to the Secretary of State and the GMCA.
7. To agree dates for payment of their respective shares of council tax with the GMCA and of business rates with central government, the GMCA the relevant major precepting authorities.
8. In consultation with the Executive Member with portfolio responsibility for Finance to determine whether the Council should be part of a business rate pooling arrangement with other local authorities.



### Non-Executive Functions

9. Determine appeals against the decisions of officers to remove companies from approved lists of contractors in relation to the execution of works and the supply of goods and services.
10. Subject to 112 and 134 below, to exercise the functions of the authority in relation to pensions.
11. In consultation with the relevant Chief Officer, to determine applications for voluntary early retirement (subject to consultation with the Executive Member with portfolio responsibility for Finance where applications for voluntary early retirement have a capital cost) and to determine discretionary payments/awards in connection with such applications in accordance with the Council's policy statement.
12. In consultation with the Executive Member with portfolio responsibility for Finance and the City Solicitor, to determine requests for guarantees to the Greater Manchester Pension Fund from bodies entering into admission agreements with the Fund.
13. Be the person specified to determine disputes in the first instance arising from the decisions of the Council as scheme employer under the Local Government Pension Scheme Regulations 2013.
14. Determine appropriate arrangements for the reimbursement of expenditure incurred by staff in the exercise of Council duties, including establishing petty cash accounts where this is the most appropriate arrangement.
15. To discharge the functions of the "Responsible Financial Officer" under the Accounts and Audit Regulations 2015, including the requirement under Regulation 9(1) to sign and date the statement of accounts, and certify that it presents a true and fair view of the financial position of the Council at the end of the year to which it relates and of the Council's income and expenditure for that year.
16. To discharge the functions of the Council under the Accounts and Audit Regulations 2015 with the exception of Regulations 6(2), 9(2) and 20(1).
17. To operate the assisted car / bicycle purchase scheme.
18. To make determinations in relation to Special Severance Payments (as referenced in- Statutory Guidance) of up to (but not including) £20,000.

### Executive Functions



**Financial**

- 19. To raise loans and make investments (temporary investment of surplus monies and longer term investment of monies held for specific purposes) as and when required at appropriate rates.**
- 20. To enter into leasing agreements for items included within the approved capital or revenue budgets.**
- 21. To operate the Home Loans scheme including mortgage advances, transfer of mortgages, sales of houses in possession and guarantees to building societies.**
- 22. To provide financial services, either on an agency basis or where required by statute for other authorities or bodies.**
- 23. To determine and declare local average rates in accordance with legislation and the interest rate for loans to housing associations.**
- 24. To sign certificates under the Local Government (Contracts) Act 1997.**
- 25. To authorise persons to act for the Council at Company and creditors meetings.**
- 26. In accordance with Section 38 of the Local Government Finance Act 1992, to publish within 21 days in the local newspaper notice of the amount of Council tax set by the authority.**
- 27. To appoint, and determine the rate of remuneration, of any accountant employed by the Council concerning gaming, lotteries and amusements after consultation with a registered pool betting promoter or the occupier of a licensed betting track.**
- 28. To be the officer nominated, or to nominate in writing another officer, as the person to receive disclosures of suspicious transactions for the purposes of the Proceeds of Crime Act 2002 and any regulations made thereunder.**
- 29. To determine an amount (not exceeding the sterling equivalent of 15,000 euros) being the maximum sum which the Council will receive in cash without the express written consent of the City Treasurer.**
- 30. To authorise in consultation with the Executive Member with portfolio responsibility for Finance, increases in capital expenditure by up to £500,000 per scheme, subject to external funding, capital receipts or revenue budget being available.**
- 31. To exercise the Council's powers under the Prevention of Social Housing Fraud Act 2013.**
- 32. To institute proceedings in the Court of Protection in relation to financial Deputyship under the Mental Capacity Act 2005.**

**33. Without prejudice to the corresponding delegations to the Deputy Chief Executive, to have a strategic financial oversight in relation to the following functions:**

- a) the assessment and administration of the Council Tax support scheme and Housing and Education benefits;**
- b) the determination of requests for the award of discretionary housing payments in exceptional circumstances;**
- c) the granting of discretionary rate relief under Section 47 of the Local Government Finance Act 1988;**
- d) the reduction or remission of liability for rates under Section 49 of the Local Government Finance Act 1988;**
- e) the reduction in the liability of a person for council tax under Section 13A of the Local Government Finance Act 1992; and**
- f) the institution and conduct of recovery proceedings (including winding up of companies) in relation to council tax, poll tax and national non-domestic rates.**

## **VAT**

**34. Where appropriate, to elect for VAT status on particular land and property.**

## **GENERAL**

**35. Power to authorise entry onto premises under Section 61 of the Public Health (Control of Disease) Act 1984 in connection with the Council's functions in relation to burial and cremation under Section 46 of the same Act and provide signed authorisation for such entry.**

**36. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.**

**The CITY TREASURER is designated as the Council's CHIEF FINANCE OFFICER for the purposes of Section 151 of the Local Government Act 1972. The functions of the chief finance officer are listed in Article 12.4 in Part 2 of the Constitution and include:**

- 1. Responsibility for the administration of financial affairs under Section 151 Local Government Act 1972**
- 2. Exercise of the duties of the Chief Finance Officer under Section 114, 114A, 115 & 116 of the Local Government Finance Act 1988 and Section 25 of the Local Government Act 2003, namely ensuring lawfulness and financial prudence of decision-making.**
- 3. Appointment of a Deputy**

**In the absence of the City Treasurer, the above delegations may be exercised by the Assistant Director (Corporate Finance).**

## **STRATEGIC DIRECTOR (CHILDREN'S AND EDUCATION SERVICES)**

The holder of the post of Strategic Director (Children's and Education Services) is the statutory officer (referred to as the Director of Children's Services) for the purposes of Section 18 of the Children Act 2004 and shall be responsible for the management of the Children's and Education Services Directorate in accordance with that section and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

### **Executive Functions**

1. To discharge the education functions (as defined by Section 18(10) of the Children Act 2004) conferred on or exercisable by the Council.
2. To discharge the Social Services functions (as defined by Section 1A of the Local Authority Social Services Act 1970) conferred on or exercisable by the Council in so far as those functions relate to children.

Without prejudice to the generality of the above insofar as the functions relate to children and (as defined by statutory guidance) young people:

3. To discharge the functions conferred on the authority under Sections 23B to 24D of the Children Act 1989 (so far as not falling within paragraph 2 above).
4. To be accountable for discharging the Council's functions in relation to Safeguarding Children.
5. To discharge the functions conferred on the authority under Sections 10 to 12, 12C 12D, and 17A of the Children Act 2004.
6. To discharge any function exercisable by the authority under Section 75 of the National Health Service Act 2006 on behalf of an NHS body, so far as those functions relate to children.
7. To discharge the functions conferred on the authority under Part 1 of the Childcare Act 2006.
8. To discharge the functions of the authority in relation to Early Years, youth justice and youth offending services.
9. To exercise the functions of the authority under the Safeguarding Vulnerable Groups Act 2006, so far as those functions relate to children.
10. To discharge the functions of the authority in relation to parenting contracts and parenting orders in connection with anti social behaviour under Part 3 of the Anti-social Behaviour Act 2003, as amended.
11. To discharge the functions of the authority relating to children and (as defined by statutory guidance) young people under the following enactments (except those specifically delegated to the Director of Education):

Local Authority Social Services Act 1970  
 Education Act 1973  
 Children Act 1989  
 Education Act 1980  
 Education (Fees and Awards) Act 1983  
 Mental Health Act 1983  
 Further Education Act 1985 (except Sections 4 and 5)  
 Education Act 1986  
 Education Reform Act 1988  
 Further and Higher Education Act 1992  
 Education Act 1994  
 Education Act 1996  
 Family Law Act 1996  
 Education Act 1997  
 School Standards and Framework Act 1998  
 Teaching and Higher Education Act 1998  
 Local Government Act 2000  
 Adoption and Children Act 2002  
 Education Act 2002  
 Female Genital Mutilation Act 2003  
 Higher Education Act 2004  
 Education Act 2005  
 Mental Capacity Act 2005  
 Child Care Act 2006  
 Children and Adoption Act 2006  
 Education and Inspections Act 2006  
 Forced Marriage (Civil Protection) Act 2007  
 Children and Young Persons Act 2008  
 Borders, Citizenship and Immigration Act 2009  
 Coroners and Justice Act 2009  
 Apprenticeship, Skills, Children and Learning Act 2009  
 Children, Schools and Families Act 2010  
 Education Act 2011  
 Care Act 2014  
 Children (Performance and Activities) (England) Regulations 2014  
 Children and Families Act 2014  
 Anti-social Behaviour, Crime and Policing Act 2014  
 Criminal Justice and Court Act 2015  
 Childcare Act 2016  
 Children and Social Work Act 2017

### Financial

12. To grant or refuse financial assistance to students and pupils in accordance with statutory regulations.

### Human Resources

13. To arrange the secondment of Teachers and other Education Service staff to promote the provision of an efficient and effective Education Service.

14. To appoint Representatives to serve on Joint Committees of Recommendation in accordance with Articles of Government in consultation with the Executive Member designated as the Lead Member for Children's Services.
15. To determine recommendations of Governing Bodies/the Council's Joint Committees with education functions for the appointment of teaching staff.

#### Miscellaneous – Education

16. To authorise the closure of school premises for the purposes of Local and National Elections and referenda.
17. To exercise the functions of the Council pursuant to Sections 16 and 115 of the Crime and Disorder Act 1998 (removal of truants and disclosure of information to a relevant authority).
18. To nominate and remove the Council's representatives on Governing Bodies.
19. To exercise powers in respect of activities on playgrounds, playing fields and sports complexes under Section 163 of the Greater Manchester Act 1981.

#### Miscellaneous – Children's Social Care

20. To pay legal fees for adopters where legal representation is necessary (and where there is no possibility of public funding being allowed) and in appropriate circumstances the payment of medical fees in connection with adoption.
21. To pay reasonable legal expenses incurred in relation to applications for Special Guardianship and Child Arrangements Orders in accordance with relevant legislation, guidance and policy and where there is no possibility of public funding being allowed.
22. To provide financial support to holders of Special Guardianship and Child Arrangements Orders in accordance with relevant legislation.
23. To act as the next friend of any child in care with regards to insurance claims.
24. To effect a change of name by deed poll of a child in care.
25. To impose requirements to prohibit the accommodating of foster children at specified addresses.
26. To authorise the placement and cost thereof of older children under the inter-agency placement scheme established by the Association of British Adoption and Fostering Agencies on the Adoption Resource Exchange.
27. To decide upon recommendations of Adoption and Fostering Panels.
28. To take any action urgently required to protect the interests of any child in need under the Children Act 1989.

29. To act as Authorised Officer under Children's and Adoption Legislation.
30. To commission services to house and support families under the Children Act.

Miscellaneous

31. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

## **EXECUTIVE DIRECTOR OF ADULT SOCIAL SERVICES**

The holder of the post of Executive Director of Adult Social Services is the Director of Adult Social Services for the purposes of Section 6 of the Local Authority Social Services Act 1970 as amended and shall be responsible for the management of the Adult Social Services Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

### **Executive Functions**

#### **Social Services**

1. To discharge the Social Services functions of the Authority as defined in Section 1A of the Local Authority Social Services Act 1970 as amended from time to time other than those functions for which the Director of Children's Services is responsible under Section 18 of the Children Act 2004.
2. Without prejudice to the generality of the aforesaid, such functions include but are not limited to powers of the Social Services authority under the following legislation: -
  - National Assistance Act 1948
  - Disabled Persons (Employment) Act 1958
  - Mental Health Act 1959
  - Health Services & Public Health Act 1968
  - Chronically Sick and Disabled Persons Act 1970
  - Supplementary Benefits Act 1976
  - Mental Health Act 1983
  - Health & Social Services & Social Security Adjudications Act 1983
  - Public Health (Control of Disease) Act 1984
  - Housing Act 1996
  - Disabled Persons (Services, Consultation & Representation) Act 1986
  - National Health Service & Community Care Act 1990
  - Carers (Recognition & Services) Act 1995
  - Community Care (Direct Payments) Act 1996
  - Local Government Act 2000
  - Health and Social Care Act 2001
  - Nationality, Immigration and Asylum Act 2002
  - Community Care (Delayed Discharges etc) Act 2003
  - Health & Social Care (Community Health & Standards) Act 2003
  - Carers (Equal Opportunities) Act 2004
  - Mental Capacity Act 2005
  - Health and Social Care Act 2012
  - Mental Health (Amendment) Act 1982
  - Equality Act 2010
  - Care Act 2014
3. Without prejudice to the generality of 1 and 2 above to be accountable for discharging the functions of the Council in relation to Safeguarding Adults.



Housing

4. Without prejudice to the generality of 1 and 2 and 3 above to exercise the functions of a Local Housing Authority pursuant to
  - Section 213(1)(b) of the Housing Act 1996 concerning co-operation between the Council as a Social Services Authority and housing authorities
  - Part 1 Chapter 1 of the Housing Grants, ~~and~~ Construction and Regeneration Act 1996 (Disabled facilities grants) and any General Consents given by the Secretary of State.
5. To manage funds and commission services to support people in residential accommodation.

Financial

6. To set fees for rates of maintenance at homes operated by voluntary and private organisations in consultation with the ~~Deputy Chief Executive and~~ **City Treasurer**.
7. To agree payments for adaptations to carers' property to facilitate placements.

Miscellaneous

8. To authorise the exercise of all powers of entry vested in the Council as Social Services Authority or Local Housing Authority.
9. To authorise the approval of Approved Mental Health Professionals (AMHP) under the Mental Health Act 1983 (as amended by the Mental Health Act 2007).
10. To administer grants made by the Manchester Immigration Needs Trust.
11. To exercise the functions of the Council pursuant to the Forced Marriage (Civil Protection) Act 2007, except insofar as those functions relate to children.
12. To exercise the functions of the Council under the Safeguarding Vulnerable Groups Act 2006, except where such functions relate to children.
13. To discharge any functions exercisable by the authority under Section 75 of the National Health Service Act 2006 on behalf of an NHS body, so far as those functions relate to adults.
14. To exercise the functions of the Council in respect of domestic homicide reviews established and conducted under Section 9 of the Domestic Violence, Crime and Victims Act 2004 in partnership with the Strategic Director (Neighbourhoods) and the Greater Manchester Community Safety Partnership (CSP).

Public Health

15. Without prejudice to the specific delegations to the Director of Population Health and Wellbeing to maintain a strategic overview of the discharge of the Council's functions

in relation to public health under the Health and Social Care Act 2012 and other legislation.

16. To discharge the Supervisory Body (SB) function of the Council for the Deprivation of Liberty safeguards (DOLS) in hospitals and care homes, pursuant to Schedule A1 of the Mental Capacity Act 2005 and the Deprivation of Liberty Code of Practice.

Miscellaneous

17. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

## **STRATEGIC DIRECTOR (GROWTH AND DEVELOPMENT)**

The holder of the post of Strategic Director (Growth and Development) shall be responsible for the management of the Growth and Development Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements:

### **General Functions**

These functions can be either Executive or Non-Executive depending on the circumstances:

1. Without prejudice to the specific delegations of the Director of Planning, Building Control and Licensing, to exercise a strategic overview of the Council's functions and services relating to licensing.
- ~~2. In consultation with the Director of Planning, Building Control and Licensing, to discharge the functions of the Council as Local Planning Authority in relation to preparing and maintaining Local Development Plans under Part 2 of the Planning and Compulsory Purchase Act 2004 as amended, except when the matter is:
 
  - ~~• reserved to full Council or the Executive by statute or this Constitution; or~~
  - ~~• delegated exclusively to the Planning and Highways Committee; or~~
  - ~~• delegated to the Joint Committee for the Joint Waste Development Plan Document;~~
  - ~~or~~
  - ~~• the Council, the Executive or the Planning and Highways Committee or this Constitution has expressly limited the extent of this delegation. [...]~~~~
3. To exercise a strategic overview of the Council's functions and services relating to private sector housing and regeneration.
4. At the request of the Chief Executive, to exercise any power delegated to the Chief Executive relating to economic development and regeneration.

### **Executive Functions**

5. To exercise the functions of the Council relating to caravan sites (such functions to include the exercise of the powers of the Council conferred by Sections 77 to 80 of the Criminal Justice and Public Order Act 1994).
6. To exercise the power conferred by the Countryside and Rights of Way Act 2000 on owners of, or persons having an interest in, access land or land proposed to be access land, in consultation with the Chief Officer or Chapter 3A Officer within whose remit management of the relevant land lies.
7. Where a disposal of open space within the meaning of the Open Spaces Act 1906 is in prospect, to instruct the City Solicitor to advertise in accordance with Section 123 of the Local Government Act 1972 or Section 233 of the Town and Country Planning Act 1990.

8. To accept any offer or tender and agree and arrange for, the disposal of land or property and other interests in land or property provided the offer or tender is in the opinion of the Director of Development the best consideration that can reasonably be obtained, subject to the consent of the Executive in the case of disposals of the freehold (other than freehold disposals for the purposes of the economic well-being of the area, or plots of land of no strategic importance and incapable of being developed on their own, or where the Leasehold Reform Act 1967 will eventually require a freehold sale, in all of which cases the consent of the Executive is not required).
9. To agree terms for the sale of land and property where the Council's property is the subject of compulsory purchase by another body.
10. Dedicate where appropriate Council owned land as a highway.
11. Approve development briefs in consultation with the Leader and other relevant Executive Members.

### Estate Management

12. To deal with all matters relating to the management of the Council's interests in land and property without prejudice to the general delegation of Chief Officers and Chapter 3A Officers in relation to the management of operational land and property or to the specific estate management functions delegated to the **Deputy Chief Executive and City Treasurer** in relation to the management of the Council's operational estate and to the Strategic Director (Neighbourhoods) in relation to the management of property acquired or held for the purpose of fulfilling the functions of the Council as Local Housing Authority.
13. To determine appropriate arrangements in relation to the Council's duty to manage asbestos in non-domestic premises in accordance with Regulation 4 of The Control of Asbestos Regulations 2012, without prejudice to the corresponding delegation to the **Deputy Chief Executive and City Treasurer** in relation to the Council's operational estate.

### Acquisitions

14. To acquire by agreement or under a compulsory purchase order, land and property (including freehold chief rents) subject always to the purchase price, or the purchase price when added to other transactions in respect of the same project, being within the estimate of costs included in the capital programme.
15. To agree to the acquisition and renewal of leases of land and property at a rack rent where no credit cover is required for the lease under the capital finance legislation and where provision for the rent is available in the revenue budget.
16. To agree to the acquisition of properties which the Council is under a legal obligation to acquire under Town and Country Planning legislation.
17. To accept the surrender of a leasehold interest in land and property where such surrender will lead to a further letting or disposal of the land or property.

18. To acquire land in the interests of economic development, by way of exchanging interests where the land acquired is equal to or less in value than that exchanged (premium also required where less) and the land acquired is no more onerous in terms of title and physical condition than that exchanged.

### Appropriations

19. To discharge the functions of the Council in relation to the appropriation of land, in consultation with the relevant Chief Officers, including (where it is proposed to appropriate open space) to instruct the City Solicitor to advertise in accordance with Section 122 of the Local Government Act 1972, and / or Section 232 of the Town and Country Planning Act 1990.

### Housing

20. To monitor and review the policy adopted pursuant to the Regulatory Reform (Housing Assistance) (England and Wales) Order 2002 (home improvement and relocation assistance).
21. In consultation with the Executive Member with portfolio responsibility for Housing Management, to make minor amendments to the Housing Allocation Scheme under Section 166A of the Housing Act 1996.

### Miscellaneous

22. To review decisions made to include land in the Council's list of assets of community value under Section 92 of the Localism Act 2011 in consultation with the Deputy Leader (provided the Deputy Leader has not taken part in the decision under review in which case an alternative member of the Executive will be consulted).

### **22A. To appoint an appointing officer for the purposes of section 10(8) of the Party Wall Act 1996.**

23. Subject to consultation with the City Solicitor, to enter into Agreements in relation to any of the above matters.

## **STRATEGIC DIRECTOR (NEIGHBOURHOODS)**

The holder of the post of Strategic Director (Neighbourhoods) shall be responsible for the management of the Neighbourhoods Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, and any relevant provisions of the Financial Regulations and any legal requirements.

### **GENERAL FUNCTIONS**

These functions can either be Executive or Non-Executive depending on the circumstances:

1. To support and assist the Chief Executive in their responsibilities in relation to civil contingencies, emergencies and disasters, and to take day to day responsibility for such matters.
2. To co-ordinate all sponsorship and advertising activity for the Council.
3. To exercise the functions of the Council in relation to environmental health, waste and environmental protection, pollution control, contaminated land, private sector housing, street scene services (including grounds maintenance), trees (except trees on or affecting highways), health and safety, food hygiene and food standards, animal welfare, consumer protection, trading standards and weights and measures.
4. To exercise the functions of the Council relating to the enforcement of all relevant legislation relating to the registration and licensing functions within the remit of the Neighbourhoods Directorate or the Growth and Development Directorate (other than private hire and hackney carriage) including the authorisation of officers to enter on to land and premises to carry out their duties.
5. Without prejudice to the specific delegations of the Director of Highways, to exercise a strategic overview of the Council's functions and services relating to highways, traffic, environmental health, environmental services, waste, environmental protection, pollution control, trading standards, street scene services (including grounds maintenance), sports and recreational facilities, sports development, parks and recreational open spaces and community and cultural services, including art galleries, museums, libraries and theatres.
6. To take any urgent action, that is not otherwise delegated to the Strategic Director (Neighbourhoods), in connection with the functional areas set out below after consultation with the appropriate Chair or Executive Member, subject to any such action taken under this power being reported to the next ordinary meeting of the Executive or appropriate committee.

### **Art Galleries and Museums**

7. To discharge the functions of the Council in relation to Art Galleries and Museums and to oversee the management of the City Galleries Service.

Without prejudice to the generality of the above:

8. To approve the purchase of works of art using monies from the Art Fund up to a maximum of £25,000 in consultation with the Executive Member with portfolio responsibility for Cultural Strategy.
9. To determine grants, contributions, subscriptions or loans in appropriate cases to bodies or organisations for the provision of artistic activities in the City.
10. To maintain war memorials and public statues.

#### NON-EXECUTIVE FUNCTIONS

11. To exercise the functions of the Council in relation to Manchester Art Gallery under Section 149 of the Greater Manchester Act 1981 (“the Local Act”) (with the exception of Section 149(3) of the Local Act, which in the case of the proposed sale or exchange of any works or objects of art worth more than £25,000 shall be approved by the Art Galleries Committee).

#### Environmental Health

12. The inspection of the Authority’s area to detect any statutory nuisance under Section 79 of the Environmental Protection Act 1990.
13. The investigation of any complaint as to the existence of a statutory nuisance under Section 79 of the Environmental Protection Act 1990.
14. The service of an abatement notice in respect of a statutory nuisance under Section 80 of the Environmental Protection Act 1990.
15. Duty to enforce Chapter 1 of the Health Act 2006 (Smoke-Free Premises, Places and Vehicles) and regulations made under it pursuant to Section 10(3) of the Health Act 2006.
16. Power to authorise officers to act in matters arising under Chapter 1 of the Health Act 2006 (Smoke-Free Premises, Places and Vehicles) and regulations made under it pursuant to Section 10(5) of the Health Act 2006.
17. To exercise the Council’s functions relating to fixed penalty notices pursuant to Paragraphs 13, 15 and 16 of Schedule 1 to the Health Act 2006 and the Smoke-Free (Vehicle Operators and Penalty Notices) Regulations 2007.
18. Power to transfer enforcement functions to another enforcement authority pursuant to the Smoke-free (Premises and Enforcement) Regulations 2006.
19. To exercise the Council’s powers to give notice to reduce the emission of dust etc. from building operations under Section 34 of the Greater Manchester Act 1981 (“the Local Act”).
20. To exercise the Council’s powers to order reduction of gas, vapour or fumes coming from chimneys under Section 36 of the Local Act.

21. To exercise the Council's powers to require the owner to provide adequate means of lighting in habitable rooms under Section 48 of the Local Act.
22. To exercise the Council's powers in relation to trees impeding natural light to houses, shops and offices under Section 49 of the Local Act.
23. Power to register and license premises for the preparation of food under Section 19 of the Food Safety Act 1990.

### Markets

24. To require information to allow the Council to discharge its duties and powers in relation to markets.
25. To enter into a composition with any person with respect to Payment of Tolls under Section 117 of the Local Act.
26. To permit any market place or land used for market purposes to be used for public meetings, public services, exhibitions or entertainment purposes etc. under Section 119 of the Local Act (excluding any property matters which are to be exercised by the Strategic Director (Growth and Development)).

### Highways

27. Powers relating to the removal of things so deposited on highways as to be a nuisance under Section 149 of the Highways Act 1980 ("the 1980 Act").
28. Power in relation to enforcement in relation to the making of openings into cellars etc. under streets, and pavement lights and ventilators under Section 180 of the 1980 Act.
29. Power in relation to enforcement regarding the deposit of builders' skips on highway under Section 139 of the 1980 Act.
30. To consider and determine, in consultation with the Executive Member with portfolio responsibility for Highways, objections and representations of proposed Orders concerning pay and display car parks.

### Miscellaneous

31. To exercise the powers of the Council to take enforcement action in relation to street trading under the Local Government (Miscellaneous Provisions) Act 1982 and the Manchester City Council Act 2010.
32. To exercise powers in relation to the repair of walls etc. of yards under Section 43 of the Local Act.
33. Power (subject to consultation with the Director of Planning, Building Control and Licensing) to require proper maintenance of land under Section 215(1) of the Town and Country Planning Act 1990 ~~and to authorise the City Solicitor to prepare and serve notices under Section 215.~~



34. To licence market trading under Part III of, and Schedule 4, to the Local Government (Miscellaneous Provisions) Act 1982.
35. To discharge the Council's functions in relation to the prohibition of interference with bird traps under Section 53 of the Local Act.

### EXECUTIVE FUNCTIONS

#### Crime and Disorder Strategy

36. To implement the Crime and Disorder Strategy and to discharge or arrange for the discharge of the Council's functions in relation to reduction of crime and disorder and antisocial behaviour.

Without prejudice to the generality of the above:

37. To discharge the functions of the Council under the Anti-social Behaviour, Crime and Policing Act 2014 and specifically in relation to anti-social behaviour injunctions (and supervision and detention orders), community protection notices, public spaces protection orders (in consultation with the Deputy Leader when considering and determining objections and representations in respect of proposed public spaces protection orders affecting highways), closure notices and closure orders under that Act.
38. To discharge the functions of the Council under the Sentencing Act 2020 in relation to criminal behaviour orders.
39. To be accountable for discharging the Council's functions in relation to domestic violence, the Manchester Multi Agency Risk Conference (MARAC) and the Greater Manchester Multi Agency Public Protection Arrangements (MAPPA).
40. To discharge the functions of the Council in relation to Mediation Services.

#### Environmental Health

41. To exercise the functions of the Council under Part II of the Environmental Protection Act 1990.
42. To serve statutory notices in respect of the removal of waste from land.
43. To discharge the functions of the Council in relation to the control of waste and litter generally and including under Section 2 of the Refuse Disposal (Amenity) Act 1978, Section 33(1)(a) of the Environmental Protection Act 1990 and Section 87 of the Environmental Protection Act 1990.
44. To serve statutory notices in respect of the removal of litter from land.
45. To exercise the Council's powers in relation to the control of the carriage and storage of waste food under Section 35 of the Local Act.
46. To deal with applications relating to the control of noise on construction etc., sites.

47. To exercise powers relating to the prior approval of new furnaces and to the height of chimneys.
48. To exercise powers and regulations made relating to the control and measurement of grit and dust.
49. To take action necessary for the removal of asbestos.
50. To discharge the functions of the Council under the Environmental Permitting (England and Wales) Regulations 2016.
51. To exercise the Council's functions in relation to Environmental Health, and as food authority under EU Food Hygiene Regulations (as incorporated into UK law), the Food Safety Act 1990 and the Food Safety and Hygiene (England) Regulations 2013.

Without prejudice to the generality of the above:

52. To exercise the Council's functions in relation to the grant of approvals to establishments handling, preparing or producing products of animal origin for which requirements are laid down in Regulation (EC) 853/2004.
53. To requisition information in connection with environmental health functions generally and including under Section 93 of the Control of Pollution Act 1974, Section 19 of the Environmental Protection Act 1990 and Section 108 of the Environment Act 1995.
54. To exercise the Council's functions under The Cattle Identification Regulations 2007.
55. To exercise the Council's functions under the Pigs (Records, Identification and Movement) Order 2011.
56. To exercise the Council's functions under the Animal By-Products (Enforcement) (England) Regulations 2013.
57. Powers of entry under Section 38 of the Local Act for enforcement under Prevention of Damage by Pests Act 1949.
58. To approve the Council's Annual Food Safety Service Plan in consultation with the Executive Member with portfolio responsibility for Environmental Health Enforcement.
59. Save where functions are reserved in legislation or by Regulations to the Director of Population Health and Wellbeing to exercise public health enforcement functions and powers, including in relation to the:
  - a. Public Health (Control of Disease) Act 1984 and Regulations made thereunder
  - b. Public Health (Ships) Regulations 1979
  - c. Public Health (Aircraft) Regulations 1979
  - d. Public Health (Aircraft)(Amendment)(England) Regulations 2007
  - e. Public Health (Aircraft & Ships)(Amendment) Regulations 2007.
60. To consider and determine representations made under Part 4 of the Littering from Vehicles Outside London (Keepers: Civil Penalties) Regulations 2018.

61. To discharge the functions of the Council under sections 59-61, 63 and 95-101 of the Building Act 1984 except, and insofar, as the function has been delegated to the Director of Planning, Building Control and Licensing.

### Waste and Cleansing

62. To exercise the functions of the Council in relation to waste and cleansing.

### Housing

63. To exercise the functions of the Council in relation to conditions associated with private sector and social residential accommodation and facilities (excluding accommodation owned by the Council), including but not limited to powers derived from the following legislation:
- a. Public Health Act 1936
  - b. Public Health Act 1961
  - c. Prevention of Damage by Pests Act 1949
  - d. Greater Manchester Act 1981
  - e. Local Government (Miscellaneous Provisions) Act 1976 and 1982
  - f. Building Act 1984
  - g. Environmental Protection Act 1990
  - h. The Water Act 1989
  - i. Health and Safety at Work Act 1974
  - j. Food Safety Act 1990
  - k. Protection from Eviction Act 1977
  - l. Housing Act 1985
  - m. Housing Act 2004
  - n. Redress Schemes for Lettings Agency Work and Property Management Work (Requirement to Belong to a Scheme etc) (England) Order 2014
  - o. Smoke and Carbon Monoxide Alarm (England) Regulations 2015
  - p. Anti-social Behaviour, Crime and Policing Act 2014
64. To exercise the Council's powers in relation to the temporary repair of defective premises under Section 44 of the Local Act.
65. To exercise the Council's powers in relation to urgent repairs to water, gas and electricity apparatus under Section 47 of the Local Act.
66. To exercise powers relating to the cleansing and disinfection of premises and the temporary removal of occupants.
67. Discharge the functions of the Council as a Local Housing Authority (within the meaning of Section 1 of the Housing Act 1985) except those housing functions specifically delegated to the Strategic Director (Growth and Development) or the Executive Director of Adult Social Services.

Without prejudice to the generality of [59A67](#) above to:

68. ~~Manage the Council's interest in any land and property acquired or held for the purpose of fulfilling the functions of the Council as Local Housing Authority (excluding acquisition and disposal of such interests), except the authorisation of common law notices to quit, and other notices required to terminate a tenancy which shall be exercised by the City Solicitor, and without prejudice to the estate management functions delegated to the Strategic Director (Growth and Development).~~ Without prejudice to the functions relating to estate management delegated to the Strategic Director (Growth and Development), to manage the Council's interests in any land and or property acquired or held for the purpose of fulfilling the Council's functions as Local Housing Authority (excluding acquisition and disposal of such interests).

**68A To authorise the service of Notices to Quit.**

69. Exercise the functions of the Council in recovering money due to the Council as Local Housing Authority, as a result of the exercise of functions under **59B68** above.
70. To exercise the functions of the Council under Section 22 of the Housing Act 1996 (promotion of and assistance to Registered Social Landlords).
71. To exercise the functions of the Council under Part VII of the Housing Act 1996 (Homelessness).

**Street Scene Services (including Grounds Maintenance)**

72. To discharge the functions of the Council relating to grounds maintenance (excluding schools), street cleansing, arboriculture services and the removal of graffiti etc.
73. To arrange for sweeping of highways, streets and passages, the removal of fallen leaves, the removal of litter from landscaped areas, and the emptying of litter bins.

**Sport and Leisure**

74. To determine applications for the concessionary use of recreational facilities.
75. To determine applications for the letting of concessions in parks for special events that fall outside the regular events that are let following receipt of Sealed Tenders.
76. To determine the use of recreational facilities for events under Pleasure Fairs.
77. To determine applications for the hire of bands, concert parties and entertainments in recreational facilities.
78. To approve Programmes of Events.
79. To set tariffs and charges for use of Council controlled leisure facilities.
80. Closure of parks under Section 12 of the Local Act.
81. Provision of parking places in parks, etc. under Section 13 of the Local Act.

82. Provision of vehicles in parks, etc. under Section 14 of the Local Act.
83. Contribution towards provision of recreational facilities under Section 15 of the Local Act.

#### Libraries

84. To discharge all operational functions of the Council relating to libraries.

#### Catering Services

85. To manage the service delivery of Catering Services and Manchester Fayre including catering in parks and catering concessions, school meals services and catering for the Children's and Education Directorate and the Adult Social Services Directorate (e.g. Day Care Centres).
86. To exercise or waive the rights to sole and exclusive catering rights within all Council establishments.
87. To hire entertainments in Civic Catering establishments either for special promotional purposes or on behalf of a third party where this is subject to a full recharge.

#### Miscellaneous

88. To operate a Dog Control Service (including the control of stray dogs).
89. To exercise the Council's powers in relation to the control of stray dogs under Section 42 of the Local Act.
90. To take action for the seizure and retention, or destruction, or disposal of animals in circumstances where emergency action is warranted.
91. To exercise the functions of the Council relating to unauthorised encampments (such functions to include the exercise of the powers of the Council conferred by Sections 77 to 80 of the Criminal Justice and Public Order Act 1994).
92. To issue fixed penalty notices for offences under Section 224 of the Town and Country Planning Act 1990.
93. To authorise officers to serve suspension notices under the provisions of the Consumer Protection Act 1987.
94. To prepare where necessary statutory statements for works carried out by the Direct Labour Organisations ("DLOs") / Direct Service Organisations ("DSOs") within the directorate including street cleansing, grounds maintenance, fleet management, vehicle maintenance, street lighting, highways, building cleansing.
95. To discharge the functions of the authority in relation to the youth service.

## Highways

96. The Council's functions under the following provisions of the Highways Act 1980:

- Section 131 (Penalty for Damaging Highway etc.).
- Section 131A (Disturbance of Surface of Certain Highways).
- Section 132 (Unauthorised Marks on Highway).
- Section 140 (Removal of Builders' Skips).
- Section 143 (Power to Remove Structures from Highways).
- Section 151 (Prevention of soil etc. being washed on to street).
- **Section 152 (Powers as to removal of projections from buildings).**
- Section 153 (Doors etc. in streets not to open outwards).
- Section 154 (Cutting or felling etc. trees etc. that overhang or are a danger to roads or footpaths).
- Section 163 (Prevention of water falling on or flowing on to highway).
- Section 164 (Power to require removal of barbed wire).
- Section 165 (Dangerous land adjoining street).
- Section 184 (Vehicle crossings over footways and verges), to the extent that it relates to enforcement activity.

97. To consider and determine, in consultation with the Executive Member with portfolio responsibility for Highways, objections and representations in respect of proposed traffic regulation orders, speed limits, on-street parking places orders and traffic calming measures; and disposal or appropriation of open spaces.

## Bereavement Services

98. To discharge the functions of the Council relating to Bereavement Services.

99. To arrange and undertake public and private burials and associated activities under the Local Government Act 1972 and Local Authorities' Cemeteries Order 1977 (as amended).

100. To arrange and undertake cremations and associated activities under the Regulations as to Cremation 1930 (as amended) and the Environmental Protection Act 1990.

101. To arrange for the provision of a municipal funeral service under the Local Government Act 1972.

102. To maintain Closed Churchyards (where parochial church council has handed over responsibility to maintain to the Council) under Section 215 of the Local Government Act 1972.

### Pest Control

103. To be responsible for, and discharge the Council's functions relating to, the Council's pest control service, including the discharge of the Council's functions in relation to the control of rats and mice under Section 37 of the Local Act.

### Manchester Markets

104. To discharge the functions of the Council relating to Manchester Markets.

105. To revoke and suspend market traders' licences.

Without prejudice to the generality of the above, to determine the following matters:-

106. Allocation, letting or revocation of stalls and premises to traders; applications for occupants of markets to install equipment in connection with their business;

107. Routine assignment of tenancies;

108. Minor variations in type of business and change of names;

109. Charity collections in markets;

110. Applications for licences for privately operated specialist markets and the level of licence fees;

111. Applications for licences for charity car boot sales; and

112. Legal action as necessary in order to protect the Council's market rights in consultation with the City Solicitor.

### Fleet Management

113. To arrange for the maintenance and repair of Council and partner owned and operated vehicles and to be responsible for the testing of Hackney Carriages and Private Hire vehicles, and the M.O.T. testing of private motor vehicles.

114. To arrange for the management of the Council's vehicle fleet including the disposal of surplus vehicles.

115. To determine applications to advertise on Council vehicles.

### CCTV Cameras

116. To operate or commission the operation of CCTV surveillance systems in connection with the functions of the Council on Bus Lanes and Public Open Spaces.

Agreements

117. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

*The STRATEGIC DIRECTOR (NEIGHBOURHOODS) is the Chair of the Channel Panel that has been established by the Council.*



## **DIRECTOR OF POPULATION HEALTH AND WELLBEING**

The holder of the post of Director of Population Health and Wellbeing shall be responsible for the discharge of the statutory responsibilities of the Director of Public Health under Section 73A(1) of the National Health Service Act 2006, and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements, to:

1. Provide the public, elected Members of the Council and officers of the Council with expert, objective advice on health matters.
2. Discharge the Council's duty under Section 2B of the National Health Act 2006 and the Health and Social Care Act 2012 to take such steps as the Council considers appropriate for improving the health of the people in its area, including:
  - (a) providing information and advice;
  - (b) providing services or facilities designed to promote healthy living (whether by helping individuals to address behaviour that is detrimental to health or in any other way);
  - (c) providing services or facilities for the prevention, diagnosis or treatment of illness;
  - (d) providing financial incentives to encourage individuals to adopt healthier lifestyles;
  - (e) providing assistance (including financial assistance) to help individuals to minimise any risks to health arising from their accommodation or environment;
  - (f) providing or participating in the provision of training for persons working or seeking to work in the field of health improvement;
  - (g) making available the services of any person or any facilities; and
  - (h) providing grants or loans (on such terms as the Council considers appropriate).
3. Discharge any of the Secretary of State's public health protection or health improvement functions that s/he delegates to the Council, either by arrangement or under regulations, including those services mandated by regulations made under Section 6C of the Health and the National Health Service Act 2006.
4. Ensure that plans are in place to protect the health of the local population from threats to health and prevent, as far as possible, threats to health arising.
5. Exercise the Council's functions in planning for, and responding to emergencies that present a risk to public health.
6. Discharge the Council's functions in relation to dental public health under Section 111 of the National Health Service Act 2006.
7. Discharge any functions imposed on the Council in relation to joint working with the prison service under Section 249 of the National Health Service Act 2006.
8. Prepare an annual report on the health of the population of Manchester under Section 73(B) (5) of the Health Service Act 2006.

9. Commission mandatory public health services on behalf of the Secretary of State and other prevention and health improvement services that meet the needs of the population of Manchester, address the public health outcomes framework and tackle local priorities as set out in Manchester's Health and Wellbeing Strategy.
10. To ensure that public health and population health care advice is provided to the National Health Service.
11. To discharge the functions of the Council in co-operating with the police, the probation service and the prison service to assess the risks posed by violent or sexual offenders.
12. To ensure that plans are in place to tackle the causes of ill health and reduce health inequalities in Manchester.
13. To support the production of a Joint Strategic Needs Assessment that sets out the current health and wellbeing needs of the population of Manchester.
14. To support the Health and Wellbeing Board in its operation as a Committee of the Council and in meeting its statutory requirements under Section 194 of the Health and Social Care Act 2012 and its partnership aspirations.
15. To support the Health and Wellbeing Board to produce and implement a Joint Health and Wellbeing Strategy.
16. To be responsible for the Council's public health response as a responsible authority under the Licensing Act 2003.
17. To discharge the Council's function under the Healthy Start and Welfare Food Regulations 2005 (as amended) in relation to providing Healthy Start vitamins where the Council provides or commissions a maternity or child health clinic.
18. To authorise the Consultants in Communicable Disease Control of ~~Public Health England~~ **Office for Health Improvement and Disparities** and/or their deputies, to act on behalf of the Council as proper officer in the exercise of statutory functions relating to the control of infections and other disease and food poisoning.
19. To act on behalf of the Council as proper officer for the purposes of Section 47 of the National Assistance Act 1948, as amended.
20. To authorise on behalf of the Council appropriate port Medical Officers in the exercise of the statutory functions relating to the control of infectious and other diseases and food poisoning.
21. To discharge the functions of the Council under the Public Health (Control of Disease) Act 1984 and Regulations made under that Act, in consultation with the Strategic Director (Neighbourhoods) where appropriate.
22. To authorise, in consultation with the ~~Deputy Chief Executive and City Treasurer~~ and (where more than £500) the Executive Member with portfolio responsibility for

Finance, discretionary compensation to persons excluded from work because of infection or contamination.

23. To provide specific services or take particular steps set out in the Local Authorities (Public Health Functions and Entry to Premise by Local Healthwatch Representatives) Regulations 2013.
24. To exercise any of the public health functions of the Secretary of State (so far as relating to the health of the public in the Council's area) by taking such steps as may be prescribed by regulations made under Section 6C of the NHS Act 2006.
25. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

## **ASSISTANT CHIEF EXECUTIVE**

The holder of the post of Assistant Chief Executive shall be responsible for the management of the **Assistant Chief Executive's Division of the Corporate Core Directorate**~~Policy and Strategy, Reform and Innovation, and Performance Research and Intelligence Departments.~~

# **CHAPTER 3A**

## **DIRECTOR OF HIGHWAYS**

The holder of the post of Director of Highways shall be responsible for the management of the Highways Division of the Neighbourhoods Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements:

### **General Functions**

These functions can either be Executive or Non-Executive depending on the circumstances:

1. To enforce all relevant legislation relating to the Council's functions as local highway authority, including the authorisation of legal proceedings and the authorisation of officers to enter land and premises and exercise any powers in pursuance of the Council's functions as local highway authority.
2. Without prejudice to the specific delegations of other officers, to exercise a strategic overview of the Council's functions in relation to bus lanes/CCTV, community transport, Manchester Contracts and parking.

## **NON-EXECUTIVE FUNCTIONS**

### **HIGHWAYS**

3. Power to create footpath, bridleway or restricted byway by agreement (subject to consultation with the Chair of the Planning and Highways Committee) under Section 25 of the Highways Act 1980 ("the Act").
4. Power to create footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 26 of the 1980 Act.
5. Duty to keep register of information with respect to maps, statements and declarations under Section 31A of the 1980 Act.
6. Power to stop up footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118 of the 1980 Act.
7. Power to make a rail crossing extinguishment order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118A of the 1980 Act.
8. Power to make a special extinguishment order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 118B of the 1980 Act.

9. Power to divert footpaths, bridleways and restricted byways (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119 of the 1980 Act
10. Power to make a rail crossing diversion order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119A of the 1980 Act.
11. Power to make a special diversion order (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119B of the 1980 Act.
12. Power to require applicant for order to enter into agreement under Section 119C(3) of the 1980 Act.
13. Power to make Site of Special Scientific Interest (SSSI) diversion orders (subject to consultation with the Chair of the Planning and Highways Committee) under Section 119D of the 1980 Act.
14. Duty to assert and protect the rights of the public to use and enjoyment of highways under Section 130 of the 1980 Act.
15. Duty to serve notice of proposed action in relation to obstruction under Section 130A of the 1980 Act.
16. Power to apply for variation of order under Section 130B of the 1980 Act.
17. Power to authorise temporary disturbance of surface of footpath, bridleway or restricted byway under Section 135 of the 1980 Act.
18. Power to permit deposit of builders' skips under Section 139 of the 1980 Act.
19. Power to licence planting, retention and maintenance of trees etc. in part of highway under Section 142 of the 1980 Act and provision of trees and shrubs under Section 24 of the Greater Manchester Act 1981.
20. Power to authorise erection of stiles etc. on footpaths or bridleways under Section 147 of the 1980 Act.
21. Power to licence works in relation to buildings etc. which obstruct the highway under Section 169 of the 1980 Act.
22. Power to consent to temporary deposits or excavations in streets under Section 171 of the 1980 Act.
23. Power to dispense with obligation to erect hoarding or fence under Section 172 of the 1980 Act.
24. Power to restrict the placing of rails, beams etc. over streets under Section 178 of the 1980 Act.
25. Power to consent to construction of cellars etc. under streets under Section 179 of the 1980 Act.

26. Power to consent to the making of openings into cellars etc. under streets, and pavement lights and ventilators under Section 180 of the 1980 Act.
27. To extinguish certain public rights of way (subject to consultation with the Chair of the Planning and Highways Committee) under Section 32 of the Acquisition of Land Act 1981.
28. Duty to keep definitive map and statement under review under Section 53 of the Wildlife and Countryside Act 1981.
29. Power to include modifications in other orders under Section 53A of the Wildlife and Countryside Act 1981.
30. Duty under Section 53B of the Wildlife and Countryside Act 1981 to keep a register of prescribed information with respect to applications under Section 53(5) of the Wildlife and Countryside Act 1981.
31. Power to prepare map and statement by way of consolidation of definitive map and statement under Section 57A of the Wildlife and Countryside Act 1981.
32. Power to designate footpath as cycle track under Section 3 of the Cycle Tracks Act 1984.
33. Power to extinguish public right of way over land acquired for clearance under Section 294 of the Housing Act 1981
34. Power to consider and (subject to consultation with the Chair of the Planning and Highways Committee) to make applications for an Order to authorise stopping up or diversion of highway under Section 247 of the Town and Country Planning Act 1990.
35. Power to authorise stopping up or diversion of footpath, bridleway or restricted byway (subject to consultation with the Chair of the Planning and Highways Committee) under Section 257 of the Town and Country Planning Act 1990.
36. Power to extinguish public rights of way over land held for planning purposes (subject to consultation with the Chair of the Planning and Highways Committee) under Section 258 of the Town and Country Planning Act 1990.
37. Power to enter into agreements with respect to means of access under Section 35 of the Countryside and Rights of Way Act 2000.
38. Power to provide access in absence of agreement under Section 37 of the Countryside and Rights of Way Act 2000.
39. Power to grant a street works licence under Section 50 of the New Roads and Street Works Act 1991.
40. Power to grant permission under Sections 115E of the 1980 Act (except in relation to the provision of refreshment facilities on highway) and to take enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.

41. Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation.

### **EXECUTIVE FUNCTIONS**

42. In consultation with the Executive Member with portfolio responsibility for Highways, to:
- a. Approve the making of permanent and experimental traffic regulation orders, speed limits and on-street parking places orders, **Orders concerning pay and display car parks** and their implementation including associated engineering measures subject to the referral of objections/representations received in relation to proposed orders to the Strategic Director (Neighbourhoods);
  - b. Approve the constructions of road humps and other traffic calming measures subject to the referral of the objections/representations received in response to proposals for road humps to the Strategic Director (Neighbourhoods);
  - c. Approve the construction, alteration and removal of highway improvement schemes, including the making of agreements for the execution of works under Section 278 of the 1980 Act;
  - d. Approve the making of applications to the magistrates court under Section 116 of the 1980 Act for orders for stopping up and/or diverting highways and to take such steps considered appropriate to secure the making of such orders for which no further consultation with the Executive Member is required;
  - e. Approve the variation of charges for parking by notice under Section 46A of the Road Traffic Regulation Act 1984 (in respect of on street parking places) and under Section 35C (in respect of the off street car parks) and instruct the City Solicitor to carry out the necessary formalities to implement these changes.
43. Establish and appoint members to the Local Access Forum under Section 94 of the Countryside and Rights of Way Act 2000 in consultation with the Executive Member with portfolio responsibility for Highways.
44. In consultation with the Executive Member designated as the Lead Member for Children's Services to make arrangements for the provision of school crossing patrols.
45. Except as provided above or where the functions are:
- (a) non-executive;
  - (b) specifically delegated to other officers;
  - (c) delegated to the Greater Manchester Combined Authority; or
  - (d) delegated to a joint committee,



to discharge any of the Council's functions:

- (a) relating to highways including as the Highway Authority (including but not limited to functions under the Highways Act 1980);
- (b) as a Traffic Authority (including but not limited to functions under the Road Traffic Regulation Act 1984, the Traffic Management Act 2004);
- (c) in relation to highways and traffic under the Town and Police Clauses Act 1847;
- (d) as a Street Authority (including but not limited to functions under the new Roads and Street Works Act 1991);
- (e) under the Transport Act 2000;
- (f) as a Permit Authority, (including but not limited to functions under the Traffic Management Act 2004 in respect of the Greater Manchester Road Activity Permit Scheme);
- (g) under Sections 248, 249, 251, 253 to 256, 258, 259 and 261 of the Town and Country Planning Act 1990 and Section 48 of the Civil Aviation Act 1982 and to make orders under Section 294 of the Housing Act 1985;
- (h) under Sections 21, 26 to 28 and 164 of the Greater Manchester Act 1981; or
- (i) that are any other functions in relation to:
  - Traffic Management
  - Transportation
  - Network management and maintenance
  - Street lighting
  - Design and consultancy
  - Traffic commissioners and heavy goods vehicle operators' licences
  - Consultations on the highway/traffic implications of planning proposals

**(j) Enforcement of Moving Traffic Contraventions under the Traffic Management Act 2004**

**FLOOD AND WATER MANAGEMENT**

- 46. To discharge the functions of the Council as a "lead local flood authority" under the Flood and Water Management Act 2010 ("the Flood Act") and under associated regulations.
- 47. Without prejudice to the generality of the above, to discharge the Council's functions in relation to:
  - (a) the "local flood risk management strategy" under Section 9 of the Flood Act;
  - (b) "investigations" under Section 19 of the Flood Act.

48. To perform the enforcement functions of the Council regarding reservoirs.
49. To exercise the Council's remedial and enforcement functions in relation to watercourses, non-main rivers classified as streams, brooks and ditches etc.
50. Establishing and maintaining a "register" of flood risk structures and features under Section 21 of the Flood Act.
51. To determine plans relating to schemes for culverting watercourses.

### **CCTV Cameras**

52. To operate or commission (in consultation with the Strategic Director (Neighbourhoods)) the operation of CCTV surveillance systems in connection with the functions of the Council on Bus Lanes and Public Open Spaces.

### **Miscellaneous**

53. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.

## **-DIRECTOR OF HUMAN RESOURCES, ORGANISATIONAL DEVELOPMENT & TRANSFORMATION HR and OD**

The holder of the post of Director of Human Resources, Organisational Development & Transformation HR and OD shall be responsible for the management of the Human Resources, Organisational Development & Transformation HROD Department of the Corporate Core Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements to:

1. Implement and adopt nationally negotiated decisions on conditions of service and pay awards.
2. Administer the car user and bicycle allowance scheme and to vary on an individual basis the bicycle allowance scheme to ensure that disabled people are able to participate.

## **DIRECTOR OF ICT**

The holder of the post of Director of ICT shall be responsible for the management of the ICT Service operating from within the **Deputy Chief Executive's Division of the Corporate Core Directorate** and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements to:

1. Develop, implement and deliver an ICT Strategy;
2. Develop the Digital Strategy;
3. Approve information governance policies and guidance, where they relate specifically to Information and Communications Technology (ICT) matters.

## **DIRECTOR OF EDUCATION**

The holder of the post of Director of Education shall be responsible for the management of the Education Department of the Children's and Education Services Directorate and without prejudice to the foregoing, shall have the powers set out below subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

### **Executive Functions**

1. To discharge the functions conferred on or exercisable by the Council in relation to adult education and skills training.
2. Without prejudice to the generality of the above, to discharge:
  - a) Functions under Section 120(3) of the Education Reform Act 1988 (functions of LEAs with respect to higher and further education);
  - b) Functions under Section 85(2) and (3) of the Further and Higher Education Act 1992 (finance and government of locally funded further and higher education);
  - c) Functions under Section 15B of the Education Act 1996 (education for persons who have attained the age of 19);
  - d) Functions under Section 22 of the Teaching and Higher Education Act 1998 (financial support to students).

**DEPUTY CITY TREASURER ASSISTANT DIRECTOR (CORPORATE FINANCE)**

The **Assistant Director (Corporate Finance) Deputy City Treasurer** shall be responsible for the management of the ~~Financial Management, Commercial Governance and Procurement Departments within the Corporate Core Directorate and~~ for supporting the **Deputy Chief Executive and City Treasurer** in the exercise of statutory Chief Finance Officer functions.

The **ASSISTANT DIRECTOR (CORPORATE FINANCE) DEPUTY CITY TREASURER** has been appointed as the *DEPUTY CHIEF FINANCE OFFICER*.

## **DIRECTOR OF PLANNING, BUILDING CONTROL AND LICENSING**

The holder of the post of Director of Planning, Building Control and Licensing shall be responsible for the management of the Planning, Building Control and Licensing Division within the Growth and Development Directorate and without prejudice to the foregoing, shall have power subject to compliance with the Constitution, Standing Orders, any relevant provisions of the Financial Regulations and any legal requirements.

### **General Functions**

These functions can be either Executive or Non-Executive depending on circumstances.

1. To discharge the functions of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) and other functions of the Council under the Building Act 1984 and its regulations except where the matter is:
  - reserved to full Council or the Executive by statute or this Constitution; or
  - delegated exclusively to the Planning and Highways Committee; or
  - delegated to the Joint Committee for the Joint Waste Development Plan Document; or
  - delegated to another Officer; or
  - the Council, the Executive or the Planning and Highways Committee or this Constitution has expressly limited the extent of this delegation.
2. To exercise the Council's functions in relation to licensing.
3. To discharge the Council's functions as a "responsible authority" as defined by Section 13(4) (za) of the Licensing Act 2003.
4. To discharge the Council's functions as a "responsible authority" as defined by Section 157(a) of the Gambling Act 2005.
5. To administer all relevant legislation relating to the Council's licensing functions within the remit of the Growth and Development Directorate, but not those licensing enforcement functions specifically delegated to the Strategic Director (Neighbourhoods).

Without prejudice to the generality of the above:

6. To take any urgent action, that is not otherwise delegated to the Director of Planning, Building Control and Licensing, in relation to planning and building control matters after consultation with the appropriate Chair or Executive Member, subject to any such action taken under this power being reported to the next ordinary meeting of the Executive or appropriate committee.
7. To authorise officers to enter land or premises, to inspect, to investigate and to exercise any other related powers in accordance with the Council's functions in relation to licensing, as Local Planning Authority and the Council's functions under

the Building Act 1984 and its Regulations and any other functions delegated to the Director of Planning, Building Control and Licensing.

8. To issue decision notices in respect of any application for planning consent and for listed building consent upon receipt of an indication by the Secretary of State that **they**he/she does not require that application to be referred to **them**him/her, such notices to reflect the decision which the Council has already indicated that it is minded to take on the application concerned.
9. To respond to consultations and all other requests relating to planning applications, listed building applications, nationally significant infrastructure projects and any other plans and proposals, and all other matters of a planning nature in accordance with approved planning policies of the Council.
10. To take decisions on applications to determine whether planning permission is required, in consultation with the City Solicitor where appropriate.
11. To exercise the powers of the Council in relation to access and egress to public and other buildings and means of escape in the case of fire.
12. To exercise the powers of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) to carry out works in default under the Planning (Listed Building and Conservation Areas) Act 1990 and the Town and Country Planning Act 1990 ("the 1990 Act").
13. To exercise the powers of the Council as Hazardous Substances Authority (within the meaning of Section 1 of the Planning (Hazardous Substances) Act 1990) to issue notices pursuant to Section 24 of that Act.
14. To exercise the powers of the Council as Local Planning Authority (within the meaning of Section 1(2) of the Town and Country Planning Act 1990) to make a direction restricting permitted development pursuant to Article 4 of the Town and Country Planning (General Permitted Development) (England) Order 2015.

**14A. To discharge the functions of the Council as Local Planning Authority in relation to preparing and maintaining Local Development Plans under Part 2 of the Planning and Compulsory Purchase Act 2004 as amended, except when the matter is:**

- **reserved to full Council or the Executive by statute or this Constitution; or**
- **delegated exclusively to the Planning and Highways Committee; or**
- **delegated to the Joint Committee for the Joint Waste Development Plan Document; or**
- **the Council, the Executive or the Planning and Highways Committee or this Constitution has expressly limited the extent of this delegation.**

**Non-Executive Functions**

15. Duty to enter land in Part 2 of the brownfield land register pursuant to Regulations 3 and 5 of the Town & Country Planning (Brownfield Land Register) Regulations 2017.



16. To obtain information under Section 330 of the 1990 Act as to interests in land.
17. To exercise the Council's powers in relation to the weatherproofing of property under Section 50 of the Greater Manchester Act 1981 ("the Local Act).
18. To exercise the Council's powers in relation to the safety of ceilings in bingo halls under Section 55 of the Local Act.
19. To exercise the Council's powers in relation to the safety of stands under Section 58 of the Local Act.
20. To exercise the Council's powers in relation to fire precautions under Sections 63 and 66 of the Local Act.
21. To exercise the Council's powers in relation to the storage of flammable material under Sections 67 to 73 of the Local Act.
22. Power to decline to determine application for planning permission under Section 70A of the 1990 Act.
23. To refuse applications for planning consent, and for listed building consent where the proposal is clearly contrary to approved Council planning policies.
24. To approve (including approvals subject to conditions) applications for planning consent, for listed building consent and for development by other Council Committees and to determine applications to vary or delete conditions either where there is no objection from a third party of a planning nature or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies.
25. Duties relating to the making of determinations of planning applications under Sections 69 and 92 of the 1990 Act and Articles 15-27, 32-35 and 40-43 of the Town and Country Planning (Development Management Procedure) (England) Order 2015 and directions made thereunder.
26. Power to determine applications for planning permission made by a local authority, alone or jointly with another person under Section 316 of the 1990 Act as well as the Town and Country Planning General Regulations 1992 (to approve (including approvals subject to conditions) applications for planning consent either where there is no objection of a planning nature from third parties or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies).
27. To refuse applications for planning permission in principle, under Section 59A(1)(b) of the 1990 Act and Article 5A(1) of the Town and Country Planning (Permission in Principle) Order 2017, where the proposal is clearly contrary to approved Council planning policies.
28. To approve (including approvals subject to conditions) applications for planning permission in principle, under Section 59A(1)(b) of the 1990 Act and Article 5A(1) of the Town and Country Planning (Permission in Principle) Order 2017, either where

there is no objection of a planning nature from third parties or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies.

29. Power to make determinations, give approvals and agree certain other matters relating to the exercise of permitted development rights under Parts 6, 8, 9, 11, and 15 to 18 of schedule 2 to the Town and Country Planning (General Permitted Development) (England) Order 2015.
30. Power to enter into agreements under Section 106 of the 1990 Act.
31. Power to issue a certificate of existing or proposed lawful use or development under Section 191(4) and 192(2) of the 1990 Act.
32. Power to serve a completion notice under Section 94(2) of the 1990 Act.
33. Power to grant consent for the display of advertisements under Section 220 of the 1990 Act as well as Town and Country Planning (Control of Advertisements) (England) Regulations 2007.
34. Power to authorise entry onto land under any relevant provision of the 1990 Act and the Planning (Listed Buildings and Conservation Areas) Act 1990 or Section 36 of the Planning (Hazardous Substances) Act 1990.
35. Power to serve a planning contravention notice, breach of condition notice or stop notice under Sections 171C, 187A and 183(1) of the 1990 Act.
36. Power to issue a temporary stop notice under Section 171E of the 1990 Act.
37. Power to issue an enforcement notice under Section 172 of the 1990 Act.
38. Power to apply for an injunction restraining a breach of planning control under Section 187B of the 1990 Act.
39. Power to determine applications for hazardous substances consent, and related powers under the Planning (Hazardous Substances) Act 1990.
40. Duty to determine conditions to which old mining permissions, relevant planning permissions relating to dormant sites or active Phase 1 or 2 sites, or mineral permissions relating to mining sites, as the case may be, are to be subject under Paragraph 2(6)(a) of Schedule 2 to the Planning and Compensation Act 1991, paragraph 9(6) of Schedule 13 to the Environment Act 1995 and paragraph 6(5) of Schedule 14 to that Act.
41. Power ~~(subject to consultation with the Strategic Director (Neighbourhoods))~~ to require proper maintenance of land under Section 215(1) of the 1990 Act.
42. Power to determine application for listed building consent, and related powers under Sections 16(1) and (2), 17, and 33(1) of the Planning (Listed Buildings in Conservation Areas) Act 1990 (to approve (including approvals subject to conditions) applications for planning consent either where there is no objection of a planning

nature from third parties or where there are objections of a planning nature from third parties but the proposal is clearly in accordance with approved Council planning policies).

43. Duties relating to applications for listed building consent and conservation area consent under Sections 13(1), 14(1) and (4) of the Planning (Listed Buildings and Conservation Areas) Act 1990 as well as Regulations 3 to 6 and 13 of the Planning (Listed Buildings and Buildings and Conservation Areas) Regulations 1990.
44. Power to issue enforcement notice in relation to a listed building under Section 38 of the Planning (Listed Buildings and Conservation Areas) Act 1990.
45. Power to apply for an injunction in relation to a listed building under Section 44A of the Planning (Listed Buildings and Conservation Areas) Act 1990.
46. Powers to acquire a listed building in need of repair and to serve a repairs notice under Sections 47 and 48 of the Planning (Listed Buildings and Conservation Areas) Act 1990.
47. Power to execute urgent works under Section 54 of the Planning (Listed Buildings and Conservation Areas) Act 1990 subject to consultation with the Chair of Planning and Highways Committee.
48. Powers relating to the preservation of trees under Sections 197, 198, 200, 202 and 206 to 214D of the 1990 Act as well as the Town and Country Planning (Tree Preservation) (England) Regulations 2012.
49. Powers relating to the protection of important hedgerows under the Hedgerows Regulations 1997.
50. Powers relating to complaints about high hedges under Part 8 of the Anti-social Behaviour Act 2003.
51. Power to issue amend or replace safety certificates (whether general or special) for sports grounds under the Safety of Sports Grounds Act 1975.
52. Power to issue, cancel, amend or replace safety certificates for regulated stands at sports grounds under Part 3 of the Fire Safety and Safety of Places of Sport Act 1987.
53. To administer and enforce the requirements in accordance with Articles 25 (d) (i) and (ii) of the Regulatory Reform (Fire Safety) Order 2005 at designated sports grounds requiring a general safety certificate, under Section 1(1) of the Safety at Sports Grounds Act 1975 and Regulated Stands within the meaning of Section 26(5) of the Fire Safety and Safety of Places of Sports Act 1987.
54. Power to grant permission under Section 115E of the Highways Act 1980 (“the 1980 Act”) for the provision of refreshment facilities on highways (table and chairs licences), and related powers under Sections 115E and 115F of the 1980 Act and to take any enforcement action under Section 115K in respect of failure to comply with the terms of any such permission granted under Section 115E.

55. Duty under Section 115G of the 1980 Act to publish notice in respect of proposals to grant permission under Section 115E of the 1980 Act, insofar as it relates to the above delegation.
56. In consultation with the Chair of the Planning and Highways Committee, to make arrangements with another local authority for the Council to discharge that other authority's non-executive functions relating to cross-boundary planning matters affecting the local authority areas of both the Council and that other authority.
57. Power to enter into agreements under Section 26A of the Planning (Listed Buildings and Conservation Areas) Act 1990 (Heritage Partnership Agreements), provided that (where the agreement grants listed building consent) either there is no objection from a third party of a planning nature or, where there are objections of a planning nature from third parties, the proposal is clearly in accordance with approved Council planning policies.

#### Licensing and Registration Functions

58. To exercise the functions of the Council relating to the administration of all relevant legislation relating to the Council's licensing functions.

Without prejudice to the generality of the above:

#### Under the Licensing Act 2003

59. To discharge the following Council functions under the Licensing Act 2003:
  - (a) To grant an application for personal licence (with or without spent convictions) where no police objection is made or where any police objection has been withdrawn.
  - (b) To reject an application for personal licence where it appears that the applicant fails to meet the condition in Section 120(2)(a), (aa), (b) or (c) of the Licensing Act 2003.
  - (c) To grant an application for premises licence/club premises certificate where no relevant representations have been made or where all relevant representations have been withdrawn.
  - (d) To grant an application for provisional statement where no relevant representations have been made or where all relevant representations have been withdrawn.
  - (e) To grant an application to vary premises licence/club premises certificate where no relevant representations have been made or where all relevant representations have been withdrawn.
  - (f) To grant or refuse an application for a minor variation to premises licence/club premises certificate (in consultation with the Chair of the Licensing Committee)
  - (g) To grant an application to vary designated premises supervisor where no police objection made or where any police objection has been withdrawn.
  - (h) To approve any request to be removed as designated premises supervisor.
  - (i) To grant an application for transfer of premises licence only where no police objection made or where any police objection has been withdrawn.

- (j) To grant or refuse an exemption to an applicant for the transfer of a premises licence from obtaining the holder's consent in accordance with Section 43(5) and (6) of the Licensing Act 2003
- (k) To grant applications for interim authority only where no police objection made or where any police objection has been withdrawn.
- (l) To make a decision as to whether a complaint/representation is irrelevant, frivolous, vexatious etc.
- (m) To make a decision as to whether a ground for review is irrelevant, frivolous, vexatious etc.
- (n) Determination of applications to vary premises licence at community premises to include alternative licence condition, where no police objection is made or where any police objection has been withdrawn.
- (o) To make a decision as to whether or not to give consideration to revoke or suspend a personal licence, and to give notice to the licence holder following convictions or immigration penalties.
- (p) To make a decision as to whether there has been a material change of circumstances in cases of representations against any interim steps taken pending review.
- (q) To discharge the functions of the Council in relation to the suspension of licences under Section 55A (premises licence) and Section 92A (club premises licence) of the Licensing Act 2003.

#### Under the Gambling Act 2005

60. To discharge the following Council functions under the Gambling Act 2005:
- (a) To approve an application for premises licence where either no representations have been received or where any representations have been withdrawn.
  - (b) To approve an application for a variation to a licence where no representations are received or any representations have been withdrawn.
  - (c) To approve an application for a transfer of a licence where no representations are received from the Commission.
  - (d) To approve an application for a provisional statement where either no representations are received or any representations have been withdrawn.
  - (e) To approve an application for club gaming/club machine permits either where no objections are made or any objections have been withdrawn.
  - (f) To approve applications for permits.
  - (g) To cancel licensed premises gaming machine permits.
  - (h) To consider Temporary Use Notice.
61. To discharge the Council's functions in relation to fee setting under the Gambling Act 2005 and the Gambling (Premises Licence Fees) (England and Wales) Regulations 2007 in consultation with the Chair of the Licensing Committee and the Executive Member with portfolio responsibility for Licensing and to produce a monitoring report for the information of the Licensing Committee.

#### General Licensing and Registration Functions

62. The registration of Hairdressers and Barbers under Section 30 of the Greater Manchester Act 1981 ("the Local Act").

63. The registration of Acupuncturists, Tattooists, Ear Piercers, Electrolysis etc. under Section 32 of the Local Act.
64. The registration of dealings in Second Hand Goods under Section 54 of the Local Act.
65. The discharge of the functions under Section 60 of the Local Act relating to Touting, Hawking, Photographing etc.
66. The registration of Entertainment Clubs under Sections 74 to 79 and 81 of the Local Act and the power to enter upon, inspect and examine premises used as Entertainment Clubs under Section 80 of the Local Act.
67. Power to require information as to goods sold in any market carried on by the Council under Section 118 of the Local Act.
68. The registration of Night Cafes in Manchester under Sections 136 to 141 and 143 to 144 of the Local Act and the power to enter upon, inspect and examine premises used as Night Cafes under Section 142 of the Local Act.
69. Regulation of Signs on Vehicles (regarding signs that may only be used by hackney cabs and private hire vehicles) under Section 167 of the Local Act.
70. Power to issue licenses authorising the use of land as a caravan site ("site licences") under Section 3(3) of the Caravan Sites and Control of Development Act 1960.
71. Power to license the use of moveable dwellings and camping sites under Section 269(1) of the Public Health Act 1936.
72. Power to license hackney carriages and private hire vehicles:-
  - (a) as to hackney carriages, under the Town Police Clauses Act 1847, as extended by Section 171 of the Public Health Act 1875, and Section 15 of the Transport Act 1985; and Sections 47, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976;
  - (b) as to private hire vehicles, under Sections 48, 57, 58, 60 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.
73. Power to license drivers of hackney carriages and private hire vehicles under Sections 51, 53, 54, 59, 61 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.
74. Power to license operators of hackney carriages and private hire vehicles under Sections 55 to 58, 62 and 79 of the Local Government (Miscellaneous Provisions) Act 1976.
75. To exercise the functions of the Council relating to the enforcement of all relevant legislation relating to the Council's private hire and hackney carriage licensing functions including the authorisation of legal proceedings and the authorisation of officers to enter on to land and premises to carry out their duties.



76. Power to renew licences of sex shops, sex cinemas and sexual entertainment venues under Section 2 and Schedule 3 of the Local Government (Miscellaneous Provisions) Act 1982.
77. Power to license performances of hypnotism under the Hypnotism Act 1952.
78. Power to license premises for acupuncture, tattooing, ear-piercing and electrolysis under Sections 13 to 17 of the Local Government (Miscellaneous Provisions) Act 1982.
79. Power to license pleasure boats and pleasure vessels under Section 94 of the Public Health Acts Amendment Act 1907.
80. Power to license street trading under Part III of, and Schedule 4 to, the Local Government (Miscellaneous Provisions) Act 1982.
81. Power to grant or renew a licence for a licensable activity under Regulation 4 of the Animal Welfare (Licensing of Activities Involving Animals) (England) Regulations 2018 (selling animals as pets, providing or arranging for the provision of boarding for cats or dogs, hiring out horses, breeding dogs or keeping or training animals for exhibition).
82. Power to license zoos under Section 1 of the Zoo Licensing Act 1981.
83. Power to license dangerous wild animals under Section 1 of the Dangerous Wild Animals Act 1976.
84. Power to license persons to collect for charitable and other causes under Section 5 of the Police, Factories etc. (Miscellaneous Provisions) Act 1916 and Section 2 of the House to House Collections Act 1939.
85. Power to grant consent for the operation of a loudspeaker under Schedule 2 to the Noise and Statutory Nuisance Act 1993.
86. Power (subject to consultation with the Strategic Director (Children's and Education Services)) to licence the employment of children (Part II of the Children and Young Persons Act 1933 byelaws made under that Part, and Part II of the Children and Young Persons Act 1963).
87. To exercise the functions of the Council relating to pavement licences under Sections 1 to 7 of the Business and Planning Act 2020

### **Executive Functions**

88. After consultation with the City Solicitor, to issue certificates of alternative development under the Land Compensation Act 1961.
89. To exercise the functions of the Council as a mineral planning authority as set out in the Environment Act 1995.

90. To adopt screening and scoping opinions under the Town and Country Planning (Environmental Impact Assessment) (England and Wales) Regulations 2011.
91. To exercise the functions set out in Parts I & II of the Building Act 1984 (Building Regulations and Supervision of Building Work, etc. Otherwise than by Local Authorities).
92. To exercise the functions set out in Section 71 and Section 72 of the Building Act 1984 (Entrances, Exits, etc, to be required in certain cases and Means of Escape in case of Fire).
93. To exercise the functions set out in Sections 77 to 83 of the Building Act 1984 (Dangerous, Ruinous and Dilapidated Buildings and Notices in respect of Intended Demolition).
94. To exercise the functions set out in the Building (Local Authority Charges) Regulations 2010.
95. In conjunction with the ~~Deputy Chief Executive and City Treasurer~~, to enter into agreements for the purchase and supply of energy and water.
96. To administer the Council's Disabled Access grants scheme.
97. To progress environmental improvement schemes across the City through to final completion on site following approval of the schemes by the relevant Committees of the Council.
98. To exercise the Council's powers in relation to the vesting of former highway land under Section 29 of the Greater Manchester Act 1981.
99. To approve non material changes to planning permission under Section 96A of the Town and Country Planning Act 1990.
100. Powers in respect of licences under the provisions of the Scrap Metal Dealers Act 2013.

#### Miscellaneous

101. Subject to consultation with the City Solicitor to enter into Agreements in relation to any of the above matters.



# **CHAPTER 3B**

## **DIRECTOR OF DEVELOPMENT AND STRATEGIC HOUSING**

### **EXECUTIVE FUNCTION**

To determine claims for compensation from owners or previous owners of land included in the Council's list of assets of community value under Section 99 of the Localism Act 2011.

## **DIRECTOR OF CAPITAL PROGRAMMES**

### **EXECUTIVE FUNCTION**

To review decisions made concerning compensation payable to owners or previous owners of land included in the Council's list of assets of community value under Section 99 of the Localism Act 2011 in consultation with the Executive Member with portfolio responsibility for Planning (provided that this Member has not taken part in the decision under review in which case an alternative Member of the Executive will be consulted).

## **DIRECTOR OF INCLUSIVE ECONOMY**

### **EXECUTIVE FUNCTION**

#### **Assets of Community Value**

To determine community nominations of land for inclusion in the Council's list of assets of community value under the Localism Act 2011 in consultation with the relevant Chief Officers/Heads of Service and the relevant Executive Member.

**DIRECTOR OF COMMERCIAL AND OPERATIONS**

**EXECUTIVE FUNCTION**

**Markets**

To determine appeals by market traders where the trader's licence has been revoked.

**HEAD OF COMPLIANCE, ENFORCEMENT AND COMMUNITY SAFETY**

**EXECUTIVE FUNCTION**

To exercise the Council's functions under the Littering from Vehicles Outside London (Keepers: Civil Penalties) Regulations 2018 (except for functions under Part 4 of the Regulations concerning the consideration and determination of representations).

**PREVENT AND COMMUNITY COHESION COORDINATOR**

**EXECUTIVE FUNCTION**

To make referrals to the Channel Panel.

COUNCIL PROCEDURE RULES

Part 4

Section A

Council Procedure Rules

## COUNCIL PROCEDURE RULES

## SECTION A: COUNCIL PROCEDURE RULES

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(FOR USE AT COUNCIL AND COMMITTEE AND SUB-COMMITTEE MEETINGS)

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- Rule 3. Lord Mayor and Deputy Lord Mayor**
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- Rule 5. Establishment of Committees and Sub-Committees**
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- Rule 29. Notification and Declaration of Interests**
- Rule 30. Non-participation and withdrawal in the case of Disclosable Pecuniary Interests and Prejudicial Interests.**



## COUNCIL PROCEDURE RULES

### 1. Interpretation and Chair's Ruling

- 1.1 These Rules apply to meetings of the full Council and, where appropriate, to meetings of committees and sub committees. None of these Rules apply to meetings of the Executive.
- 1.2 References in these Rules to the Lord Mayor, Leader of the Council or chair, include the appointed deputy or any other member acting in their absence.
- 1.3 References in these Rules to the Lord Mayor shall include the chair of any meeting where appropriate.
- 1.4 These Rules should be read in conjunction with other parts of the Council's Constitution.
- 1.4A Subject to any particular requirement in law as to form, any requirement (howsoever expressed) in these Rules that a document is to be signed shall be interpreted as including (in addition to physical signing) signing by way of an appropriate (as determined by the City Solicitor) electronic signature and any requirement for a document to be signed by more than one individual may be satisfied by an appropriate mechanism (as determined by the City Solicitor) for the document to be signed in separate parts (such as via separate counterpart copies).
- 1.5 \*\*\*These Rules are subject to any statute or other enactment whether passed before or after these Rules came into effect.
- 1.6 The ruling of the Lord Mayor on the application and interpretation of these Rules is final.

### 2. Suspension of Rules

- 2.1 \*\*\*With the exception of the Rules marked by an asterisk (\*\*\*), any Rule may be suspended at Council meetings either by a motion included on the agenda or by a motion put to the meeting without notice and passed by a majority of those present and voting. A motion to suspend any rules will not be moved without notice unless at least one half of the whole number of Councillors are present.

### 3. Lord Mayor and Deputy Lord Mayor

- 3.1 \*\*\*The Lord Mayor will be elected, and the Deputy Lord Mayor will be appointed at the Annual Meeting of Council.
- 3.2 \*\*\*If the Office of Lord Mayor becomes vacant during a Municipal Year it will be filled at the next Council meeting, or, if a vacancy arises within 14 days before that meeting, it will be filled at the next but one meeting.

## COUNCIL PROCEDURE RULES

- 3.3 If the Office of Deputy Lord Mayor becomes vacant during a Municipal Year it will be filled at the next Council meeting, or, if a vacancy arises within 14 days before that meeting, it will be filled at the next but one meeting.
- 3.4 The Lord Mayor will chair meetings of the Council. In the Lord Mayor's absence the Deputy Lord Mayor will chair the meeting. In the absence of both the Lord Mayor and Deputy Lord Mayor, the Council will appoint another member to chair the meeting.

### 4. Political Groups and Leaders

- 4.1 A political group will be treated as constituted when the Chief Executive has received a notice in writing signed by two or more members of the Council stating: -
- a) that the members who have signed it wish to be treated as a political group;
  - b) the name of the group; and,
  - c) the name of one member of the group who has signed the notice and who is to act as its leader.
- 4.2 \*\*\*The notice may specify the name of one other member of the group who has signed the notice and who is authorised to act in the place of the leader of the group (This authorised member is referred to as "the representative").
- 4.3 \*\*\*The leader of the group may be changed by a further notice in writing to the Chief Executive signed by a majority of the members of the group.
- 4.4 \*\*\*The name of the group and of "the representative" (see also Rule 4.2) may be changed by a further notice in writing to the Chief Executive signed by the leader of the group or a majority of the members of the group.
- 4.5 \*\*\*A member of the Council is to be treated as a member of a political group if **they s/he** **haves**: -
- a) signed a notice in accordance with Rule 4.1; or
  - b) given the Chief Executive a notice in writing, signed by **her/him them** and by the leader (or representative) of the group or by a majority of members of the group, stating that **they/s/he** **wishes** to join the group.
- 4.6 \*\*\*A person is to be treated as having ceased to be a member of a political group when: -

## COUNCIL PROCEDURE RULES

- a) ~~s/he~~ they ~~has~~ve ceased to be a member of the authority;
  - b) ~~they~~~~s/he~~ ~~has~~ have notified the Chief Executive in writing that ~~s/he~~ they no longer wishes to be treated as a member of the group;
  - c) ~~s/he~~ they ~~joins~~ another political group;
  - d) the Chief Executive receives a notice in writing signed by a majority of members of the group stating that they no longer wish ~~her/him~~ the person to be treated as a member of it.
- 4.7 \*\*\*No person shall be treated as a member of more than one political group at any given time.
- 4.8 The Chief Executive will keep and maintain a record of the current membership of each political group.
- 4.9 The Leader of the Council will be elected at the Annual Meeting of the Council on 19 May 2010 and subsequently in accordance with Rules 7.1 and 7.2.
- 4.10 The leader of the next largest Political Group or combination of Groups (as chosen by the members of the group/s) will be known as the Leader of the Opposition.

### 5 Establishment of Committees and Sub-Committees

- 5.1 Subject to Rules 5.2 to 5.10 below, the Council may establish such committees as it considers necessary to carry out the work of the Council and may refer to those committees such matters as are considered appropriate.
- 5.2 \*\*\*The Council shall establish a committee to discharge its functions relating to Art Galleries. Such committee should consist of at least 14 elected members and 7 non-voting members appointed by the University of Manchester. The Council and the University may agree that a lesser number of University members be appointed.
- 5.3 The Council shall establish a Standards Committee, the composition of which will be in line with Article 9 of Part 2 of the Constitution.
- 5.4 The Council shall establish a Health and Wellbeing Board in accordance with section 194 of the Health and Social Care Act 2012, the composition of which will be in line with Article 8.2 of part 2 of the Constitution.
- 5.5 The Council shall establish a Licensing Committee with not less than 10 and not more than 15 members.

## COUNCIL PROCEDURE RULES

- 5.6 The Council shall establish a Planning and Highways Committee.
- 5.7 The Council shall establish a Licensing and Appeals Committee.
- 5.8 Not allocated.
- 5.9 The Council shall appoint a Constitutional and Nomination Committee, a Personnel Committee, an Employee Appeals Committee and an Audit Committee.
- 5.10 The Council shall establish the following Sscrutiny eCCommittees:
- Children and Young People  
Communities and Equalities  
Environment, ~~and~~ Climate Change and Neighbourhoods  
Economy and Regeneration  
Health  
Resources and Governance
- 5.11 Committees may establish standing sub-committees, subject to the approval of the Council, to carry out the work of the committee and may refer to those sub-committees such matters as are considered appropriate. Committees may also establish ad hoc sub-committees to consider any specific matter referred to them.
- 5.12 **\*\*Subject to Rules 5.13 and 5.14 where a matter is referred to a committee or sub-committee, the decision of the committee must be approved by the Council before it becomes effective.**
- 5.13 **\*\*Except in relation to those decisions which by statute must only be taken by the full Council, the Council may delegate powers to committees or sub-committees. Where a matter has been delegated to a committee, the committee may further delegate the matter to a sub-committee unless the Council otherwise direct.**
- 5.14 **\*\*\*Subject to Rule 9, where a matter is delegated in accordance with Rule 5.13 above, the decisions of the committee or sub-committee do not require approval by the Council (or committee) unless the delegation has been previously withdrawn in relation to the particular item.**

## 6 Membership of Committees and Sub-Committees and Joint Authorities and Joint Committees

- 6.1 In relation to committees the Annual Meeting of the Council will: -
- a) Subject to Rule 5 above, determine the number of members to serve on them;

## COUNCIL PROCEDURE RULES

- b) Allocate seats on them to the political groups in accordance with the principles of political balance; and
  - c) Appoint named members to them giving effect to the wishes (where expressed) of each political group.
- 6.2 \*\*\*Appointments to all sub-committees will be made by their parent committees in accordance with: -
- a) The principles of political balance (as to the allocation of numbers of seats); and
  - b) the wishes of the political groups (as to individual appointments).
- 6.3 Members serving on sub-committees are not required to be members of the parent committee.
- 6.4 Where the Council is entitled to seats on a joint authority or on a joint committee with another local authority or local authorities, appointments to such seats will be made by the Council.
- 6.5 \*\*\*Where the Council is entitled to three or more seats on a joint authority or joint committee ("relevant joint authority/joint committee"), the Council in making appointments will: -
- a) allocate seats on them to the political groups in accordance with "the principles of political balance", and
  - b) appoint members to them giving effect to the wishes (where expressed) of the relevant political group.
- 6.6 \*\*\*"The principles of political balance" are: -
- a) that not all seats on the committee, sub-committee or relevant joint authority or joint committee ("the body") are allocated to the same political group;
  - b) that the majority of seats on the body are allocated to a political group holding the majority of seats on the Council;
  - c) that, subject to (a) and (b) above, the total number of seats held by each political group on all committees of the Council taken as a whole is proportionate to that group's membership of the authority;
  - d) that subject to (a) to (c) above, the number of seats held by each political group on individual bodies is proportionate to that group's membership of the Council.

## COUNCIL PROCEDURE RULES

- 6.7 \*\*\*Where a political group wishes to change one of its appointed members on a committee or sub-committee, the Council or parent committee shall give effect to that group's wishes.
- 6.8 \*\*\*Where there is a change in the political balance of the Council, the allocation of seats on committees and sub-committees will be reviewed and changes made: -
- a) to reflect the new political balance; and,
  - b) the wishes of the political groups.
- 6.9 \*\*\*Any ~~s~~**S**crutiny ~~e~~**C**ommittee discharging education functions shall also contain, as voting members, three elected representatives of parent governors; and one appointed representative each of the Church of England and the Roman Catholic Church.
- 6.10 In addition to Rule 5.2 non-voting co-opted members may be appointed by: -
- a) The Council, to serve on its committees; and,
  - b) Committees to serve on their sub-committees.
- 6.11 As well as allocating seats on committees, the Council may allocate seats in the same manner for substitute members.
- 6.12 For each committee, the Council may appoint up to the same number of substitutes in respect of each political group as that group holds seats on that committee.
- 6.13 Substitutes will have all the powers and duties of an ordinary member of the committee but will not be able to exercise any special powers exercisable by the person they are substituting.
- 6.14 Substitute members may attend meetings only in the capacity set out below: -
- a) to take the place of the ordinary members for whom they are designated substitute;
  - b) where the ordinary member will be absent for the whole of the meeting; and
  - c) after notifying the Chief Executive by 9.00am on the day of the meeting of the intended substitution.

## COUNCIL PROCEDURE RULES

### 7. The Leader and the Executive

- 7.1 The Council will elect the Leader at each Annual Meeting for a period of one municipal year expiring on the date of the next annual meeting.
- 7.2 The Council may re-elect the same Councillor as the Leader on the expiry of ~~his/her~~ their term of office.
- 7.3 If before the expiry of the Leader's term of office, the Leader –
- (a) resigns the office of Leader, or
  - (b) ceases to be a councillor, or
  - (c) is removed from office by a resolution of the Council under Article 7.3 (c)(iii) in Part 2 of the Constitution,
- the Council will elect a new Leader as soon as reasonably practicable.
- 7.4 The Leader will appoint between two and nine councillors to the Executive (one of whom will be appointed by the Leader to act as Deputy Leader).

### 8. Chairs and Deputy Chairs

- 8.1 The Council will appoint an Independent Member of the Standards Committee as Chair of the Committee on the recommendation of the Constitutional and Nomination Committee.
- 8.2 The Council will appoint chairs and (where required) deputy chairs of ordinary committees, scrutiny committees, and advisory committees on the recommendation of the Constitutional and Nomination Committee.
- 8.3 Chairs and (where required) deputies of sub-committees of committees will be appointed by the parent committee.
- 8.4 The Council may at any time remove a chair or deputy of a committee.
- 8.5 Where there is a vacancy for chair, the deputy chair will act as chair until the Council fills the vacancy.
- 8.6 Where there are vacancies for both chair and deputy, the committee or sub-committee will appoint one of its members to be temporary chair until the vacancy is filled by the Council.
- 8.7 Written notice of the resignation of a chair or deputy will be effective on receipt by the Chief Executive.

## COUNCIL PROCEDURE RULES

- 8.8 A member may not be chair or deputy of the same committee, or of the same sub-committee, for more than four consecutive whole municipal years.
- 8.9 A member who has been the chair of the same committee for four municipal years cannot be the deputy chair during the following year.
- 8.10 If the chair and deputy are disqualified from acting, are absent, or decline to act as chair, the meeting will appoint another member to chair the meeting. If that member has to leave, another member should be appointed.
- 8.11 A joint meeting should appoint a member to chair the meeting.

### 9. Decisions Taken Under Delegated Powers

- 9.1 Rule 9 applies to decisions taken by the Art Galleries Committee and the Personnel Committee (“relevant Committees”) exercising delegated powers.
- 9.2 Decisions taken by a relevant committee exercising delegated powers will become valid decisions of the authority as from the time and date set out below: -
- a) where Rule 9.4 applies, with immediate effect.
  - b) where the Chief Executive has not received a relevant requisition, as from 4.00pm on the fifth working day after the day on which the decision was published.
  - c) where the Chief Executive receives a relevant requisition, as from the date when the decision is confirmed by Council, with or without modification or amendment.
- 9.3 A relevant requisition is a written request that a decision should not take effect until confirmed by Council, where such request: -
- a) has been delivered to the Chief Executive before 4.00pm on the fifth working day after the day on which the decision was published; and
  - b) has been signed by: -
    - (i) any five members of the Council [this requirement will be satisfied either by individual letters from five members or one requisition signed by five members]; or
    - (ii) the Chair of a Scrutiny Committee whose remit covers the decision in question.



## COUNCIL PROCEDURE RULES

- 9.4 Decisions taken by a relevant committee exercising delegated powers will become valid decisions of the authority with immediate effect: -
- a) where a matter has been approved in principle by Council and specifically delegated to a relevant Committee for detailed implementation, or
  - b) where the relevant Committee determines on the written advice of either the Head of the Paid Service, the Chief Finance Officer or the Monitoring Officer that a matter is urgent on the grounds that the legal or financial position of the Council or the interests of the Council or the residents of Manchester would be prejudiced if action were not taken in advance of the next ordinary Council meeting, or
  - c) where the relevant Committee determines on the advice of the City Solicitor that a matter is quasi-judicial.
- 9.5 Decisions of relevant Committees will be published, including where possible by electronic means, and shall be available at the main office of the Council normally within 2 working days of being made. The record of the decisions will bear the date on which it is published.

### 10 Convening Meetings

- 10.1 Subject to rule 10.2, the Chief Executive is responsible for determining the schedule of and convening all meetings.
- 10.2 Dates and times of ordinary meetings of the Council, will be agreed by the Council at the Annual Meeting.
- 10.3 The Annual Meeting of the Council will take place on the second Wednesday following the municipal elections in May unless otherwise agreed by the Council.
- 10.4 \*\*\*A meeting of the Council will take place between 1st March and 10th March each year in order to calculate the budget requirement and set the Council tax.
- 10.5 Council meetings will start at 10.00 am unless otherwise determined by the Lord Mayor.
- 10.6 \*\*\*Those listed below may request the Chief Executive to call extraordinary Council meetings: -
- a) The Council by resolution
  - b) The Lord Mayor
  - c) The Monitoring Officer

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- d) any five members of the Council if they have signed a requisition presented to the Lord Mayor and ~~he/she~~ **the Lord Mayor has** refused to call a meeting or has failed to do so within seven days of the presentation of a requisition.

10.7 Meetings of committees and sub-committees will be convened by the Chief Executive in consultation with the chair.

10.8 The Chief Executive will give notice to the public of the time and place of any meeting in accordance with the Access to Information Procedure Rules at Section B of Part 4 of the Constitution. At least five clear days before a meeting the Chief Executive will authenticate (by signature or otherwise) and send to every member of the Council a summons by, (i) leaving it at, or sending it by post, to the member's usual place of residence; (ii) where the member has specified an address other than the member's usual place of residence, leaving it at, or sending it by post, to that different address; or (iii) where the member has given consent for the summons to be transmitted in electronic form to a particular electronic address (and consent has not been withdrawn), sending it in electronic form to that address. The summons will give the date, time and place of each meeting and specify the business to be transacted, and will be accompanied by such reports as are available.

### 11 **Cancelling and Moving Meetings**

11.1 The Chief Executive may cancel, ~~or~~ **bring forward or** postpone any meeting, in consultation with the chair (or the Lord Mayor in the case of City Council meetings), prior to the issue of the agenda or subsequently if there is no business to be transacted other than procedural matters such as the appointment of a Chair or the consideration of the minutes of a previous meeting.

### 12. **Withdrawal of Items from Agenda**

12.1 The Chief Executive may, in consultation with the City Solicitor and the Chair (or the Lord Mayor in the case of City Council meetings) withdraw an item from the agenda for legal reasons.

### 13. **Order of Business**

13.1 Subject to Rules 13.3; 13.4; and 14.8, the Agenda and order of business for meetings will be determined by the Chief Executive in consultation with the appropriate chair and chief officers. Items may be withdrawn from an agenda with the consent of the meeting.

13.2 The order of business may be altered at the meeting.

13.3 Business at the Annual Meeting of the Council will consist of: -

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- a) Election of the Lord Mayor and appointment of the Deputy Lord Mayor.
- b) Special business (including the submission of any urgent business) introduced by the Lord Mayor.
- c) Approval of the minutes of the last meeting as a correct record
- d) Disclosure of pecuniary and non-pecuniary interests by members.
- e) Election of the Leader of the Council
- f) Appointment of scrutiny committees, a Standards Committee, an Art Galleries Committee, a Licensing Committee and such other committees as the Council considers appropriate to deal with matters which are neither reserved to the Council nor are executive functions as set out in Part 3 of the Constitution.
- g) Appointment of representatives.
- h) ...
- i) ...
- j) ...
- k) Approval of dates of meetings.
- l) Approval of the non-executive parts of the Constitution, including approval of the delegation of the Council's non-executive functions in the Scheme of Delegation set out in Part 3 of this Constitution, if in the opinion of the Monitoring ~~e~~Officer there are any changes to the Constitution that should be made.
- m) ...
- n) ...
- o) Any other business specified in the summons to the meeting.

13.4 The business at all ordinary Council meetings will consist of: -

- a) Where the Lord Mayor and Deputy Lord Mayor are absent, to appoint a person to chair the meeting.
- b) Any announcements or special business (including the submission of any urgent business) introduced by the Lord Mayor.

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- c) Disclosure of pecuniary and non-pecuniary interests by members.
- d) Approval of the minutes of the last meeting as a correct record.  
  
(The Lord Mayor will move that the minutes be approved and, if so approved, will sign them as a correct record. There will be no discussion on the minutes except as to their accuracy).
- e) Notices of Motion submitted under Rule 14.1 (to be dealt with in their order of receipt by the Chief Executive).
- f) Consideration by the Council of any Petitions Requiring Debate that have been submitted in accordance with the Council's Petition Scheme and which have been included on the Agenda for the Council meeting.
- g) Any business remaining from previous meetings.
- h) Report of the Executive and any questions under Rules 23.2 and 23.3.
- i) Reports or minutes of scrutiny committees, including matters referred to Council by scrutiny committees.
- j) Minutes of other committees and any questions under Rules 23.2 and 23.3.
- k) ...
- l) Any other business specified in the summons to the meeting.

### 13A. Petitions Requiring Debate

#### 13A.1 Presentation of Petition Requiring Debate

Where a Petition Requiring Debate has been properly submitted in accordance with the Council's Petition Scheme and is included on the Agenda for an ordinary or extraordinary Council meeting, the main petition contact (as defined in the Council's Petition Scheme) or a person nominated by the main petition contact to act on their behalf, will be allowed to speak for a maximum of 5 minutes in order to present their petition to Council.

#### 13A.2 Petition Debate

A Petition Requiring Debate under the Council's Petition Scheme will be debated by Council Members. Any Council Member who speaks in a Petition Debate may speak only once and for a maximum of 5 minutes

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on the issue raised by the Petition Requiring Debate. When chairing a Petition Debate the Lord Mayor will normally seek to ensure that the Petition Debate lasts for a maximum of 25 minutes, although the Lord Mayor has discretion to extend the time for a Petition Debate where ~~he/she~~ **they** considers this to be appropriate.

### 13A.3 Council Response to Petitions following a Petition Debate

At the end of the Petition Debate, the Lord Mayor will ask for motions on how the Council wishes to respond to the Petition Requiring Debate which may include:

- a) Taking the action requested in the Petition, with or without modifications;
- b) Noting the Petition, but not taking the action requested in the Petition;
- c) Commissioning further investigation into the matter;
- d) Deciding whether to make recommendations to the Executive where the matter falls to the Executive to make the final decision.

Members will vote on such motion(s) by voice or by show of hands, or by ballot, at the discretion of the Lord Mayor.

### 13A.4 Notification of the Council's Response following a Petition Debate

The main petition contact will receive written confirmation from the Council of the decision taken by the Council following a Petition Debate and this will be published on the Council's Petition Website (unless under all the circumstances the Council believes that this would be inappropriate).

## 14. Notices of Motion to Council

14.1 Except for the motions which can be moved without notice under Rule 19.1, and motions in relation to the Council's response to a Petition Requiring Debate under Rule 13A.3, written notice of every motion must be received by the Chief Executive no later than 12.00 noon on the ninth day before the meeting (e.g. where the Council meeting is held on a Wednesday, the Monday in the week preceding the Council meeting), unless that day is not a working day in which case the notice must be received by noon on the first working day thereafter.

14.2 Notices of Motions must be signed by the proposer and four other members. For this purpose, and without prejudice to the discretion afforded the City Solicitor under Rule 1.4A, an email from a Councillor, using their individual City Council email account, confirming that they wish to be a signatory to a particular Notice of Motion will constitute their signature being applied to the Notice of Motion.

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- 14.3 The proposer of the motion will be taken as being the first signature on the Notice of Motion, unless otherwise indicated on the notice.
- 14.4 Subject to the exception in Rule 14.10, the motion will be withdrawn from the agenda if the proposer is not present at the time that it is due to be considered.
- 14.5 The Chief Executive will number each Notice of Motion in the order in which it is received, and provided it is in order will publish the Notice of Motion together with a record of the time and date of its receipt, in a register open to public inspection.
- 14.6 Motions must either be about matters which affect the Council or the interests of the City or its residents, provided that no such motion may seek to take a decision in respect of a matter which is the responsibility of the Executive (other than to make a recommendation).
- 14.7 Following consultation with the Chief Executive, the Lord Mayor may: -
- a) Exclude from the Council agenda any motion which ~~s/he~~ they considers to be out of order; or,
  - b) Amend any motion subject to the agreement of the proposer.
- 14.8 Valid motions will be listed on the Council agenda in the order in which they were received.
- 14.9 The proposer may withdraw a motion at any time prior to its consideration. Such a withdrawal must be put in writing to the Chief Executive.
- 14.10 When the proposer of a motion is unable to attend the Council meeting ~~s/he~~ they may write to the Lord Mayor authorising another member who signed the motion to move it. If no such authority has been given, the motion falls.
- 14.11 Motions containing proposals which would: -
- a) Increase the capital and / or, revenue expenditure of the Council and / or,
  - b) Reduce the income of the Council
- must first be referred to the Executive by the Chief Executive prior to consideration by Council.
- 14.12 Prior to consideration of a motion, the Lord Mayor may invite the meeting to decide whether it should be referred to the Executive or an appropriate committee(s) for a report.

**COUNCIL PROCEDURE RULES****15. Quorum**

15.1 The minimum number of voting Members necessary for business to be dealt with at each meeting (the "Quorum") is as follows: -

<i>Council</i>	25
<i>Committees (with more than 12 Members)</i>	5
<i>Committees (with 12 or fewer Members)</i>	3
<i>Joint meetings*</i>	<i>Relevant Quorum from each Committee</i>
<i>Sub-Committees</i>	3
<i>Joint meetings*</i>	<i>3 from each sub-committee</i>

[\*In determining that a quorum for a joint meeting is present, a member who sits on more than one of the committees / sub - committees involved shall be counted towards each individual quorum.]

15.2 Subject to Rule 15.4, there must be a quorum within fifteen minutes of the scheduled start time of a meeting, otherwise it cannot take place.

15.3 If, during the course of a meeting, the number of members present falls below the quorum the meeting cannot continue and any outstanding business will be carried forward to the next meeting.

15.4 The City Solicitor (or the City Solicitor's representative) may determine, with the agreement of the members present, that the deadline in Rule 15.2 should be extended by a further period (not exceeding 45 minutes) provided that

- (a) there is a reasonable expectation that a quorum could be achieved by extending the deadline, and
- (b) failure to determine the matter on the day of the meeting would cause serious prejudice or cause serious inconvenience to parties affected.

**16. Minutes of Meetings**

16.1 The City Solicitor will prepare the minutes of every meeting.

16.2 \*\*\*The minutes will be submitted for approval and signature as a correct record at the following meeting, unless that meeting is an extraordinary meeting in which case they may be submitted to the next ordinary meeting.

16.3 \*\*\*The names of members present at a meeting will be recorded in the minutes.

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### 17. Committee Minutes/Reports Submitted to Council

17.1 When moving approval of committee minutes, a chair may: -

- a) Correct any factual inaccuracy; and / or,
- b) Following an explanation, seek the consent of Council to take back any item for reconsideration by the committee.

There will be no discussion.

17.2 \*\*\*If a chair declares a prejudicial interest in part of a committee's minutes, ~~s/he~~ **they** should move them with the exception of that part.

17.3 A chair may move part of a committee's minutes, ~~s/he~~ **they** may move them with the exception of any item.

17.4 Any excepted items must be moved, after the other proceedings have been dealt with, by another member of the committee who was present at that meeting.

### 18. Amendments to be Moved at Council

18.1 Subject to Rules 18.2, 18.3, 18.4, 18.5 and 18.6 amendments to be moved at Council must be in writing and be received by the Chief Executive at least 30 minutes before the meeting.

18.2 Amendments to items of urgent business circulated on the day of the meeting must be in writing and received by the Chief Executive within 30 minutes of the item being circulated to members.

18.3 When the Executive makes recommendations to the Council in relation to calculating the budget requirement and setting the Council tax, any amendments to those recommendations which affect those calculations or the level of Council tax must be submitted in writing and received by the Chief Executive by 4.00 p.m. on the seventh day after the meeting of the Executive. Any such amendment, together with the recommendations of the Executive, will be referred to the Resources and Governance Scrutiny Committee which will report to the Council meeting in March at which the Council calculates the budget and sets the Council Tax ("the Budget Council").

18.4 Nothing in Rule 18.3 will prevent –

- a) Members moving amendments at Budget Council in accordance with Rule 18.1, or
- b) the Executive reconvening and revising their recommendations to Budget Council,



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where such amendments or revised recommendations arise out of the proceedings of the Resources and Governance Scrutiny Committee, nor will anything in Rule 18.3 require a further meeting of the Resources and Governance Scrutiny Committee in such circumstances.

- 18.5 Amendments to the Constitution of the Council (other than amendments proposed by the Monitoring Officer pursuant to Article 15 of Part 2 of this Constitution) must be submitted in writing and received by the Chief Executive not later than 12.00 noon on the ninth day preceding the Council meeting (e.g. where the Council meeting is held on a Wednesday, the Monday in the week preceding the meeting) unless that day is not a working day in which case the amendment must be received by noon on the first working day thereafter.
- 18.6 Where the Monitoring Officer has submitted proposals to change the Constitution pursuant to Article 15 of Part 2 of this Constitution, nothing in Rule 18.5 shall prevent members from: -
- a) disapproving the proposed changes; or
  - b) Moving amendments to the proposed changes at Council in accordance with Rule 18.1.
- 18.7 All amendments submitted: -
- a) Must only refer to matters to be considered at the meeting.
  - b) Must identify the item to be amended and the name of the mover and seconder.
  - c) Must be relevant to the item.
  - d) May refer the item back to the Executive or, as appropriate, to a committee or sub-committee, for further consideration.
  - e) May add words.
  - f) May delete words or, where more than one item is moved at the same time, may delete any item.
  - g) May not be made to decisions of a committee or sub-committee under delegated powers.
  - h) Must not have the effect of wholly negating the original motion.
- 18.8 Copies of every amendment received will be available to members at the meeting and in the public gallery. Where they are so available they need not be read out.

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- 18.9 The Lord Mayor may allow two or more amendments to be debated together if it will help the business to be dealt with more efficiently.
- 18.10 If there is more than one amendment on any item, they will be dealt with in the order in which they were received or in an order determined by the Lord Mayor as being conducive to the efficient conduct of business.
- 18.11 An amendment must be moved by the member who submitted it.
- 18.12 The mover of a motion may not submit an amendment to their own motion.

### 19. Motions Without Notice at Council

- 19.1 The following motions may be moved without notice. There will be no discussion: -
- a) To appoint a member to chair the meeting.
  - b) To approve or correct the minutes of the previous meeting.
  - c) To change the order of business on the agenda.
  - d) To combine debates on items on the agenda.
  - e) To withdraw an item from the agenda.
  - f) To move committee minutes.
  - g) To withdraw any motion or amendment (by the mover).
  - h) To not hear a member further.
  - i) To require a member to leave the meeting.
  - j) To extend the time limit on speeches.
  - k) To suspend particular Rules. (see Rule 2.1)
  - l) To exclude the public during consideration of confidential business.
  - m) To go to the next business. If seconded, the vote will then be taken. If carried, the item under discussion will be treated as withdrawn.
  - n) To put the question immediately to a vote. If seconded, the vote will then be taken. If carried, the mover of the original motion shall have a right of reply.

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- o) To adjourn the debate. If seconded, the vote will then be taken, and if carried, there are no rights of reply. On resuming the debate, the member who moved the original motion will have the right to speak first.
- p) To adjourn the meeting. If seconded and before taking the vote, the Lord Mayor will deal with any unopposed business (i.e. against which no amendment has been notified in accordance with Rules 18.1 to 18.3). If the motion to adjourn is carried, there will be no right of reply allowed.

(NOTE: During the course of a debate motions (k) to (p) may only be moved by members who have not already spoken in the debate).

19.2 A member may not move any of the motions in Rule 19.1 more than once at a meeting. If a motion under that Rule 19.1 is not carried, it cannot be moved again on the same item unless the Lord Mayor decides the circumstances have changed significantly.

### **20. Submission of Motions and Amendments**

- 20.1 Motions and amendments must relate to items included on the agenda or accepted by the meeting as urgent business.
- 20.2 An amendment cannot be considered if it is inconsistent with an amendment previously adopted or repeats an amendment previously rejected.
- 20.3 The mover of a motion may, with the consent of the mover of an amendment, incorporate an amendment into the motion. If this happens, the mover of the amendment will have the same speaking rights as if the amendment had been debated separately.
- 20.4 Motions and amendments cannot be debated until they have been moved and seconded.
- 20.5 Subject to Rule 18.9 and Rule 19.1(d) only one amendment may be moved at a time. No further amendments can be moved until the previous amendment has been dealt with.
- 20.6 Each amendment must be voted on separately.
- 20.7 If an amendment is carried, the amended motion becomes the substantive motion to which further amendments may be moved.
- 20.8 If an amendment is lost, other amendments may be moved to the original motion.

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20.9 The mover may withdraw an amendment at any time in which case no debate will be allowed.

20.10 A member may only move one amendment on an item.

### 21. Speeches at Council

21.1 Speeches on motions and amendments will be in the following order: -

- a) Mover then seconder of a motion.
- b) Mover then seconder of any amendment.
- c) Any other member including those who have reserved the right to speak.
- d) Right of reply of mover of the original motion.

21.2 The right of reply is to enable points made in the debate to be answered. New matters must not be introduced.

21.3 A member moving a motion may nominate another member (with the exception of the mover of a defeated amendment) to exercise the right of reply.

21.4 A member may only speak once on each of a motion and/or an amendment except:-

- a) When exercising a right of reply.
- b) When raising a point of order. (This is a request for the Lord Mayor to rule on an alleged irregularity in either the constitution or conduct of the meeting). In these circumstances:-
  - i) A point of order must be raised as soon as it arises.
  - ii) The member must refer to both the Rule in question and how it has been broken.
  - iii) A ruling must be given by the Lord Mayor before the debate continues.
  - iv) There will be no discussion on the ruling.
- c) When giving a personal explanation (i.e. when a member believes that a later speaker has misunderstood or misquoted him / her). In these circumstances:-
  - i) The member must ask the permission of the Lord Mayor to interrupt the speaker.

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- ii) There will be no discussion on the Lord Mayor's decision.
  - d) When exercising a reserved right to speak.
- 21.5 The right to speak later in the debate must be reserved when seconding a motion or amendment.
- 21.6 This right is subject to the member being called upon by the Lord Mayor to speak.
- 21.7 A member may only speak about the matter under debate except when raising a point of order or giving a personal explanation.
- 21.8 Subject to Rule 21.9, speeches must be no longer than six minutes although they may be extended by five minutes if the meeting agrees. The meeting may also change these time limits.
- 21.9 Speeches by the ~~member of the~~ Executive **Member with portfolio responsibility** for Finance ~~and Human Resources~~ and the finance spokesperson of the opposition group(s) at the meeting referred to in Rule 10.4 to calculate the budget requirement and set Council Tax levels shall be up to 20 minutes duration, but all other speeches shall be subject to the limitations in Rule 21.8 above.
- 21.10 Members should address the meeting through the Lord Mayor.

## 22. Voting

- 22.1 Subject to the provisions of any enactment and Rule 22.2 and 22.2A, members will vote by voice or by show of hands, or by ballot or by electronic voting system, at the discretion of the Lord Mayor. Where there is a vote by show of hands, all members wishing to vote "for" the motion or amendment should vote before the votes "against" are taken, including the Lord Mayor using ~~his/her~~ **their** first (but not casting) vote.
- 22.2 At Council: -
- a) Ten members may demand before the vote is taken that the names of those voting be recorded in the minutes.
  - b) Members may not vote unless they are in the meeting when the matter is put to the vote.
- 22.2A At a budget decision meeting of the Council:-
- a) Immediately after any vote is taken at a budget decision meeting of the Council there must be recorded in the minutes of the proceedings of that meeting the names of the persons who cast

## COUNCIL PROCEDURE RULES

a vote for the decision or against the decision or who abstained from voting.

- b) References to a “budget decision meeting” for the purposes of this Rule 22.2A means a ,meeting of the Council at which it makes a calculation (whether originally or by way of substitute) in accordance with any of sections 31A, 31B, 34 to 36A or 52ZF of the Local Government Finance Act 1992 and includes a meeting where making the calculation was included as an item of business on the agenda for that meeting.
- c) References to a “vote” for the purposes of this Rule 22.2A are references to a vote on any decision related to the making of the calculation.

- 22.3 \*\*\*A member may demand that ~~his / her~~ their vote be recorded in the minutes.
- 22.4 \*\*\*Subject to the provisions of any enactment and Rule 22.7 all questions will be decided by a majority of members present and voting.
- 22.5 If there are more than two people nominated for any one position to be filled and there is not a clear majority in favour of one person, then the name of the person with the least number of votes will be taken off the list and a new vote taken. The process will continue until there is a majority of votes for one person.
- 22.6 \*\*\*Where there is an equality of votes, the Lord Mayor may exercise a second or casting vote.
- 22.7 \*\*\*A decision to promote or oppose a local or personal Bill in Parliament under Section 239 of the Local Government Act 1972 or to [apply for or] oppose an order under the Transport and Works Act 1992 will require to be passed by a majority of the whole number of the Council (i.e. 49 affirmative votes are required).

### 23. Questions at Council

- 23.1 A member may submit a question to the Leader of the Council or a member of the Executive in respect of reports of the Executive submitted to Council.
- 23.2 Subject to Rule 23.4, a member of the Council may ask the Leader of the Council, a member of the Executive, or the chair of a committee, a question on any matter in relation to which the Council has powers or duties, or which affects the interests of Manchester or its residents.
- 23.2A Questions that may be put to the Leader of the Council or to a member of the Executive under Rule 23.2 include questions about any matter

## COUNCIL PROCEDURE RULES

which relates to the work of the following bodies on which the Council is represented by the Leader or a member of the Executive:

- a) The Greater Manchester Combined Authority;
- b) The ~~Greater Manchester Transport~~ **Bee Network** Committee;
- c) The Greater Manchester Police and Crime Panel; and
- d) The Greater Manchester Integrated Care Partnership.

23.3 Subject to Rule 23.4, a member of the committee or sub committee may ask the chair of it a question on any matter in relation to which the Council has powers or duties, or which affects Manchester, and which falls within the terms of reference of that committee or sub committee.

23.4 A member may only ask a question under Rules 23.2 or 23.3 if either: -

- a) they have given at least 48 hours notice in writing of the question to the Chief Executive; or
- b) the question relates to urgent matters, they have the consent of the person to whom the question is to be put and the content of the question is given to the Chief Executive at least two hours prior to the start of the meeting.

23.5 An answer may take the form of: -

- a) a direct oral answer;
- b) where the desired information is contained in a publication of the Council or other published work, a reference to that publication; or
- c) where the reply cannot conveniently be given orally, a written answer circulated later to the questioner.

23.6 A member asking a question under Rule 23.2 or 23.3 may ask one supplementary question without notice of the member to whom the first question was asked. The supplementary question must arise directly out of the original question or the reply.

23.7 The submission of a question under Rule 23.2 or 23.3 shall not restrict the right of a member to propose a motion or amendment upon the minutes.

24. [...]

### 25. Previous Decisions and Motions (Six Months' Rule)

## COUNCIL PROCEDURE RULES

- 25.1 Decisions of the Council made within the last six months may not be changed, unless this Rule is suspended. (see Rule 2.1)
- 25.2 A motion or amendment in similar terms to one that has been rejected at a meeting of Council in the past six months cannot be moved, unless this Rule is suspended.

### 26. Conduct at Meetings

- 26.1 If the Chair calls the meeting to order members shall be silent.
- 26.2 If a member behaves improperly or offensively or deliberately obstructs business, the Lord Mayor may direct the member to be silent.
- 26.3 If the member continues such behaviour the Lord Mayor may direct either that the member leave the meeting or that the meeting be adjourned for a specified period.
- 26.4 If a member of the public interrupts proceedings, the Lord Mayor will warn the person concerned. If the interruption continues, the Lord Mayor may order ~~his / her~~ their removal from the meeting.
- 26.5 If there is a disturbance which interrupts proceedings, or makes business impossible, the Lord Mayor may call for the room to be cleared of those making the disturbance, and may adjourn the meeting for as long as necessary.
- 26.6 Members of the Council, officers and members of the public shall not permit mobile telephones, pagers, or other such devices with audible operating signals, to be brought into Council, Committee or sub committee meetings unless the audible call function is muted for the duration of the meeting.

### 27. Duration of Council Meetings

- 27.1 Unopposed business may be taken if the meeting continues beyond 3.00pm, or such other time as the Lord Mayor may decide.
- 27.2 Unopposed business is business on which no amendment has been moved.

### 28. Recording, Filming, Photographing and other reporting of Meetings

Where the public are admitted to council, committee or sub-committee meetings, then audio recording, filming, photographing or other reporting of proceedings may take place in accordance with Rule 3.2 of Section B of Part 4 of this Constitution.

### 29. Notification and Declaration of Interests



## COUNCIL PROCEDURE RULES

29.1 In this Rule and, where relevant, Rule 30 –

“the Code” means the Conduct of Conduct for Members adopted by the Council under Section 28 of the Localism Act 2011;

“disclosable pecuniary interest” means an interest specified in the Relevant Authorities (Disclosable Pecuniary Interests) Regulations 2012 and set out in paragraph 8 of the Code;

“member of the Council” includes a voting co-opted member.

“personal interest” for the purpose of Rule 29.2 means an interest described in paragraph 11 of the Code, and for the purpose of Rule 29.3 and Rule 30 means an interest described in paragraph 11 or 12.2 of the Code;

“prejudicial interest” means an interest described in paragraph 13.1 of the Code;

29.2 Members of the Council must within 28 days of their election or appointment to office notify the Monitoring Officer in writing of the details of their disclosable pecuniary interests (including, where required, interests of their partner) and their personal interests.

29.3 Where a member of the Council is present at a meeting and has a disclosable pecuniary interest or, subject to paragraphs 12.4 to 12.6 of the Code, a personal interest in any matter to be considered at the meeting, they must disclose the interest to the meeting.

### **30. Non-participation and withdrawal in the case of Disclosable Pecuniary Interests and Prejudicial Interests.**

30.1 Where a member of the Council has a disclosable pecuniary interest or, subject to paragraphs 13.3 and 13.4 of the Code, a prejudicial interest in any matter being considered at a meeting, they must not participate or vote on the matter and must withdraw from the room of the meeting while the matter is being considered.

### **31. Honorary Titles and Awards**

31.1 The Council may, by a resolution passed by not less than two thirds of the members voting thereon at a meeting of the Council specially convened for the purpose with notice of the object given, confer the title of honorary aldermen or alderwomen on persons who have, in the opinion of the Council, rendered eminent services to the Council as past members of the Council, but who are not then members of the Council.

31.2 The Council may, by a resolution passed by not less than two thirds of the members voting thereon at a meeting of the Council specially

**COUNCIL PROCEDURE RULES**

convened for the purpose with notice of the object given, admit to be honorary freemen or honorary freewomen of the city, persons of distinction and persons who have, in the opinion of the Council, rendered eminent services to the city.

- 31.3 The Council may, by resolution at a meeting of the Council specially convened for the purpose with notice of the object given, formally recognise the outstanding contributions and achievements of individuals and organisations whatever their field or expertise under either of the categories described in Rules 31.4 and 31.5 below (the making of such a resolution to be known as “The City of Manchester Award”).
- 31.4 The first category referred to in Rule 31.3 (to be known as “Outstanding Contribution to Manchester”) shall relate to an individual or organisation who lives, works or studies in the city (or who has previously lived, worked or studied in the city) and who has, in the opinion of the Council, made such an exceptional contribution to Manchester that it should be formally recognised and recorded in the city’s history. Outstanding Contributions may arise from a one off event or specific achievement, or consistent excellence, which has had a positive impact on Manchester, its people or the city’s reputation nationally or internationally.
- 31.5 The second category referred to in Rule 31.3 (to be known as “Honorary Mancunian”) shall relate to an individual who does not live or work in the city but who by their actions has, in the opinion of the Council, made an exceptional contribution to the city, its people or the city’s reputation nationally or internationally.

**ACCESS TO INFORMATION PROCEDURE RULES**

# **Section B**

## **Access To Information Procedure Rules**

## ACCESS TO INFORMATION PROCEDURE RULES

## Part 4 - Section B: Access to Information Procedure Rules

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## ACCESS TO INFORMATION PROCEDURE RULES

### 1. SCOPE

- 1.1 Except as otherwise indicated, Rules 3 - 13 and Rule 26 apply to all meetings of the Council, scrutiny committees, area committees, the regulatory and other non-executive committees and sub-committees and meetings of the Executive and committees of the Executive (together called meetings). Rules 15 – 22 and 24 - 25 apply exclusively to executive decisions, meetings of the Executive and documents in the possession or under the control of the Executive. Rule 25A applies exclusively to documents in the possession or under the control of the Council, relating to meetings of the Council, its committees or sub-committees.
- 1.2 These Rules do not affect any more specific rights to information contained elsewhere in this Constitution or the law.

### 2. DEFINITIONS

In these Rules: –

- (a) “decision-maker” means the decision-making body by which, or the individual by whom, a decision is taken;
- (b) “the decision-making body” includes the Executive or a committee of the Executive;
- (c) “executive decision” means a decision made or to be made by a decision-maker in connection with the discharge of a function which is the responsibility of the Executive;
- (d) “key decision” has the meaning given in Rule 15;
- (e) “political adviser or assistant” means a person appointed pursuant to section 9 of the Local Government and Housing Act 1989;
- (f) “private meeting” means a meeting, or part of a meeting, during which the public are excluded under Rule 10;
- (g) “public meeting” means a meeting which is open to the public in accordance with Rule 3;
- (h) “relevant scrutiny committee” means such scrutiny committee(s) as determined by the City Solicitor.

### 3. RIGHTS TO ATTEND MEETINGS

- 3.1 Members of the public may attend all meetings subject only to the exceptions in Rule 10.
- 3.2 While a meeting is open to the public any member of the public attending may report on that meeting by:

## ACCESS TO INFORMATION PROCEDURE RULES

- (a) filming, photographing or making an audio recording of proceedings at a meeting;
- (b) using any other means for enabling persons not present to see or hear proceedings at a meeting as it takes place or later; or
- (c) reporting or providing commentary, in writing, on proceedings at a meeting so that the report or commentary is available as the meeting takes place or later to persons not present (oral reporting or commentary is not permitted during a meeting, but may be provided outside or after the meeting).

### 4. NOTICES OF MEETINGS

- 4.1 The Council will give at least five clear days notice of any meeting by posting details of the meeting at the main office of the Council and on the Council's website.
- 4.2 Where the meeting is convened at shorter notice, notice will be posted at the time the meeting is convened.

### 5. ACCESS TO AGENDA AND REPORTS BEFORE THE MEETING

- 5.1 Subject to Rule 11 a copy of the agenda and any report for a meeting will be available for public inspection at the main office of the Council and on the Council's website.
- 5.2 Any document which is required by Rule 5.1 to be subject to public inspection must be available for at least 5 clear days before the meeting except that -
  - (a) where the meeting is convened at shorter notice, a copy of the agenda and associated reports must be available for inspection when the meeting is convened; and
  - (b) where an item which would be available for public inspection is added to the agenda, copies of the revised agenda and any report relating to the item must be available for inspection when the item is added to the agenda.

### 6. SUPPLY OF COPIES

- 6.1 The Council will supply copies of:
  - (a) any agenda and reports which are open to public inspection;
  - (b) any further statements or particulars, if any, as are necessary to indicate the nature of the items in the agenda; and

## ACCESS TO INFORMATION PROCEDURE RULES

- (c) if the City Solicitor thinks fit, copies of any other documents supplied to Members in connection with an item;

to any person (including any newspaper) on payment of a charge for postage, copying and any other costs.

- 6.2 A reasonable number of copies of the agenda and reports for the public part of the meeting will be available for the use of members of the public present at the meeting.
- 6.3 The Council will also supply copies of documents available for public inspection under Rule 7 and Rule 8.2 to any person (including any newspaper) on payment of a charge for postage, copying or any other costs.

## 7. ACCESS TO MINUTES ETC AFTER THE MEETING

- 7.1 The Council will make available at the main office of the Council and on the Council's website as soon as reasonably practicable after a meeting copies of the following: -
- (a) the minutes of the meeting and records of decisions taken, together with reasons, at meetings of the Executive, excluding any part of the minutes of proceedings when the meeting was not open to the public or which disclose exempt or confidential information;
  - (b) a summary of any proceedings not open to the public where the minutes open to inspection would not provide a reasonably fair and coherent record;
  - (c) the agenda for the meeting; and
  - (d) reports relating to items when the meeting was open to the public.
- 7.2 The above documents will be retained by the Council and be open to inspection for six years after the meeting.

## 8. BACKGROUND PAPERS

### 8.1 List of background papers

The relevant Chief Officer will set out in every report and with every written statement made under Rule 23.1 a list of those documents (called background papers) relating to the subject matter of the report which in their~~his/her~~ opinion: -

- (a) disclose any facts or matters on which the report or an important part of the report is based; and

## ACCESS TO INFORMATION PROCEDURE RULES

- (b) which have been relied on to a material extent in preparing the report but does not include published works or those which disclose exempt or confidential information (as defined in Rule 10) and in respect of executive reports, the advice of a political adviser or assistant.

### 8.2 Public inspection of background papers

The Council will make available for public inspection at the main office of the Council and (in the case of executive documents and non-executive delegated decisions falling within Rule 23.1) on the Council's website one copy of each of the documents on the list of background papers. Such papers will be available from the time the report or written statement (to which they are background papers) is available until four years after the date of the meeting.

## 9. SUMMARY OF PUBLIC'S RIGHTS

A written summary of the public's rights to attend meetings and to inspect and copy documents must be kept at and be available to the public at the main office of the Council.

## 10. EXCLUSION OF ACCESS BY THE PUBLIC TO MEETINGS

### 10.1 Confidential information - requirement to exclude public

The public must be excluded from meetings whenever it is likely in view of the nature of the business to be transacted or the nature of the proceedings that confidential information would be disclosed.

### 10.2 Meaning of confidential information

Confidential information means information given to the Council by a Government Department on terms which forbid its public disclosure or information which cannot be publicly disclosed by reason of a Court Order or any enactment.

### 10.3 Exempt information - discretion to exclude public

The public may be excluded from meetings by a resolution passed by the meeting whenever it is likely in view of the nature of the business to be transacted or the nature of the proceedings that exempt information would be disclosed.

### 10.4 Meaning of exempt information

- (a) Exempt information means information falling within the following 7 descriptions (subject to any qualifications).



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	<b>Description</b>	<b>Qualification</b>
1.	Information relating to any individual	Information within paragraph 1 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
2.	Information which is likely to reveal the identity of an individual.	Information within paragraph 2 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
3.	Information relating to the financial or business affairs of any particular person (including the authority holding that information).	<p>Information within paragraph 3 is not exempt information if it is required to be registered under-</p> <ul style="list-style-type: none"> <li>(a) the Companies Acts (as defined in section 2 of the Companies Act 2006);</li> <li>(b) the Friendly Societies Act 1974;</li> <li>(c) the Friendly Societies Act 1992;</li> <li>(d) the Co-operative and Community Benefit Societies Act 2014;</li> <li>(e) the Building Societies Act 1986; or</li> <li>(f) the Charities Act 2011.</li> </ul> <p>Subject to the above, Information within paragraph 3 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.</p>
4.	Information relating to any consultations or negotiations, or contemplated consultations or negotiations, in connection with any	Information within paragraph 4 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

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	labour relations matter arising between the authority or a Minister of the Crown and employees of, or office holders under, the authority.	
5.	Information in respect of which a claim to legal professional privilege could be maintained in legal proceedings.	Information within paragraph 5 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.
6	Information which reveals that the authority proposes – (a) to give under any enactment a notice under or by virtue of which requirements are imposed on a person; or (b) to make an order or direction under any enactment.	Information within paragraph 6 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information
7.	Information relating to any action taken or to be taken in connection with the prevention, investigation or prosecution of crime.	Information within paragraph 7 is exempt information if and so long, as in all the circumstances of the case, the public interest in maintaining the exemption outweighs the public interest in disclosing the information.

Information is not exempt information if it relates to proposed development for which the local planning authority may grant itself planning permission pursuant to regulation 3 of the Town and Country Planning General Regulations 1992.

- 10.5 A member or members of the public may be excluded from a public meeting by use of a lawful power in order to maintain orderly conduct or prevent misbehaviour at a meeting.

## ACCESS TO INFORMATION PROCEDURE RULES

### 11. EXCLUSION OF ACCESS BY THE PUBLIC TO REPORTS

11.1 If the City Solicitor thinks fit, the Council may exclude access by the public to the whole or part of any reports which in ~~their~~<sup>his or her</sup> opinion relate to items during which, in accordance with Rule 10, the meeting is likely not to be open to the public.

11.2 Where the whole or any part of a report is not available for public inspection by virtue of Rule 11.1 -

- (a) every copy of the whole report or part of the report must be marked "Not for Publication"; and
- (b) there must be stated on every copy of the report -
  - (i) that it contains confidential information; or
  - (ii) by reference to Schedule 12A of the Local Government Act 1972, the description of the exempt information by virtue of which the public are likely to be excluded during consideration of the item.

### 12. BUSINESS CONTAINING EXEMPT INFORMATION

12.1 A member of the public may request the Chief Officer who wrote a report to be considered at any meeting of the Council, its Committees or Sub Committees or the Executive to:-

- (a) recommend that an item of business containing exempt information should be taken in public; and/or,
- (b) allow ~~them~~<sup>him/her</sup> to inspect the report or any background document to a report.

12.2 Such a request must be made -

- (a) in the case of the meeting of the Executive or committee of the Executive where notice has been published under Rule 20.3, at least 6 clear days before the meeting; and
- (b) in any other case, at least 48 hours before the meeting.

12.3 If such a request is refused, the Chief Officer must give a reason for the decision together with details of the appeals procedure under Rule 13.1

12.4 A decision by a Chief Officer under Rule 12 to refuse a request will remain valid unless altered on appeal to the meeting which is to consider the item concerned.

## ACCESS TO INFORMATION PROCEDURE RULES

### 13. PUBLIC RIGHTS OF APPEAL

- 13.1 The procedure for appealing against a Chief Officer's decision in accordance with Rule 12 is as follows: -
- (a) The appellant must write to the Chief Executive giving details of the item concerned together with the reason (s) for ~~their~~his / her request at least 24 hours before the meeting in question. The time of receipt of the appeal must be recorded as any received after this time will be invalid.
  - (b) The appeal will be dealt with in private as the first item on the agenda.
  - (c) Initially, only the Chief Officer (or representative) who refused the original request, the Chief Executive's representative and the Members concerned may be present.
  - (d) The Chief Officer will report the matter to the meeting giving his/her reasons for the decision and Members will be allowed to ask questions.
  - (e) The appellant will then be called into the meeting and the chair will summarise the reasons given for the refusal.
  - (f) The appellant will give ~~their~~his / her reason (s) for the request and Members will be allowed to ask questions of the appellant and the Chief Officer but having regard to the confidentiality of the item.
  - (g) Both parties will withdraw and the meeting will then consider and determine the appeal.
- 13.2 Where an appeal relates to the inspection of an exempt document and is not dealt with under Rule 13.1 (being made either after the meeting in question or less than 2 hours before), the following procedural timetable will apply: -
- (a) The appellant must submit the appeal in writing to the Chief Executive within 10 working days of the refusal by the Chief Officer;
  - (b) The relevant body will determine the appeal within 8 weeks of its receipt.

### 14. APPLICATION OF RULES TO THE EXECUTIVE

Rules 15 - 22 and 24 – 25 apply exclusively to executive decisions, meetings of the Executive and committees of the Executive, and documents in the possession or under the control of the Executive. Rules 3 - 13 and 26 also apply to meetings of the Executive and its committees.

## ACCESS TO INFORMATION PROCEDURE RULES

### 15. KEY DECISIONS

- 15.1 A “key decision” means an executive decision which is likely -
- (a) to result in the local authority incurring expenditure which is, or the making of savings which are, significant having regard to the local authority’s budget for the service or function to which the decision relates; or
  - (b) to be significant in terms of its effects on communities living or working in an area comprising two or more wards in the area of the local authority.
- 15.2 For the purposes of **Rule 15.1** (a) above significant expenditure or savings are expenditure or savings (including the receipt or loss of income or capital receipts) in excess ~~of the lesser of £500,000 or (provided that it is not less than £50,000) 10% of the gross operating expenditure for any budget heading in the revenue budget approved by Council~~ provided that the following decisions will not be key decisions, by virtue only of **Rule 15.1** (a) above, whatever the level of expenditure or savings involved:
- (**ai**) **The following Treasury Management decisions –**
    - (i) any decision to borrow money to meet the short term borrowing requirements of the Council, to fund the approved capital programme, to refinance maturing debt or to restructure the long term borrowing of the Council;
    - (ii) any decision to invest funds in accordance with the Treasury Management Strategy approved by the Council;
    - ~~(iii) any decision implementing the business plan of any DSO/DLO with the exception of the letting of sub-contracts by the DSO/DLO with a value in excess of £250,000;~~
    - (**biv**) any of the following decisions relating to the management of Council land subject to the Council obtaining best consideration: **R**ent reviews, release or waiver of covenants, short term leases (i.e. less than 7 years);
    - (**cv**) any decision to apply scheme-specific third party grants or contributions towards expenditure where the net cost to the City Council of the decision is below the level of significant expenditure or savings referred to above;
    - (**dvi**) the settlement of any actual or threatened legal proceedings in the interests of the Council;
    - (**evii**) the acceptance of tenders for contracts wholly or mainly involving capital expenditure where the **Deputy Chief Executive and City Treasurer** in consultation with the Executive Member

## ACCESS TO INFORMATION PROCEDURE RULES

with Portfolio responsibility for ~~for Finance and Human Resources~~, has previously issued formal capital expenditure approval for the scheme;

(~~f~~viii) implementation of a capital project identified in the approved Capital Programme and in respect of which budget approval has been given and the detailed business case (or equivalent) has been approved;

(g) a decision to approve a care plan which the Council has a duty or power to provide as defined by section 25 of the Care Act 2014, section 46 of the National Health Service and Community Care Act 1990 and section 2 of the Chronically Sick and Disabled Persons Act 1970; or sections 17, 20, 25, 31A, 38(6) of the Children Act 1989 and in accordance with the Care Planning, Placement and Case Review (England) Regulations 2010;

(h) an urgent decision necessary out of office hours taken in accordance with the Council's Emergency Management Plan by the Officer acting at Gold level at the relevant time.

### 16. PUBLICITY AND PROCEDURE IN CONNECTION WITH KEY DECISIONS

16.1 Subject to Rule 17 (General Exception) and Rule 18 (Special Urgency), where a decision-maker intends to make a key decision, that decision must not be made unless at least 28 clear days before it is made -

- (a) a document is published containing the information set out in Rule 16.2; and
- (b) that document is made available at the main office of the Council and published on the Council's website.

16.2 The document published under Rule 16.1 must state -

- (a) that a key decision is to be made on behalf of the Council;
- (b) the matter in respect of which the decision is to be made;
- (c) where the decision-maker is an individual, that individual's name and title and, where the decision-maker is a body, its name and a list of its Members;
- (d) the date on which, or the period within which, the decision is to be made;

## ACCESS TO INFORMATION PROCEDURE RULES

- (e) a list of documents submitted to the decision-maker for consideration in respect of the matter;
- (f) the address from which, subject to any prohibition or restriction on their disclosure, copies or extracts of any document listed are available;
- (g) that other relevant documents may be submitted to the decision-maker; and
- (h) the procedure for requesting details of those documents (if any) as they become available.

16.3 The document referred to above must not contain any confidential or exempt information or particulars of the advice of a political adviser or assistant, but should contain particulars of the matter to be decided.

### 17. GENERAL EXCEPTION

17.1 Subject to Rule 18 (Special Urgency), where the publication of the intention to make a key decision under Rule 16 is impracticable, the decision may still be made but only if -

- (a) the City Solicitor has informed the chair of the relevant scrutiny committee or if there is no such person, each Member of that committee by notice in writing, of the matter about which the decision is to be made;
- (b) the City Solicitor has made a copy of that notice available to the public at the main office of the Council, and published it on the Council's website; and
- (c) at least 5 clear days have elapsed since the City Solicitor complied with (a) and (b).

17.2 As soon as reasonably practicable after the City Solicitor has complied with Rule 17.1 (a) and (b), he or she must make available at the main office of the Council a notice setting out the reasons why compliance with Rule 16 is impracticable and publish that notice on the Council's website.

### 18. SPECIAL URGENCY

18.1. Where the date by which a key decision must be made, makes compliance with Rule 17 impracticable, the decision may only be made where the decision-maker may obtain agreement from -

- (a) the Chair of the relevant scrutiny committee; or
- (b) if there is no such person, or if the chair is unable to act, the Lord Mayor; or

## ACCESS TO INFORMATION PROCEDURE RULES

- (c) where there is no chair of the relevant scrutiny committee or Lord Mayor, the Deputy Lord Mayor,

that the making of the decision is urgent and cannot reasonably be deferred.

- 18.2 As soon as reasonably practicable after the decision-maker has obtained such agreement under Rule 18.1, the decision-maker must make available at the main office of the Council a notice setting out the reasons that the making of this decision is urgent and cannot reasonably be deferred and publish that notice on the Council's website.

## 19. REPORTS TO COUNCIL

- 19.1 When a scrutiny committee can require a report

Where an executive decision has been made and -

- (a) was not treated as a key decision, and  
 (b) a relevant scrutiny committee are of the opinion that the decision should have been treated as a key decision

that scrutiny committee may require the Executive to submit a report to Council within such reasonable period as the committee may specify, which shall normally be the next scheduled meeting of the Council.

- 19.2 Executive's Report to Council

A report under Rule 19.1 must include details of -

- (a) the decision and the reasons for the decision;  
 (b) the decision-maker by whom which the decision was made; and  
 (c) if the Executive are of the opinion that the decision was not a key decision, the reasons for that opinion.

- 19.3 Reports on special urgency decisions

The Leader or the City Solicitor will submit reports to the Council on the executive decisions taken in the circumstances set out in Rule 18 (special urgency) in the preceding cycle. The report will include the particulars of decisions so taken and a summary of the matters in respect of which those decisions were taken.

## 20. PROCEDURES PRIOR TO PRIVATE MEETINGS



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- 20.1 The Executive and any committee of the Executive ("the decision-making body") must meet in public except to the extent that the public are excluded under Rule 10.
- 20.2 A "private meeting" means a meeting, or part of a meeting, of the Executive during which the public are excluded.
- 20.3 At least 28 days before a private meeting, the decision-making body or the City Solicitor acting on its behalf, must make available at the main office of the Council and publish on the Council's website a notice of its intention to hold the meeting or part of the meeting in private and a statement of the reasons for the meeting to be held in private.
- 20.4 At least 5 clear days before a private meeting, the decision-making body or the City Solicitor acting on its behalf, must make available at the main office of the Council and publish on the Council's website a notice which must include -
- (a) a statement of the reasons for the meeting to be held in private;
  - (b) details of any representations received by the decision-making body about why the meeting should be open to the public; and
  - (c) a statement of its response to any such representations.
- 20.5 Where the date by which a meeting must be held makes compliance with these procedures impracticable, the meeting may only be held in private where the decision-making body has obtained agreement from -
- (a) the chair of the relevant scrutiny committee; or
  - (b) if there is no such person, or if the chair is unable to act, the Lord Mayor; or
  - (c) where there is no chair or Lord Mayor, the Deputy Lord Mayor
- that the meeting is urgent and cannot reasonably be deferred.
- 20.6 As soon as reasonably practicable after the decision-making body has obtained agreement under Rule 20.5 to hold a private meeting, it must make available at the main office of the Council, and publish on the Council's website, a notice setting out the reasons why the meeting is urgent and cannot reasonably be deferred.

## 21. RECORDING OF EXECUTIVE DECISIONS MADE AT MEETINGS

- 21.1 As soon as reasonably practicable after any meeting of the Executive or a committee of the Executive at which an executive decision was made, the City Solicitor must ensure that a written statement is produced for every executive decision made which includes the following information -

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- (a) a record of the decision and the date it was made;
- (b) a record of the reasons for the decision;
- (c) details of any alternative options considered and rejected;
- (d) a record of any conflict of interest relating to the matter decided which is declared by any member of the decision-making body; and
- (e) in respect of any declared conflict of interest, a note of dispensation granted by the Chief Executive.

21.2 Any written statement produced in accordance with this Rule, and any report considered by the Executive or a committee of the Executive relevant to a decision will be available for public inspection at the main office of the Council and on the Council's website.

21.3 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

**22. RECORDING OF EXECUTIVE DECISIONS MADE BY INDIVIDUALS**

22.1 As soon as reasonably practicable after an individual Member has made an executive decision, that Member must produce or instruct the City Solicitor to produce a written statement of that executive decision which includes the information specified in Rule 22.3.

22.2 As soon as reasonably practicable after an Officer has made a decision which is an executive decision, the Officer must produce a written statement including the information specified in Rule 22.3.

22.3 The statement referred to in Rule 22.1 and 22.2 should include -

- (a) a record of the decision including the date it was made;
- (b) a record of the reasons for the decision;
- (c) details of any alternative options considered and rejected when making the decision;
- (d) a record of any conflict of interest declared by any Executive Member who is consulted by the Member or Officer which relates to the decision; and
- (e) in respect of any declared conflict of interest, a note of dispensation granted by the Chief Executive.

22.4 Any written statement produced in accordance with this Rule, and any report considered by the individual Member or Officer relevant to a

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decision will be available for public inspection at the main office of the Council and on the Council's website.

22.5 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

### 23. RECORDING OF NON-EXECUTIVE DECISIONS MADE BY INDIVIDUALS

23.1 As soon as reasonably practicable after an Officer has made a non-executive decision of the type in Rule 23.2, the Officer must produce a written statement including the information specified in Rule 23.3.

23.2 The non-executive decisions referred to in Rule 23.1 are those taken:

- (a) under a specific express authorisation; or
- (b) under a general authorisation and the effect of the decision is to—
  - (i) grant a permission or licence;
  - (ii) affect the rights of an individual; or
  - (iii) award a contract or incur expenditure which materially affects the Council's financial position.

23.3 The statement referred to in Rule 23.1 should include -

- (a) a record of the decision including the date it was made;
- (b) a record of the reasons for the decision;
- (c) details of any alternative options considered and rejected when making the decision;
- (d) where the decision is taken under a specific express authorisation, the name of any member of the Council who has declared a conflict of interest in relation to the decision.

23.4 Any written statement produced in accordance with this Rule will be available for public inspection at the main office of the Council and on the Council's website.

23.5 Nothing in this Rule shall require the disclosure of confidential information or exempt information as defined in these Rules.

### 24. SCRUTINY COMMITTEES ACCESS TO DOCUMENTS

#### 24.1 Rights to copies

Subject to Rule 24.3, a member of a scrutiny committee will be entitled to copies of any document which is in the possession or control of the Executive and which contains material relating to: -

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- (a) any business transacted at a meeting of the Executive or its committees; or
- (b) any decision taken by an individual member of the Executive; or
- (c) any decision made by an Officer in accordance with executive arrangements.

24.2 Where a member of a scrutiny committee requests a document under Rule 24.1, the Executive must provide the document as soon as reasonably practicable and in any case no later than 10 clear working days after the Executive receives the request.

### 24.3 Limit on rights

A member of a scrutiny committee will not be entitled to:-

- (a) any document that is in draft form;
- (b) any document that contains exempt or confidential information, unless that information is relevant to an action or decision they are reviewing or scrutinising or any review contained in the committee's programme of work; or
- (c) any document containing the advice of a political adviser or assistant.

24.4 Where the Executive determines that a member of a scrutiny committee is not entitled to a copy of a document requested, it must provide the scrutiny committee with a written statement setting out its reasons for that decision.

## 25. ADDITIONAL RIGHTS OF ACCESS FOR MEMBERS – THE EXECUTIVE

25.1 Subject to Rule 25.3, any document which -

- (a) is in the possession or under the control of the Executive; and
- (b) contains material relating to any business to be transacted at a public meeting,

must be available for inspection by any member of the Council for at least 5 clear days before the meeting except that -

- (i) where the meeting is convened at shorter notice, such a document must be available for inspection when the meeting is convened; and
- (ii) where an item is added to the agenda at shorter notice, such a document must be available when the item is added to the agenda.

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- 25.2 Subject to Rule 25.3, any document which is in the possession or under the control of the Executive, and contains material relating to -
- (a) any business transacted at a private meeting;
  - (b) any decision made by an individual Member in accordance with executive arrangements, or
  - (c) any decision made by an Officer in accordance with executive arrangements,

must be available for inspection by any Member of the Council when the meeting concludes or, where an executive decision is made by an individual Member or Officer, immediately after the decision has been made, and in any event no later than 24 hours after the conclusion of the meeting or the decision being made.

- 25.3 Rules 25.1 and 25.2 do not require a document to be available for inspection if -
- (a) it contains advice provided by a political adviser or assistant, or
  - (b) it appears to the City Solicitor to disclose exempt information under paragraphs 1, 2, 4, 5 and 7 of Schedule 12A to the Local Government Act 1972 (see Rule 10.4).
  - (c) it appears to the City Solicitor to disclose exempt information under paragraph 3 of Schedule 12A, but only to the extent that the information relates to any terms proposed or to be proposed by or to the Council in the cause of negotiations for a contract.

*[NB: documents containing exempt information by virtue of paragraph 3 (where 25.3 (c) does not apply) and paragraph 6 of Schedule 12A will be available under this Rule]*

- 25.4 The above rights are in addition to any other right that a Member of a local authority may have.

**25A. ADDITIONAL RIGHTS OF ACCESS FOR MEMBERS – THE COUNCIL**

- 25A.1 Any document which is in the possession or under the control of the Council and contains material relating to any business to be transacted at a meeting of the Council or a committee or sub-committee of the Council shall be open to inspection by any Member of the Council.
- 25A.2 Rule 25A.1 above does not require the document to be open to inspection if it appears to the City Solicitor that it discloses exempt information. This Rule 25A.2 does not apply where the exempt information is information of a description for the time being falling within—

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- (a) paragraph 3 of Schedule 12A of the Local Government Act 1972 (except to the extent that the information relates to any terms proposed or to be proposed by or to the authority in the course of negotiations for a contract), or
- (b) paragraph 6 of Schedule 12A of the Local Government Act 1972.

### 26. RIGHTS OF MEMBERS - "NEED TO KNOW"

- 26.1 In addition, Members will be entitled to access to documents and to attend the confidential part of meetings of Committees and sub-committees and public meetings of the Executive (even though they are not a member of those bodies) where they can demonstrate a "need to know" in order to perform their duties as Members.
- 26.2 Subject to Rule 26.3 below, the circumstances where a "need to know" will be treated as arising will include -
- (a) Where the matter relates to a sub-committee of a committee of which the Member is a member;
  - (b) Where the matter relates to a committee of the executive where the Member is an executive member;
  - (c) Where the matter is within the remit of a scrutiny committee of which the Member is a member;
  - (d) Where the full Council is required to approve the decisions or recommendations of committees, sub-committees or the Executive;
  - (e) Where the matter has been delegated to a committee or sub-committee, but significantly affects the reputation of the whole Council;
  - (f) Where the matter relates specifically to a Member's own ward;
  - (g) Where a Member is minded to sign a requisition under Council Procedure Rule 9 in relation to the matter.
- 26.3 There will not be a "need to know" if a Member is acting in bad faith or in pursuance of a personal pecuniary or non-pecuniary interest or merely out of curiosity.
- 26.4 A Member wishing to see confidential or exempt Council, Committee, sub-committee or executive documents or to attend the confidential part of a meeting should make written application to the City Solicitor, setting out the reasons why the document and/or attendance at the meeting is necessary in order to enable the Member properly to perform their~~his/her~~ duties.

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- 26.5 Where access to documents or a meeting is refused by the City Solicitor, there will be a right of appeal to the relevant body.
  
- 26.6 Where a matter or document is confidential or exempt, Members exercising the above rights will be asked to sign an agreement to preserve the confidentiality of the information.

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# Section E

# Scrutiny Procedure Rules

<b>Scrutiny Procedure Rules</b>
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## SCRUTINY PROCEDURE RULES

### 1. Establishment and Membership

- 1.1 The Council will establish the scrutiny committees set out in Article 6 of part 2 of this Constitution and will appoint to them as it considers appropriate from time to time.
- 1.2 Each scrutiny committee shall comprise at least 10 elected members. Notwithstanding this requirement, where a scrutiny committee comprises less than 10 elected members it shall not be prevented from discharging its functions, provided that when it meets the meeting is quorate.
- 1.3 All Councillors except members of the Executive (and Assistants to Executive Members) may be members of a scrutiny committee. However, no member may be involved in scrutinising a decision in which ~~he/she~~ they ~~has~~ have been directly involved.
- 1.4 Chairs of scrutiny committees will be appointed by the Council, provided that the political group balance of the Chairs shall reflect the political balance of the Council.
- 1.5 The Children and Young People Scrutiny Committee will deal with the scrutiny role relating to any education function of the Council. Its membership shall include the following voting representatives:
  - one representative of the Diocese of Manchester
  - one representative of the Diocese of Salford and Shrewsbury
  - three elected parent governor representatives
- 1.6 When the Children and Young People Scrutiny Committee deals with matters not relating in whole or in part to any education functions which are the responsibility of the Executive, the representatives in 1.5 above shall not vote, although they may stay in the meeting and speak.
- 1.7 The Children and Young People Scrutiny Committee shall co-opt as non-voting members of committee two teacher representatives.
- 1.8 The Communities and Equalities Scrutiny Committee shall be the Council's Crime and Disorder Committee, pursuant to section 19 of the Police and Justice Act 2006.
- 1.9 The Health Scrutiny Committee will discharge the Council's health scrutiny functions, pursuant to section 244 of the National Health Service Act 2006 and regulations 21, 22, 23 26 and 27 of The Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.
- 1.10 The Council is a lead local flood authority by virtue of section 6(7) of the Flood and Water Management Act 2010 and is therefore required to ensure that the Council's scrutiny arrangements include arrangements

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for the scrutiny of flood risk management pursuant to section 9FH of the Local Government Act 2000. The Environment, ~~and~~ Climate Change **and Neighbourhoods** Scrutiny Committee shall discharge the Council's flood risk scrutiny functions.

- 1.11 Without prejudice to 1.5 and 1.7 above, scrutiny committees shall be entitled to recommend to Council the appointment of up to two people as non-voting co-optees, provided that a majority of the members of any committee are elected members.

**2. Terms of Reference**

The general and specific role of the scrutiny committees will be as set out in Article 6 of Part 2 of this Constitution.

**3. Meetings of the Scrutiny Committees**

There shall be at least 2 ordinary meetings of each scrutiny committee in each council cycle. In addition, extraordinary meetings may be called from time to time as and when appropriate. An extraordinary scrutiny committee meeting shall be called by the Chief Executive at the request of the Chair of the relevant scrutiny committee, by any 5 members of the committee or the Monitoring Officer.

**4. Quorum**

The quorum for a scrutiny committee shall be in accordance with Council Procedure Rule 15.

**5. Work programme**

- 5.1 The scrutiny committees/sub-committees will be responsible for setting their own work programme and in doing so they shall take into account the wishes of members on that committee who are not members of the largest political group on the Council.

- 5.2 The scrutiny committees shall also respond, as soon as their work programme permits, to requests from the Council and the Executive to review particular areas of Council activity. Where they do so, the scrutiny committee shall report their findings and any recommendations back to the Executive and/or Council. The Council and/or the Executive shall consider the report of the scrutiny committee at the next available meeting.

**6. Matters referred by Councillors to Scrutiny Committee and matters referred by the Manchester Youth Council**

- 6.1 Any member of a scrutiny committee or sub-committee may refer to that committee or sub-committee any matter which is relevant to the

**SCRUTINY PROCEDURE RULES**

functions of the committee/sub-committee by giving notice in writing to the Chief Executive.

- 6.2 Any member of the authority may refer to a scrutiny committee of which they are not a member any matter which is relevant to the functions of the committee and which is not an “excluded matter” (as defined in Rule 6.8) by giving notice in writing to the Chief Executive.
- 6.3 Any member of the authority who is not a member of the Communities and Equalities Scrutiny Committee (being the designated Crime and Disorder Committee) may refer to that committee any local crime and disorder matter (as defined in Rule 6.9) by giving notice in writing to the Chief Executive.
- 6.4 On receipt of a notice under Rule 6.1, 6.2, 6.3, or 6.11, the matter will be included on the agenda for, and discussed at, a meeting of the relevant committee or sub-committee. Notices under Rule 6.2, 6.3 or 6.11 must be served no later than 28 days before the meeting at which the matter is to be discussed.
- 6.5 In considering whether to exercise the power in Rule 6.2 or 6.3, the member must have regard to any guidance issued by the Secretary of State and any protocol issued by the Council in connection with this power.
- 6.6 In considering whether or not to exercise any of its powers in relation to the matter referred under Rule 6.2 or 6.3 the committee may have regard to any representations made by the member as to why it would be appropriate to exercise any of its powers. If the committee decides not to exercise any of those powers in relation to the matter, it must notify the member of the decision and the reasons for it.
- 6.7 Where the scrutiny committee exercises its power to make a report or recommendation to the Council or the Executive on any matter referred to it by a member under Rule 6.2 or 6.3 the committee must provide the member with a copy of the report or recommendation (subject to Rule 8C).
- 6.8 Under Rule 6.2 an excluded matter means -
- (a) any local crime and disorder matter as defined in Rule 6.9;
  - (b) any matter relating to a planning decision;
  - (c) any matter relating to a licensing decision;
  - (d) any matter relating to a person where that person has a statutory right to a review or right of appeal (other than a right to complain to the Ombudsman);
  - (e) any matter which is vexatious, discriminatory or not reasonable to be included in the agenda for, or to be discussed at, a meeting of the scrutiny committee or sub-committee meeting.

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Provided that a matter does not fall within a description in (b), (c) or (d) above, if it consists of an allegation that a function for which the authority is responsible has not been discharged at all or that its discharge has failed or is failing on a systematic basis.

- 6.9 Under Rule 6.3 a “local crime and disorder matter”, in relation to a member, means a matter concerning -
- (a) crime and disorder (including in particular forms of crime and disorder that involve anti-social behaviour or other behaviour adversely affecting the local environment); or
  - (b) the misuse of drugs, alcohol and other substances,
- which affects all or part of the ward for which the member is elected or any person who lives or works in that ward.
- 6.10 The determination of whether a matter is an “excluded matter” or a “local crime and disorder matter” under Rules 6.8 and 6.9 above shall be made by the Chair of the relevant scrutiny committee in consultation with the City Solicitor.
- 6.11 The Manchester Youth Council (“the Youth Council”) may, by resolution of either House, refer to a scrutiny committee any matter which is relevant to the functions of the committee and which affects young people who live, work or study in the city and which is not an “excluded matter”; or refer to the Communities and Equalities Scrutiny Committee any crime and disorder matter, as defined in Rule 6.11 (b), which is relevant to the functions of the committee by giving notice in writing to the Chief Executive, including with the notice a copy of the resolution of the Youth Council and any report the Youth Council wishes the committee to consider.
- (a) An “excluded matter” means
    - (i) any matter relating to a planning decision;
    - (ii) any matter relating to a licensing decision;
    - (iii) any matter relating to a person where that person has a statutory right to review or a right of appeal (other than a right to complain to the Ombudsman);
    - (iv) any matter which is vexatious, discriminatory or not reasonable to be included in the agenda for, or to be discussed at, a meeting of the scrutiny or scrutiny sub-committee meeting.
  - (b) A “crime and disorder matter” is a matter concerning
    - (i) crime and disorder (including in particular forms of crime and disorder that involve anti-social behaviour or other behaviour, adversely affecting the local environment); or

**SCRUTINY PROCEDURE RULES**

- (ii) the misuse of drugs, alcohol and other substances.

which affects young people who live, work or study in the city.

- 6.12 In considering whether or not to exercise any of its powers in relation to the matter referred under Rule 6.11 the committee may have regard to any representations made by the Youth Council as to why it would be appropriate to exercise its powers. If the committee decides not to exercise any of those powers in relation to the matter, it must inform the Youth Council of the decision and the reasons for it.
- 6.13 The determination of whether a matter is an “excluded matter” or a “crime and disorder matter” under Rule 6.11 shall be made by the Chair of the relevant scrutiny committee in consultation with the City Solicitor.

**6A Matters referred to the Health Scrutiny Committee by Local Healthwatch**

- 6A.1 The Local Healthwatch organisation or Local Healthwatch contractor (which in Manchester is Healthwatch Manchester) may refer any matter relating to the planning, provision and operation of the health service in Manchester to the Health Scrutiny Committee in accordance with the provisions of the Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.

**7. Policy review and development**

- 7.1 The role of the scrutiny committees in relation to the development of the Council's budget and policy framework is set out in the Budget and Policy Framework Procedure Rules.
- 7.2 In relation to the development of the Council's approach to other matters not forming part of its policy and budget framework, scrutiny committees or sub-committees may make proposals to the Executive for developments in so far as they relate to matters within their terms of reference.
- 7.3 Scrutiny committees may hold enquiries and investigate the available options for future direction in policy development and may appoint advisers and assessors to assist them in this process. They may go on site visits, conduct public surveys, hold public meetings, commission research and do all other things that they reasonably consider necessary to inform their deliberations. They may ask witnesses to attend to address them on any matter under consideration and may pay to any advisers, assessors and witnesses a reasonable fee and expenses for doing so.

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**8. Reports and Recommendations of Scrutiny Committees**

- 8.1 This rule applies where a scrutiny committee makes a report or recommendation to the Council or the Executive, except in relation to a crime and disorder matter or a health scrutiny matter.
- 8.2 The scrutiny committee may publish the report or recommendations.
- 8.3 The scrutiny committee must by notice in writing require the Council or Executive -
- (a) to consider the report or recommendation;
  - (b) to respond to the committee indicating what (if any) action the Council or Executive proposes to take;
  - (c) if the committee has published the report or recommendation to publish the response;
  - (d) if the committee provided a copy of the report or recommendation to a member under Rule 6.7, to provide the member with the response;
  - (e) to do all of the above within two months of receiving the report or recommendations or (if later) the notice.
- 8.4 It is the duty of the Council or Executive to which a notice is given under Rule 8.3 to comply with the requirements specified in the notice.

**8A Reports and Recommendations – “partner authorities”**

- 8A.1 This rule applies where a scrutiny committee makes a report or recommendation to the Council or the Executive (otherwise than by virtue of subsection 1(b) or 3(a) of section 19 of the Police and Justice Act 2006 (local scrutiny of crime and disorder matters) and the report or any of the recommendations relates to functions of a relevant partner authority so far as exercisable in relation to –
- (a) the Council’s area, or
  - (b) the inhabitants of the Council’s area.

For the purposes of Rule 8A a “relevant partner authority” means –

- The Greater Manchester Combined Authority
- The Greater Manchester Integrated Care Board Manchester Clinical Commissioning Group
- The National Health Service Commissioning Board
- Any provider of probation services operating within Manchester in pursuance of arrangements under section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities
- Any youth offending team established under section 39 of the Crime and Disorder Act 1998 operating within Manchester
- Any National Health Service Trust or NHS Foundation Trust which provides services within Manchester



## SCRUTINY PROCEDURE RULES

- Arts Council England
- Sport England
- The Environment Agency
- The Health and Safety Executive
- The Office for Nuclear Regulation
- The Historic Buildings and Monuments Commission for England
- The Homes and Communities Agency
- The Museums, Libraries and Archives Council
- Natural England
- The Secretary of State, but only in relation to –
  - ~~His/her~~ **Their** functions under section 2 of the Employment and Training Act 1973 (arrangements with respect to obtaining etc. employment or employees))
  - Functions which ~~he/she has~~ **they have** as highway authority by virtue of section 1 of the Highways Act 1980
  - Functions which ~~he/she has~~ **they have** as traffic authority by virtue of section 121A of the Road Traffic Regulation Act 1984
  - ~~His/her~~ **Their** functions under sections 2 and 3 of the Offender Management Act 2007 (responsibility for ensuring the provision of probation services throughout England).

8A.2 The scrutiny committee may by notice in writing to the relevant partner authority (accompanied by the report/recommendations) require that authority to have regard to the report or recommendation in question in exercising their functions.

8A.3 The relevant partner must comply with the requirement in the notice to have regard to the report/recommendations.

### 8B Reports and Recommendations – “risk management authorities”

8B.1 This rule applies where the Council’s Environment, ~~and~~ Climate Change **and Neighbourhoods** Scrutiny Committee is discharging the Council’s scrutiny functions as a lead flood authority pursuant to section 9FH of the Local Government Act 2000.

- (a) For the purposes of Rule 8B the following are “risk management authorities” –
- The Environment Agency
  - A Water Company (as defined by Part 2 of the Water Industry Act 1991).
- (b) The risk management authorities listed at Rule 8B.1(a) must comply with a request made by the Council’s Environment, ~~and~~ Climate Change **and Neighbourhoods** Scrutiny Committee for
- (i) information;
  - (ii) a response to a report.

## SCRUTINY PROCEDURE RULES

### 8C Publication of reports, recommendations and response

Where a report or recommendation of a scrutiny committee or response of the Council or the Executive is published pursuant to Rule 8.2 or 8.3(c), and is provided to a member of the Council or relevant partner authority under Rule 6.7 or 8A.2, or risk management authority under Rule 8B any confidential information must be excluded and any relevant exempt information may be excluded in line with the provisions of Section 9FG of the Local Government Act 2000.

### 9. Consideration of Scrutiny reports by the Executive

9.1 The agenda for executive meetings shall include an item entitled 'Issues arising from scrutiny'. The reports of scrutiny committees referred to the Executive shall be included at this point in the agenda (unless they have been considered in the context of the Executive's deliberations on a substantive item on the agenda) within two months of the scrutiny committee completing its report/recommendations.

9.2 Where a scrutiny committee or sub-committee prepares a report for consideration by the Executive in relation to a matter where the Leader or Council has delegated decision making power to another individual member of the Executive, then the scrutiny committee will submit a copy of their report to that individual for consideration. At the time of doing so, the scrutiny committee shall serve a copy on the Chief Executive and the Leader. If the member with delegated decision making power does not accept the recommendations of the scrutiny committee then ~~he/she~~ they must then refer the matter to the next available meeting of the Executive for debate before exercising ~~his/her~~ their decision making power and responding to the report in writing to the scrutiny committee. The Executive Member to whom the decision making power has been delegated will respond to the scrutiny committee within 4 weeks of receiving it. A copy of ~~his/her~~ their written response to it shall be sent to the Proper Officer and ~~he/she~~ they will attend a future meeting to respond.

9.3 Scrutiny committees will have access to the Register of Key Decisions and timetable for decisions and intentions for consultation. Even where an item is not the subject of detailed proposals from a scrutiny committee following a consideration of possible policy/service developments, the committee will be able to respond in the course of the Executive's consultation process in relation to any key decision.

### 10. Rights of scrutiny committee members to documents

10.1 In addition to their rights as councillors, members of scrutiny committees have the additional right to documents, and to notice of

**SCRUTINY PROCEDURE RULES**

meetings as set out in the Access to Information Procedure Rules in Part 4 Section B of this Constitution.

- 10.2 Nothing in this paragraph prevents more detailed liaison between the Executive and scrutiny committees as appropriate depending on the particular matter under consideration.

**10A Rights of Executive Members**

Subject to the provisions of the Code of Conduct for Members and without prejudice to the rights of Executive Members under rule 13.4, Executive Members and Assistant Executive Members may attend meetings of scrutiny committees including parts of the meeting where exempt items are being discussed and shall be entitled to receive the agenda for the meeting (including exempt matters) and to speak, give evidence and answer questions at the invitation of the committee.

**11. Members and officers giving account**

- 11.1 Any scrutiny committee or sub-committee may scrutinise and review decisions made or actions taken in connection with the discharge of any Council function. As well as reviewing documentation, in fulfilling the scrutiny role, it may require any member of the Executive, the Head of Paid Service and/or any senior officer to attend before it to explain in relation to matters within their remit:

- (a) any particular decision or series of decisions;
- (b) the extent to which the actions taken implement Council policy; and/or
- (c) their performance

and it is the duty of those persons to attend if so required.

- 11.2 Where, in exceptional circumstances, the member or officer is unable to attend on the required date, then the scrutiny committee shall in consultation with the member or officer arrange an alternative date for attendance.

**12. Attendance by others**

A scrutiny committee may invite people other than those people referred to in paragraph 11 above to address it, discuss issues of local concern and/or answer questions. It may for example wish to hear from residents, stakeholders and members and officers in other parts of the public sector and may invite such people to attend.

**12A Crime and Disorder Committee – Special Provisions**

## SCRUTINY PROCEDURE RULES

## 12A.1 In this paragraph

- (a) the “responsible authorities” are
- (i) Manchester City Council,
  - (ii) every provider of probation services operating within Manchester in pursuance of arrangements under Section 3 of the Offender Management Act 2007 which provide for it to co-operate with responsible authorities,
  - (iii) the Chief Constable of Greater Manchester Police,
  - (iv) the Greater Manchester Combined Authority
  - (v) **Greater Manchester Integrated Care Board**~~the Manchester Clinical Commissioning Group.~~
- (b) the “co-operating persons or bodies” are
- (i) every local probation trust within Manchester
  - (ii) every provider of probation services operating within the area in pursuance of arrangements under section 3 of the Offender Management Act 2007 which provide for it to co-operate under this subsection with the responsible authorities;
  - (iii) any parish council within Manchester,
  - (iv) any National Health Service Trust established under section 25 and Schedule 4 of the National Health Service Act 2006 which manages a hospital, establishment or other facility within Manchester,
  - (v) any NHS foundation trust within the meaning of section 30 and Schedule 7 of the National Health Service Act 2006 within Manchester,
  - (vi) any governing body of a school, within the meaning of section 4(1) of the Education Act 1996, within Manchester maintained by the Manchester local education authority,
  - (vii) any proprietor of an independent school, within the meaning of section 463 of the Education Act 1996 within Manchester,
  - (viii) any proprietor of an alternative provision Academy that is not an independent school within Manchester;
  - (ix) any proprietor of a 16 to 19 Academy within Manchester;
  - (x) any governing body of an institution within the further education sector, as defined in section 91 (3) of the Further and Higher Education Act 1992 within Manchester, and
  - (xi) any private registered provider of social housing (within the meaning of Part 2 of the Housing and Regeneration Act 2008) in Manchester.
- (c) “crime and disorder functions” mean the reduction of crime and disorder in the area (including anti-social and other behaviour adversely affecting the environment) and combating the misuse of drugs, alcohol and other substances in the area and reduction of re-offending in the area.

**SCRUTINY PROCEDURE RULES**

- (d) “local crime and disorder matter” has the meaning set out in paragraph 6.9

12A.2 The Crime and Disorder Committee may make reports and recommendations to the Council or to the Executive, as appropriate, with respect to the discharge by any of the responsible authorities of their crime and disorder functions or with respect to any local crime and disorder matter.

12A.3 The Crime and Disorder Committee may require the attendance before it of an officer and employee of a responsible authority or of a co-operating person or body in order to answer questions, provided that reasonable notice of the intended date of attendance has been given to that person.

12A.4 The Crime and Disorder Committee may make a request in writing to the responsible authorities or the co-operating persons or bodies for information relating to –

- (a) the discharge, or decisions or actions taken in connection with the discharge, by the responsible authorities of their crime and disorder functions; or
- (b) local crime and disorder matters in relation to which the Committee has functions under Section 19 of the Police and Justice Act 2006.

12A.5 Where the Crime and Disorder Committee makes a request for information under paragraph 12A.4, the responsible body or co-operating person or body must provide the information –

- (a) no later than the date indicated in the request, unless some or all of the information cannot be reasonably provided by that date, in which case it must be provided soon as reasonably possible;
- (b) excluding personal data unless (subject to (c) below) the identification of an individual is necessary or appropriate in order to enable the Committee to properly exercise its powers;
- (c) excluding information that would be reasonably likely to prejudice legal proceedings or current or future operations of the responsible authorities or the co-operating persons or bodies.

12A.6 Where the Crime and Disorder Committee makes a report or recommendations to the local authority with respect to a local crime and disorder matter, it must provide a copy of the report and recommendations to such of the responsible authorities or co-operating persons or bodies, as it thinks appropriate.

**SCRUTINY PROCEDURE RULES**

12A.7 Where the Crime and Disorder Committee provides a report or recommendation to a responsible body or a co-operating person or body in respect of a local crime and disorder matter, the responses to such report or recommendations shall be in writing and submitted to the Committee within a period of 28 days from the date of the report or recommendation or, if not reasonably practicable, as soon as reasonably possible thereafter.

**12B Health Scrutiny Committee – Special Provisions**

The Health Scrutiny Committee may review and scrutinise any matter relating to the planning, provision and operation of the health service in Manchester in accordance with the provisions of the Local Authority (Public Health, Health and Wellbeing Boards and Health Scrutiny) Regulations 2013.

**13. Call-in**

- 13.1 When a decision is made by the Executive, an individual member of the Executive or a committee of the Executive, or a key decision is made by an officer with delegated authority from the Executive, or an area committee or under joint arrangements, the decision shall be published, including where possible by electronic means, and shall be available at the Town Hall normally within two working days of being made. All members of the Council will be sent copies of the records of all such decisions within the same timescale, by the person responsible for publishing the decision.
- 13.2 The record of the decision will bear the date on which it is published and will specify that the decision will come into force, and may then be implemented, as from 4.00pm on the fifth working day after the day on which the decision was published, unless within this time it is called in for scrutiny.
- 13.3 Subject to 13.5 below, a decision may be called-in for scrutiny by a Scrutiny Committee if the Chair of a Scrutiny Committee or any five members of the Council submit a notice in writing to the Chief Executive within the five working day period giving reasons why the decision should be scrutinised.
- 13.4 The Chief Executive shall determine which is the relevant scrutiny committee then notify the decision-taker and the Chair of that committee of the call-in. Any item which is called-in will then be placed on the agenda for the next meeting of that scrutiny committee. The relevant Chief Officer and/or Executive Member shall have the right to attend the meeting to explain the reasons for the decision and to respond to comments made at the meeting subject in the case of Executive Members to the provisions of the Code of Conduct for Members.



**SCRUTINY PROCEDURE RULES**

- 13.5 A decision may not be called-in if the Committee has already **wholly endorsed the particulars of that decision or** made recommendations to the decision-taker and those recommendations have been accepted by the decision-taker either in whole or without significant addition or modification.
- 13.5A Where a decision has been called-in by five members of the Council, those members shall have the right to attend the meeting of the relevant scrutiny committee when it considers the call-in, including where the public have been excluded during that item of business, and may make submissions at the meeting in respect of the call-in in accordance with any call-in procedures adopted by the committee. These rights are without prejudice to any rights a member may have by virtue of being a member of the relevant scrutiny committee.
- 13.6 Where a decision has been called-in by five members of the Council and none of those members attend, the Committee may at its discretion determine not to scrutinise the decision.
- 13.7 If, having considered the decision, (which consideration must be completed before the next scheduled meeting of the Executive) the scrutiny committee is still concerned about it, then it may refer it back to the decision making person or body for reconsideration, setting out in writing the nature of its concerns or refer the matter to full Council. Matters should normally only be referred to full Council if the scrutiny committee consider the decision to be contrary to the policy framework of the Council or contrary to or not wholly in accordance with the budget.
- 13.8 If the decision is referred back to the decision maker they shall then reconsider who may amend the decision or not, before adopting a final decision which will come into force immediately.
- 13.9 If following an objection to the decision, the scrutiny committee does not either refer the matter back to the decision making person or body or refer the matter to full Council or determines under 13.6 above not to scrutinise the matter, the decision shall take effect on the date of the scrutiny meeting.
- 13.10 If the matter was referred to full Council and the Council does not object to the decision which has been made, then no further action is necessary and the decision will be effective in accordance with the provision below. However, if the Council does object, it has no locus to make decisions in respect of an Executive decision unless it is contrary to the policy framework, or contrary to or not wholly consistent with the budget. Unless that is the case, the Council will refer any decision to which it objects back to the decision making person or body, together with the Council's views on the decision. That decision making body or person shall choose whether to amend the decision or not before reaching a final decision and implementing it.

## SCRUTINY PROCEDURE RULES

- 13.11 If the Council does not refer the decision back to the decision making body or person, the decision will become effective on the date of the Council meeting.

### 14. Call-in and Urgency

- 14.1 The call-in procedure set out above shall not apply where the decision being taken by the Executive is urgent. A decision will be urgent if any delay likely to be caused by the call-in process would seriously prejudice the legal or financial position of the Council or the interests of the residents of Manchester. The record of the decision, and the notice by which it is made public shall state whether in the opinion of the decision making person or body (having considered the advice of the Head of the Paid Service and/or the Monitoring Officer and/or the Chief Finance Officer), the decision is an urgent one, and therefore not subject to call-in. The Chair of a relevant scrutiny committee must agree both that the decision proposed is reasonable in all the circumstances and to it being treated as a matter of urgency.

In the absence of the Chair, the Lord Mayor's consent shall be required. In the absence of both, the Head of Paid Service or ~~his/her~~ their nominee's consent shall be required. Decisions taken as a matter of urgency must be reported to the next available meeting of the Council, together with the reasons for urgency.

- 14.2 The operation of the provisions relating to call-in and urgency shall be monitored annually, and a report submitted to Council with proposals for review if necessary.
- 14.3 Where a decision is exempted from call-in, it will become effective immediately or (if later) as soon as the agreement of the Chair of the relevant scrutiny committee (or the Lord Mayor/Head of Paid Service, if appropriate) has been obtained.

### 15. The party whip

When considering any matter in respect of which a member of a scrutiny committee is subject to a party whip the member must declare the existence of the whip, and the nature of it before the commencement of the committee's deliberations on the matter. The declaration, and the detail of the whipping arrangements, shall be recorded in the minutes of the meeting.

### 16. Procedure at scrutiny committee meetings

- 16.1 Scrutiny committees and sub-committees shall consider the following business:
- (a) minutes of the last meeting;



**SCRUTINY PROCEDURE RULES**

- (b) declarations of interest (including whipping declarations);
- (c) consideration of any matter referred to the committee for a decision in relation to call in of a decision;
- (d) responses of the Executive to reports of the scrutiny committee; and
- (~~a~~) (e) the business otherwise set out on the agenda for the meeting.

16.2 Where the scrutiny committee conducts investigations (e.g. with a view to policy development), the committee may also ask people to attend to give evidence at committee meetings which are to be conducted in accordance with the following principles: -

- (a) that the investigation be conducted fairly and all members of the committee be given the opportunity to ask questions of attendees, and to contribute and speak;
- (b) that those assisting the committee by giving evidence be treated with respect and courtesy; and
- (c) that the investigation be conducted so as to maximise the efficiency of the investigation or analysis.

16.3 Following any investigation or review, the committee/sub-committee shall prepare a report, for submission to the Executive and/or Council as appropriate and shall make its report and findings public.

## **17. Matters within the remit of more than one scrutiny committee**

Where a scrutiny committee conducts a review or scrutinises a matter which also falls (whether in whole or in part) within the remit of another scrutiny committee, then the committee conducting the review shall invite the Chair of the other committee (or ~~his/her~~ their nominee) to attend its meetings when the matter is being reviewed.

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# Section F

## Officer Employment Procedure Rules

## 1. RECRUITMENT AND APPOINTMENT

### 1.1 Declarations

- (a) The Council will draw up a statement requiring any candidate for appointment as an officer to state in writing whether they are the parent, grandparent, partner, child, stepchild, adopted child, grandchild, brother, sister, uncle, aunt, nephew or niece of an existing councillor or Senior Officer of the Council; or of the partner of such persons.
- (b) No candidate so related to a councillor or Senior Officer will be appointed without the authority of the relevant Chief Officer or an officer nominated by the relevant Chief Officer.him/her

### 1.2 Seeking support for appointment

- (a) Subject to paragraph (c), the Council will disqualify any applicant who directly or indirectly canvasses the support of any councillor for any appointment with the Council. The content of this paragraph will be included in any recruitment information.
- (b) Subject to paragraph (c), no councillor will canvass support for any person for any appointment with the Council.
- (c) Nothing in paragraphs (a) and (b) above will preclude a councillor from giving a written reference for a candidate for submission with an application for appointment.

## 2. RECRUITMENT OF HEAD OF PAID SERVICE AND STATUTORY CHIEF OFFICERS

2.1 Where the Council proposes to appoint the Head of Paid Service or a Statutory Chief Officer and it is not proposed that the appointment be made exclusively from among their existing officers, the Council or its Personnel Committee will establish a committee or sub-committee to act as the appointment panel.

2.2 The appointment panel will:

- (a) draw up a statement specifying the duties of the post concerned and a specification of the qualifications or qualities to be sought in the person to be appointed.
- (b) make arrangements for the post to be advertised in such a way as is likely to bring it to the attention of persons who are qualified to apply for it; and
- (c) make arrangements for a copy of the statement mentioned in paragraph (a) to be sent to any person on request.

- 2.3 The appointment panel will interview all qualified applicants for the post or select a short-list of such qualified applicants and interview those included on the short-list.
- 2.4 Where the Council propose to appoint the Head of the Paid Service or a Statutory Chief Officer exclusively from amongst their existing officers the Council or its Personnel Committee will establish a committee or sub-committee which will make arrangements in connection with the appointment.

### **3. APPOINTMENT OF HEAD OF PAID SERVICE**

- 3.1 The full Council will approve the appointment of the Head of Paid Service following the recommendation of such an appointment by a committee or sub-committee of the Council. That committee or sub-committee must be politically balanced and include at least one member of the Executive.
- 3.2 The full Council may only make or approve the appointment of the Head of Paid Service where the procedure set out in Annex 1 to these Rules has been completed.

### **4. APPOINTMENT OF STATUTORY CHIEF OFFICERS**

- 4.1 A committee or sub-committee of the Council will appoint Statutory Chief Officers. That committee or sub-committee must be politically balanced and include at least one member of the Executive.
- 4.2 An offer of employment as a Statutory Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

### **5. APPOINTMENT OF NON-STATUTORY CHIEF OFFICERS**

- 5.1 The Chief Executive, in consultation with the Leader, Executive Member for Human Resources, Chair of Personnel Committee and the relevant Portfolio Executive Member, will appoint Non-Statutory Chief Officers.
- 5.2 An offer of employment as a Non-Statutory Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

### **6. APPOINTMENT OF DEPUTY CHIEF OFFICERS**

- 6.1 In relation to Deputy Chief Officer posts the relevant Chief Officer will determine whether appointments to such posts should be made by an officer in consultation with an all officer panel or an officer in consultation with a mixed panel of officers and members.

- 6.2 An offer of employment as a Deputy Chief Officer shall only be made where the procedure set out in Annex 1 to these Rules has been completed.

## 7. OTHER APPOINTMENTS

- 7.1 Officers below Deputy Chief Officer. Appointment of officers below Deputy Chief Officer (other than assistants to political groups) is the responsibility of the Head of the Paid Service, an officer nominated by him/her, or an officer within the Chapter 2 or 3A of the Scheme of Delegation acting in accordance with the general delegations to such officers, and may not be made by councillors.
- 7.2 Assistants to political groups. Appointment of an assistant to a political group shall be made in accordance with the wishes of that political group.

## 8. DISCIPLINARY ACTION

- 8.1 No disciplinary action may be taken in respect of the Head of the Paid Service, the Monitoring Officer or the Chief Finance Officer while alleged misconduct is investigated and considered provided that this does not preclude the suspension of the officer on full pay for the purpose of investigating the alleged misconduct and such suspension does not constitute disciplinary action; any such suspension must be reviewed no later than the expiry of 2 months beginning on the day on which the suspension takes effect. Any such suspension may be made by the Investigating and Disciplinary Sub-Committee of the Personnel Committee or by the **Director** of **Human Resources, Organisational Development & Transformation** ~~HR and OD~~ in consultation with the Executive Member **with portfolio responsibility for Human Resources** ~~for Finance and HR~~, or the Leader of the Council in **their** ~~his/her~~ absence or inability to act.
- 8.2 Councillors will not be involved in the disciplinary action against any officer below Deputy Chief Officer except where such involvement is necessary for any investigation or inquiry into alleged misconduct. However, the Council's disciplinary, capability and related procedures, as adopted from time to time may allow a right of appeal to members in respect of disciplinary action.

## 9. DISMISSAL

- 9.1 Councillors will not be involved in the dismissal of any officer below Deputy Chief Officer except where such involvement is necessary for any investigation or inquiry into alleged misconduct. However, the Council's disciplinary, capability and related procedures, as adopted from time to time may allow a right of appeal to members in respect of dismissals.

- 9.2 Where a committee or sub-committee of the authority is discharging, on behalf of the authority, the function of or in relation to, the dismissal of the Head of Paid Service, a Chief Officer or a Deputy Chief Officer, that committee or sub-committee must include at least one member of the executive.
- 9.3 Where a committee or sub-committee is discharging its function in relation to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer, the full Council must approve any recommendation of dismissal before notice of dismissal is given.
- 9.4 The Council must appoint a panel ("the Panel") under Section 102(4) of the Local Government Act 1972 to advise the Council on matters relating to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer in accordance with the procedure set out in Annex 2.
- 9.5 The full Council may not approve any recommendation of dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer without first taking into account, in particular:
- (a) any advice, views or recommendations of the Panel;
  - (b) the conclusions of any investigation into the proposed dismissal; and
  - (c) any representations from the relevant officer.
- 9.6 Notice of dismissal of the Head of Paid Service, a Chief Officer or a Deputy Chief Officer must not be given until the procedure set out in Annex 3 has been completed.

## 10. DEFINITIONS

- "Chief Officer" means a statutory chief officer or a non-statutory chief officers as defined in section 2 of the Local Government and Housing Act 1989 ("the 1989 Act").
- Notwithstanding the definition in the 1989 Act, a reference in these Rules to a "Statutory Chief Officer" shall be taken to mean any officer defined as such in section 2(6) of the 1989 Act, along with the officer designated as the Monitoring Officer and any officer who is a non-statutory chief officer under section 2(7)(c) of the 1989 Act.
- Notwithstanding the definition in the 1989 Act, a reference in these Rules to a "Non-Statutory Chief Officer" shall be taken to mean only those officers defined as such in sections 2(7)(a) and 2(7)(b) of the 1989 Act.

- "Deputy Chief Officer" has the same meaning as in section 2(8) of the 1989 Act.
- "Senior Officer" means an officer on spinal column point 26 or above.



**ANNEX 1****APPOINTMENT OF HEAD OF PAID SERVICE, CHIEF OFFICERS AND DEPUTY CHIEF OFFICERS**

1. This procedure applies to the appointment of the Head of Paid Service, Chief Officers and Deputy Chief Officers (“relevant officers”) and has been incorporated into these Rules, as required by the Local Authorities (Standing Orders) (England) Regulations 2001.
2. In this procedure, “appointor” means, in relation to the appointment of a relevant officer, the committee, sub-committee or officer making the appointment, or, in the case of the appointment of the Head of Paid Service, making a recommendation to the Council.
3. An offer of an appointment as a relevant officer must not be made by the appointor until -
  - (a) the appointor has notified the proper officer of the name of the person to whom the appointor wishes to make the offer and any other particulars which the appointor considers are relevant to the appointment;
  - (b) the proper officer has notified every member of the executive of: -
    - (i) the name of the person to whom the appointor wishes to make the offer;
    - (ii) any other particulars relevant to the appointment which the appointor has notified to the proper officer; and
    - (iii) the period within which any objection to the making of the offer is to be made by the executive leader on behalf of the executive to the proper officer; and
  - (c) either: -
    - (i) the executive leader has, within the period specified in the notice under sub-paragraph (b)(iii), notified the appointor that neither ~~they~~**he** nor any member of the executive has any objection to the making of the offer;
    - (ii) the proper officer has notified the appointor that no objection was received by ~~them~~**him/her** within that period from the executive leader; or
    - (iii) the appointor is satisfied that any objection received from the executive leader within that period is not material or is not well-founded.
4. The “proper officer” for the purposes of paragraph 3 will be the **Deputy Chief Executive** ~~and City Treasurer~~.

**ANNEX 2**

1. This procedure applies to the appointment of the Panel to advise the full Council in relation to the dismissal of the Head of Paid Service, the Monitoring Officer or the Chief Finance Officer ('relevant officers') and has been incorporated into these Rules as required by the Local Authorities (Standing Orders) (England) (Amendment) Regulations 2015.
2. The Council must invite independent persons appointed under Section 28(7) of the Localism Act 2011 ("the 2011 Act") to be considered for appointment to the Panel, with a view to appointing at least two independent persons to the Panel.
3. In paragraph 2, independent person means any independent person who has been appointed by the Council or, where there are fewer than two independent persons, such independent persons as have been appointed by another authority or authorities as the Council considers appropriate.
4. The Independent Panel shall consist of the Council's independent persons appointed under section 28(7) of the 2011 Act provided that at least two such independent persons are willing to sit on the Panel. If they are not then, subject to paragraph 5, the Council must appoint to the Panel independent persons who have accepted an invitation issued under paragraph 2, in the following order of priority:
  - (a) an independent person who has been appointed by the Council and who is a local government elector in the Council's area;
  - (b) any other independent person who has been appointed by the Council;
  - (c) an independent person who has been appointed by another authority or authorities.
5. The Council may appoint more than two independent persons.
6. The Council must appoint the Panel at least 20 working days before the full Council meets to consider whether or not to approve a proposal to dismiss a relevant officer.
7. Any remuneration, allowances or fees paid by the Council to an independent person appointed to the Panel must not exceed the level of remuneration, allowances or fees payable to that independent person in respect of that person's role as an independent person under the 2011 Act.

**ANNEX 3****DISMISSAL OF HEAD OF PAID SERVICE, CHIEF OFFICERS  
AND DEPUTY CHIEF OFFICERS**

1. This procedure applies to the dismissal of the Head of Paid Service, Chief Officers and Deputy Chief Officers (“relevant officers”) and has been incorporated into these Rules, as required by the Local Authorities (Standing Orders) (England) Regulations 2001.
2. In this procedure, “dismissor” means, in relation to the dismissal of a relevant officer, the authority or, where a committee, sub-committee or another officer is discharging the function of dismissal on behalf of the authority, that committee, sub-committee or other officer, as the case may be.
3. Notice of the dismissal of a relevant officer must not be given by the dismissor until -
  - (a) the dismissor has notified the proper officer of the name of the person who the dismissor wishes to dismiss and any other particulars which the dismissor considers are relevant to the dismissal;
  - (b) the proper officer has notified every member of the executive of: -
    - (i) the name of the person who the dismissor wishes to dismiss;
    - (ii) any other particulars relevant to the dismissal which the dismissor has notified to the proper officer; and
    - (iii) the period within which any objection to the dismissal is to be made by the executive leader on behalf of the executive to the proper officer; and
  - (c) either: -
    - (i) the executive leader has, within the period specified in the notice under sub-paragraph (b)(iii), notified the dismissor that neither ~~they s/he~~ nor any other member of the executive has any objection to the dismissal;
    - (ii) the proper officer has notified the dismissor that no objection was received by ~~them him/her~~ within that period from the executive leader; or
    - (iii) the dismissor is satisfied that any objection received from the executive leader within that period is not material or is not well-founded.
4. The “proper officer” for the purposes of paragraph 3 will be the **Deputy Chief Executive** and ~~City Treasurer~~.

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## Section C

# Financial Systems and Procedures

## FINANCIAL SYSTEMS AND PROCEDURES

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## FINANCIAL SYSTEMS AND PROCEDURES

**CHAPTER 1 – BUDGET SETTING AND MONITORING****BUDGETARY****Deputy Chief Executive and City Treasurer**

1. Responsible for ensuring that the Council's financial systems are sound across the City Council and for any pooled fund or integration arrangement.
2. Responsible for establishing arrangements to approve and be notified, in advance, of any proposed new developments or changes to existing financial systems.
3. Responsible for the operation and administration of the Council's accounting systems, the form of accounts and the supporting financial records, including to:
  - issue advice, guidance and procedures for officers and others acting on the Council's behalf.
  - determine the accounting systems, form of accounts and supporting financial records.
  - ensure that accounts are closed down and financial statements prepared in line with the statutory deadlines.
  - establish arrangements for audit of the Council's financial affairs.
  - comply with Whole of Government accounts requirements, given statutory effect by the Finance Act 1998, in accordance with CIPFA and National Audit Office guidance.

**Chief Officers and Heads of Service**

4. Responsible for the proper operation of financial processes in their respective service.
5. To ensure that accounting records are properly maintained and held securely.
6. To ensure that systems are documented and that staff receive relevant financial training before staff are granted access to financial systems.
7. To ensure that the **Deputy Chief Executive and City Treasurer** has approved any changes to the existing procedures, financial systems or the establishment of new systems in advance of implementation.
8. Responsible for ensuring that a proper Scheme of Delegation has been established within their area and is operating effectively. The Scheme of Delegation should identify staff authorised to act on the Chief Officer's and Heads of Service's behalf, in respect of committing expenditure, payments and income collection, together with the limits of their authority.
9. To ensure that invoices and documents with financial implications are retained in line with arrangements approved by the **Deputy Chief Executive and City Treasurer**.



## FINANCIAL SYSTEMS AND PROCEDURES

10. To ensure that a complete management trail, allowing financial transactions to be traced from the accounting records to the original document, and vice versa, is maintained.
11. To incorporate appropriate controls to ensure that, where relevant:
  - all input is genuine, complete, accurate, timely and not previously processed;
  - all processing is carried out in an accurate, complete and timely manner;
  - output from the system is complete, accurate and timely;
  - data is backed up on a regular basis.
12. To ensure that the organisational structure provides an appropriate segregation of duties to provide adequate internal controls and to minimise the risk of fraud or other malpractice.
13. To ensure that effective contingency arrangements, including back-up procedures, exist for computer systems and to ensure there is a documented and tested disaster recovery plan to allow information system processing to resume quickly in the event of an interruption.
14. To ensure that computer systems are registered and operated in accordance with data protection legislation, copyright, designs and patents legislation and that staff are aware of their responsibilities under the legislation. To ensure that:
  - only software legally acquired and installed by the Council is used on its computers.
  - staff are aware of legislative provisions.
  - in developing systems, due regard is given to the issue of intellectual property rights.
15. To ensure that the Council's information and ICT security standards are complied with.
16. To ensure that computer equipment and software are protected from loss and damage through theft, vandalism, etc.

## **CORPORATE PLANS**

### Chief Executive

17. Responsible for proposing the priorities for the Council as set out in The Manchester Strategy ('Our Manchester'), Medium Term Financial Plan and Business Plans to the Executive for consideration before their submission to the Full Council for approval.

### Deputy Chief Executive and City Treasurer

18. To advise and supply, as appropriate and in conjunction with Chief Officer and Heads of Services, the financial information that needs to be included in performance plans in accordance with statutory requirements and agreed timetables.

## FINANCIAL SYSTEMS AND PROCEDURES

19. To contribute to the development of corporate and service targets and objectives and performance information.
20. To ensure that systems are in place to measure activity and collect accurate information for use as performance indicators.
21. To ensure that performance information is monitored sufficiently frequently to allow corrective action to be taken if targets are not likely to be met.

### Chief Officers and Heads of Service

22. To ensure that performance information is monitored sufficiently frequently to allow corrective action to be taken if targets are not likely to be met.
23. To contribute to the development of performance plans in line with statutory requirements.
24. To contribute to the development of corporate and service targets and objectives and performance information.
25. To advise and supply, as appropriate and in conjunction with the ~~Deputy Chief Executive and City Treasurer~~ the financial information that needs to be included in performance plans in accordance with statutory requirements and agreed timetables.

## **BUDGET PREPARATION AND BUSINESS PLANNING**

26. Budgets represent the expression in financial terms of the Council's policies and constitute a statement of intent against which judgements can be formed. Each Chief Officer in consultation with the ~~Deputy Chief Executive and City Treasurer~~ must prepare a revenue budget to be presented to the Executive prior to scrutiny and approval by Full Council. Chief Officers may then spend within the amounts shown in the relevant budgets as long as the spending relates to the Council's existing policies. Each Chief Officer, in conjunction with the ~~Deputy Chief Executive and City Treasurer~~, has a responsibility to ensure that their budgets and establishments are accurately reflected on the Council's financial system (SAP) by 1 April.
27. The ~~Deputy Chief Executive and City Treasurer~~ must collate capital estimates jointly with Chief Officers and Heads of Services to submit them as a capital programme, including any associated financing requirements, to the Executive which will make recommendations for approval by the Full Council.
28. It is illegal for the Council to budget for a deficit.

### Full Council

29. Responsible for approving the general format of the Revenue and Capital Budget, proposed by the Executive on the advice of the ~~Deputy Chief Executive and City Treasurer~~. The draft budget should include allocation to different services and projects, proposed taxation levels and contingency funds.

## FINANCIAL SYSTEMS AND PROCEDURES

30. Responsible for approving the Council's Revenue and Capital Budget and Policy Framework proposed by the Executive. The Policy Framework comprises the plans and strategies set out in Article 4 of Part 2 of the Constitution.
31. In terms of financial planning, the key elements are:
- The Our Manchester Strategy;
  - The Corporate Plan
  - Medium Term Financial Strategy (incorporating the Medium Term Financial Plan, Directorate Budgets, the Housing Revenue Account Business Plan and the Dedicated Schools Grant)
  - Capital Strategy and Programme;
  - Treasury Management Strategy;
  - Annual Investment Strategy;
  - Minimum Revenue Provision Strategy.

### Executive

32. Responsible for approving guidance on the general content of the Revenue and Capital Budget as submitted by the ~~Deputy Chief Executive and City Treasurer~~.
33. Responsible for proposing the Revenue and Capital Budget and policy framework to the Full Council, and for discharging executive functions in accordance with the Revenue and Capital Policy Framework and Budget.

### Resources and Governance Scrutiny Committee

34. To exercise scrutiny functions in relation to financial matters, and other general matters and report to the Executive and/or Full Council, as appropriate.

### ~~Deputy Chief Executive and City Treasurer~~

35. To prepare and submit reports on budget prospects, for the Executive, including resource constraints set by the government. Reports should take account of medium term prospects, where appropriate.
36. To prepare and submit reports to the Executive and the Council on the aggregate spending plans of services and on the resources available to fund them, including the robustness of the estimates made and the adequacy of proposed financial reserves, and identifying, where appropriate, the implications for the level of Council Tax to be levied and on the level of housing rents/service charges.
37. To prescribe detailed formats for revenue and capital budget preparation as follows:

Revenue	Capital
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## FINANCIAL SYSTEMS AND PROCEDURES

<p>To prescribe the detailed format for the preparation of revenue estimates, for submission to and approval by Full Council, in accordance with the Council's general directions.</p>	<p>To issue guidance relating to the strategy and controls for capital schemes. The <del>Deputy Chief Executive and City Treasurer</del>, having regard to Government regulations and accounting requirements, will determine the definition of 'capital'.</p>
<p>The <del>Deputy Chief Executive and City Treasurer</del> is responsible for ensuring that a revenue budget is prepared on an annual basis for consideration by the Executive, before submission to the Full Council. The Full Council may amend the budget or ask the Executive to reconsider it before approving it.</p>	<p>Responsible for ensuring that a capital programme, including prudential indicators for the forthcoming year is prepared on an annual basis for consideration by the Executive before submission to the Full Council. The report to the Executive to take into account, when setting or revising prudential indicators, the following:</p> <ul style="list-style-type: none"> <li>- affordability;</li> <li>- prudence and sustainability;</li> <li>- proportionality</li> <li>- value for money;</li> <li>- stewardship of assets;</li> <li>- service objectives;</li> <li>- practicality.</li> </ul>
<p>To ensure, where appropriate, that proposals for external funding have been approved by the Council's Revenue Gateway Panel process prior to Executive approval to ensure strategic fit.</p>	<p>To collate capital estimates jointly with Chief Officers and Heads of Services and the Chief Executive and to submit them to the Executive for approval. The Executive will make recommendations on the capital estimates and on any associated financing requirements to the Full Council. Executive approval is required where a Chief Officer and/or Head of Service proposes to bid for, or exercise additional borrowing approval, not anticipated in the capital programme.</p> <p>Council approval is needed to increase forecast borrowing.</p>
	<p>To approve, in conjunction with the Executive Member <del>for Finance and Human Resources</del><u>with portfolio responsibility for Finance</u>, capital schemes that include project plans, progress targets and associated revenue expenditure submitted by Chief Officers and Heads of Services.</p>

## FINANCIAL SYSTEMS AND PROCEDURES

	To ensure that all capital schemes have been through the Council's Capital Checkpoint Approval Process before approval to spend the allocation is granted. Note that approval is also required for all externally funded schemes (including those which are 100% funded from external sources).
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38. The guidelines will take account of:

- legal requirements;
- medium-term planning prospects;
- the Our Manchester Strategy and Corporate Plan;
- available resources;
- spending/income pressures;
- CIPFA Accounting Codes and other relevant government guidelines;
- other internal policy documents;
- cross-cutting issues (where relevant).

39. To encourage the best use of resources and value for money by working with Chief Officers and Heads of Services to identify opportunities to improve economy, efficiency and effectiveness, and by encouraging good practice in conducting financial appraisals of development or savings options, and in developing financial aspects of service planning.

### Chief Officers and Heads of Service

40. To ensure that priorities within Business Plans are delivered and that budgets are balanced across services within their directorates.
41. To ensure that there is a clear direction for the services in their directorate that aligns with the Our Manchester Strategy and Corporate Plan. The Chief Officer must provide leadership for change, innovation and creativity.
42. Responsibility to ensure that budget estimates reflecting agreed service plans are submitted to the Executive and that these estimates are prepared in line with guidance issued by the ~~Deputy Chief Executive and~~ **City Treasurer**.
43. To prepare budgets that are consistent with any relevant cash limits, with the Council's annual budget cycle and with guidelines issued by the ~~Deputy Chief Executive and~~ **City Treasurer**. The ~~Deputy Chief Executive and~~ **City Treasurer** in accordance with the Full Council's general directions will prescribe the format.
44. When drawing up draft budget requirements, to have regard to:

## FINANCIAL SYSTEMS AND PROCEDURES

- spending patterns and pressures revealed through the budget monitoring process;
- legal requirements;
- de minimis levels (capital),
- policy requirements as defined by the Full Council in the approved policy framework and with partners in the delivery of the Our Manchester Strategy,
- initiatives already under way; and
- the following table:

Revenue	Capital
To comply with the laid-down guidance, controls and timetable issued by the <del>Deputy Chief Executive and City Treasurer</del> , and prepare detailed draft revenue proposals for consideration by the Executive and scrutiny committees.	To comply with the laid-down guidance, controls and timetable issued by the <del>Deputy Chief Executive and City Treasurer</del> , and prepare detailed draft capital bids for consideration by the Executive and scrutiny committees.
To integrate financial and budget plans into service planning, so that budget plans can be supported by financial and non-financial performance measures, a risk assessment has been carried out and that links are made with workforce development planning.	To submit a prioritised list of bids as part of the annual capital budgeting process representing the schemes required to deliver service strategy.
To prepare estimates of income and expenditure, with guidance from the <del>Deputy Chief Executive and City Treasurer</del> , to be submitted to the Executive. To ensure that budget estimates reflecting agreed service plans are submitted to the Executive and that these estimates are prepared in line with guidance issued by the <del>Executive and Deputy Chief Executive and City Treasurer</del> .	To prepare schedules for individual schemes within the overall capital budget approved by the Full Council and submit to the Executive for approval or under other arrangements approved by the Full Council.
- To submit revenue proposals to bid for external funding through the Council's Revenue Gateway process and subsequent approval by <del>the Deputy Chief Executive and City Treasurer</del> .	To ensure that each capital project follows the Council's Checkpoint process. At Checkpoint 2 approval should be sought from the <del>Deputy Chief Executive and City Treasurer</del> in consultation with the Executive Member <del>for Finance and Human Resources</del> <b>with portfolio responsibility for Finance</b> and the Deputy Leader and relevant Executive Portfolio Holder prior to the project's inclusion in the Capital Budget.

## FINANCIAL SYSTEMS AND PROCEDURES

<p>To ensure that approvals to utilise increased grant resources allocated to the Council in year (includes any external funds allocated/received in advance); unbudgeted use of reserves and requests for release of funds approved in the budget but not yet allocated to departmental cash limit are approved by the <del>Deputy Chief Executive and City Treasurer</del> and Executive Member <u>for Finance and Human Resources with portfolio responsibility for Finance</u> and reported in the Council's Global Monitoring Report.</p>	<p>To ensure that approvals for all capital expenditure proposals are obtained prior to a scheme's commencement from the <del>Deputy Chief Executive and City Treasurer</del> and the Executive Member <del>for Finance and Human Resources</del> <u>with portfolio responsibility for Finance</u> and that capital expenditure approvals are not exceeded.</p>
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## RESOURCE ALLOCATION

### Executive

45. Responsible for taking in-year decisions on resources and priorities in order to deliver the budget and policy framework within the financial limits set by the Council.

### ~~Deputy Chief Executive and City Treasurer~~

46. To develop and maintain a resource allocation process that ensures due consideration of the Full Council's Policy Framework and legal constraints.
47. To advise on methods available for the funding of resources to ensure the most effective use of resources, such as grants from Central Government, other external resources and borrowing requirements.
48. To advise on the suitability of proposals to introduce/modify financial procedures to control resources.
49. To reallocate approved resources for the capital budget at out-turn to provide the most advantageous financial outcome for the Council provided that this does not result in an increase in the Council's level of borrowing.

### Chief Officers and Heads of Service

50. To assist in the allocation of resources to budget managers.
51. To work within delegated cash limits (General Fund) and to utilise resources allocated, and furthermore to allocate resources, in the most efficient, effective and economic way.
52. To identify opportunities to minimise or eliminate resource requirements or consumption without having a detrimental effect on service delivery.



## FINANCIAL SYSTEMS AND PROCEDURES

### **MONITORING AND CONTROLLING BUDGETS**

53. Chief Officers and Heads of Service must ensure that they comply with the rules in relation to key decisions set out in Rule 16 of the Access to Information Procedure Rules in Part 4 of this Constitution.

#### Deputy Chief Executive and City Treasurer

54. Ensure the deployment of adequate staff resources to ensure that the process of budget monitoring is adequately supported.

55. In consultation with the City Solicitor responsible for advising the Executive or Full Council about whether a decision is likely to be considered contrary to, or not wholly in accordance with the budget. Such actions include:

- initiating a new policy;
- committing expenditure in future years above the approved budget level;
- interdepartmental transfers above virement limits (see 83-96 below);
- causing total net expenditure to increase beyond the approved budget.

56. To establish an appropriate budgetary framework to monitor, manage and control to ensure that:

- Budget management is exercised within annual cash limits unless the Full Council agrees otherwise;
- Each Chief Officer and Head of Service has available timely information on expenditure and income on each budget which is sufficiently detailed to enable managers to fulfil their budgetary responsibilities;
- Expenditure is committed only against an approved budget head;
- Officers responsible for committing expenditure comply with relevant guidance and financial regulations;
- Significant variances from approved budgets are investigated and reported by budget managers regularly and should include mitigating action to address pressures.

Revenue	Capital
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## FINANCIAL SYSTEMS AND PROCEDURES

Responsible for monitoring and controlling overall expenditure and income against budget allocations and report to the Executive on the Council's overall position on a regular basis.	Authorising, in consultation with the Executive Member <del>for Finance and Human Resources</del> <b>with portfolio responsibility for Finance</b> , increases in capital expenditure by up to £1 million per scheme, subject to external funding, capital receipts or revenue budget being available.
The procedures for agreeing variations to approved budgets, plans and strategies forming the policy framework, and for determining the circumstances in which a decision will be deemed contrary to the budget or policy framework, are set out in the Budget and Policy Framework Procedure Rules. The City Solicitor should refer decisions to the Full Council.	To report and seek Executive approval for increasing capital expenditure per scheme in excess of £1 million which can be funded through additional external funding, capital receipts and revenue budgets.
To submit reports to the Executive and to the Full Council, in consultation with the relevant Chief Officer and Head of Service, where a Chief Officer or Head of Service is unable to balance expenditure and resources within existing approved budgets under their control.	To prepare and submit monitoring reports to the Executive on the projected income, expenditure and resources compared with the approved estimates and to recommend action where necessary to ensure that capital expenditure is fully funded.

57. To administer the Council's scheme of virement (*see below*).
58. To ensure prior approval by the Full Council or the Executive (as appropriate) for new proposals, of whatever amount, that:
- create financial commitments in future years
  - change existing policies, initiate new policies or cease existing policies
  - materially extend or reduce the Council's services.
59. To ensure that a monitoring process is in place to review performance levels/levels of service in conjunction with the budget and is operating effectively.
60. Where an approved budget (a lump sum budget or contingency) is intended for allocation during the year, funding may be used without further approval, provided that:
- the amount is used in accordance with the purposes for which it has been established
  - the Executive has approved the basis and the terms, including financial limits, on which it will be allocated. Individual allocations in excess of the financial limits should be reported to the Executive.

## FINANCIAL SYSTEMS AND PROCEDURES

### Chief Officers and Heads of Services

61. To exercise discretion in managing budgets responsibly and prudently. For example, they should not support recurring revenue expenditure from one-off sources of savings or additional income, or creating future commitments, including full-year effects of decisions made part way through a year, for which they have not identified future resources. Chief Officers and Heads of Services must plan to fund such commitments from within their own budgets.
62. To maintain budgetary control within their services, in adherence to the principles above, and to ensure that all income and expenditure is properly recorded and accounted for.
63. To ensure that spending remains within the service's overall budget allocation, and that individual budget heads are not overspent, by monitoring the budget and taking appropriate corrective action where significant variations from the approved budget are projected.

Revenue	Capital
Responsibility to control income and expenditure within their area and within the cash limited budget allocation delegated to them, and to monitor performance. They should report on variances within their own areas and take necessary action to avoid exceeding their budget allocation and alert the <del>Deputy Chief Executive and City Treasurer</del> to any problems. Where there are budget pressures mitigating actions should be identified.	To prepare returns of projected estimated final costs of schemes, in the approved capital programme, for submission to the <del>Deputy Chief Executive and City Treasurer</del> and Executive Member <del>for Finance and Human Resources</del> <u>with portfolio responsibility for Finance</u> .
	To consult with the <del>Deputy Chief Executive and City Treasurer</del> and to seek Executive approval where the Chief Officer and/or Head of Service proposes to bid for grants to be issued by Government departments or others to support expenditure that has not been included in the current year's capital programme.

64. To ensure that credit arrangements, such as leasing agreements, are not entered into without the prior approval of the ~~Deputy Chief Executive and City Treasurer~~ and, if applicable, approval of the scheme through the capital programme.
65. To ensure that priorities within Business Plans are delivered and that budgets are balanced across services within their directorates.

## FINANCIAL SYSTEMS AND PROCEDURES

66. To be responsible for ensuring that the resource implications of all new projects which they initiate and which are outside of the approved budget and business plan priorities are identified in briefing papers to the ~~Deputy Chief Executive and~~ **City Treasurer** and the relevant Executive Member.
67. Chief Officers to provide appropriate and necessary support and challenge to all Heads of Service to ensure the delivery of the Our Manchester Strategy 2016-2025 and the Council's Corporate Plan.
68. To be responsible for ensuring that their service provides services to the agreed standard and within their approved budget.
69. To be responsible for liaising with the relevant Executive Member and Strategic Management Team regarding progress against priorities within Business Plans.
70. To be responsible for ensuring that in circumstances where the delivery of organisational priorities, within agreed budget, is compromised, this is escalated to the Strategic Management Team for resolution.
71. Fortuitous income (deemed to be additional income that in aggregate totals or exceeds £100,000 in a service), cannot be retained within cash limit budgets and must be reported to the ~~Deputy Chief Executive and~~ **City Treasurer** and then the Executive for consideration of how these additional monies are to be used.
72. The accountability for delivery of the Business Plan within the allocated budget lies with the Head of Service. This will include continuous improvement in quality and efficiency. The Business Plan will include transformation projects.
73. Heads of Service are expected to regularly review service performance against the objectives set in the Business Plan and re-prioritise where necessary to address performance and budget constraints.
74. Heads of Service are accountable for the achievement of efficiencies identified / allocated to their services.
75. Heads of Service have a responsibility to proactively engage other Heads of Service on cross cutting issues. In particular, Heads of Service must not pursue proposals for improvement or efficiency that have cost implications for other services without first discussing and agreeing with them with their colleagues. Furthermore, Heads of Service must not claim efficiencies which are already allocated to a cross cutting savings target without agreement from the ~~Deputy Chief Executive and~~ **City Treasurer**.
76. Heads of Service are accountable for ensuring that appropriate systems and processes are in place to ensure the effective management of their service.
77. It is the responsibility of Heads of Service to ensure that effective performance and budget monitoring takes place within their service.

## FINANCIAL SYSTEMS AND PROCEDURES

78. Heads of Service are responsible for ensuring that their budget is delegated to named Cost Centre Managers. Each Cost Centre Manager must assign a substitute to take responsibility for these budgets in their absence. Heads of Service are responsible for ensuring that these managers understand and undertake their budgetary responsibilities effectively.
79. Where risks emerge to the delivery of a balanced budget or key performance targets, it is the responsibility of the Head of Service to identify and pursue all options for managing these risks within the service. Only after all options have been investigated, challenged and evaluated should Heads of Service escalate the issue(s) to their Chief Officers for appropriate action.
80. The Heads of Finance and their finance teams support the Heads of Service in setting budgets and establishing appropriate systems and processes and reporting for managing service budgets.
81. The budget position should be reported regularly to the Directorate Management Team and should include:
  - Budget allocations;
  - Projected outturn;
  - Variance of projected outturn against budget allocation;
  - Explanation for any significant variances and comments on trends and future impact;
  - Forecast achievement of savings against target;
  - Identification of risks and/or pressures and mitigating action to be taken to address the risk and/or pressure.
82. To prepare and submit, to the service's Executive Member, reports on projected expenditure and income compared with the budget, in the form prescribed by and in accordance with, the timetable and guidelines issued by the ~~Deputy Chief Executive and City Treasurer~~.

### VIREMENT

83. As part of the Virement Policy a change in a budget due to a transfer from another budget can be approved within the limits set out within these regulations.
84. A revenue virement is deemed to be:
  - A transfer of budget from non-pay to pay budgets or vice versa;
  - A transfer of budget to meet a contractual long-term commitment;
  - A transfer of budget between distinct service areas (for example from Highway Services to Cultural Services);

## FINANCIAL SYSTEMS AND PROCEDURES

- A transfer of budget for a different purpose as that set out in the approved Budget and Business Plan.

85. A capital virement is deemed to be movement of budget between approved capital schemes.

### Full Council

86. Responsible for agreeing the policy for virement of expenditure between budget headings and for approving any variation to the policy.

### Executive

87. In accordance with the Virement Policy and associated thresholds, responsible for considering reports submitted by the ~~Deputy Chief Executive and~~ **City Treasurer** and Chief Officers in respect of virement proposals for revenue and capital expenditure.

88. Responsible for considering reports submitted by the ~~Deputy Chief Executive and~~ **City Treasurer** and Chief Officers and approving any revenue expenditure where:

- it is for the release of earmarked sums from central contingency.
- where the proposed virement is likely to have an adverse effect on any published performance indicator.

### ~~Deputy Chief Executive and~~ **City Treasurer**

89. To administer the Virement Policy agreed by Full Council and in accordance with the Budget and Policy Framework Procedure Rules.

90. In accordance with the scheme of virement and associated thresholds, responsible for considering reports submitted by the Chief Officers and Heads of Services in respect of virement proposals for revenue and capital expenditure.

91. In conjunction with Chief Officers and Heads of Services, to report to and seek the prior approval of the Executive for any revenue expenditure where:

- it is for the release of earmarked sums from central contingency.
- where the proposed virement is likely to have an adverse effect on any published performance indicator.

92. To report and seek the approval of the Chief Executive (in consultation with the Leader, and the Executive Member ~~for Finance and Human Resources~~ **with portfolio responsibility for Finance**) to the exercise of the virement powers of the Executive where a matter is urgent.

### Chief Officers

93. To ensure compliance with the scheme of virement (see table below).

## FINANCIAL SYSTEMS AND PROCEDURES

Threshold	Revenue	Capital
As indicated	<ol style="list-style-type: none"> <li>1. Chief Officers (or their nominated Heads of Service) with support from their Head of Finance can vire amounts of up to and including £500k within their budgets as long as this is in support of the priorities agreed in the Medium Term Financial Plan and they are properly recorded on SAP.</li> <li>2. Where this involves changes to the staffing establishment for their service area this needs to be in consultation with the Director of <u>Human Resources, Organisational Development &amp; Transformation</u> <del>HR and OD</del></li> </ol>	Chief Officers to vire up to £250,000 from within the capital programme following notification to the <del>Deputy Chief Executive and</del> <b>City Treasurer</b> as part of the Checkpoint process.
		<del>Deputy Chief Executive and</del> <b>City Treasurer</b> to report and seek Executive approval for virements in excess of £250,000 and up to £500,000 unless this is a virement from an approved capital budget for an agreed programme pending allocation.
In excess of £500,000	<del>Deputy Chief Executive and</del> <b>City Treasurer</b> to report and seek approval from the Executive virements in excess of £500,000. Virements over £500k which are between Directorates or are in support of a policy change or key decision require approval from Full Council. Virements between staffing and non staffing budgets of	<del>Deputy Chief Executive and</del> <b>City Treasurer</b> to report and seek approval from the Executive for virements in excess of £500,000 and Full Council for virements of over £1m unless this is a virement from an approved capital budget for an agreed programme pending allocation.

## FINANCIAL SYSTEMS AND PROCEDURES

	over £500k require the approval of full Council.	
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94. To agree with the relevant Chief Officer where it appears that a budget proposal, including a virement proposal, may impact materially on another service area or Chief Officer's level of service delivery.
95. In conjunction with the ~~Deputy Chief Executive and City Treasurer~~ to report to, and seek the prior approval of, the Executive for any revenue expenditure to be funded from the release of earmarked sums from central contingency, reserves or budgets held corporately prior to allocation
96. To report to, and seek the prior approval of, the ~~Deputy Chief Executive and City Treasurer~~ for any revenue expenditure to be funded from the planned use of reserves, including where grant funding across more than one year has to be held in a reserve.

**STAFFING**Chief Executive

97. Determine how officer support for executive and non-executive roles within the Council will be organised.
98. Responsible for providing overall management to staff. They will also be responsible for ensuring that there is proper use of the evaluation or other agreed systems for determining the remuneration of a job.

~~Deputy Chief Executive and City Treasurer~~

99. To ensure that there is proper use of the evaluation or other agreed systems for determining the remuneration of a job.
100. To provide advice to secure payment of salaries and wages by the most economical means.
101. To ensure that budget provision exists for all existing and new employees.
102. To be responsible for the payments of salaries and wages to all staff, including payments for overtime, and for payment of allowances to members.
103. To arrange and control secure and reliable payment of salaries, wages, compensation or other emoluments to existing and former employees, in accordance with procedures prescribed by him or her, on the due date.
104. To record and make arrangements for the accurate and timely payment of tax, national insurance, superannuation and other deductions.



## FINANCIAL SYSTEMS AND PROCEDURES

105. To act as an advisor to Chief Officers and Heads of Service on areas such as national insurance and pension contributions, as appropriate.
106. To set out and issue a Staff Expenses Scheme to be followed where staff incur personal expenses when carrying out their duties on behalf of the Council.

### Chief Officers and Heads of Service

107. To ensure appointments are made in accordance with the regulations of the Council and approved establishments, grades and scale of pay and that adequate budget provision is available.
108. To notify the Shared Service Centre of all appointments, terminations or variations that may affect the pay or pension of an employee or former employee, in the form and to the timescale required by the ~~Deputy Chief Executive and~~ **City Treasurer**.
109. To ensure that adequate and effective systems and procedures are operated, so that:
- payments are only authorised to bona fide employees;
  - payments are only made where there is a valid entitlement;
  - conditions and contracts of employment are correctly applied; and
  - employees' details listed on the payroll are checked at regular intervals to verify accuracy and completeness.
110. To ensure that the service maintains and reviews periodically a list of officers approved to authorise payments, together with specimen signatures, and to ensure that only authorised officers authorise payments.
111. To ensure that payroll transactions are processed only through the payroll system. Chief Officers and Heads of Service should give careful consideration to the employment status of individuals retained on a self-employed consultant or subcontract basis. An employment status form must be completed before the individual is taken on. The Inland Revenue applies a tight definition for employee status, and in cases of doubt, advice should be sought from the ~~Deputy Chief Executive and~~ **City Treasurer**.
112. To ensure that the ~~Deputy Chief Executive and~~ **City Treasurer** is notified of the details of any employee benefits in kind, to enable full and complete reporting within the income tax self-assessment system.
113. To ensure that all appropriate payroll documents are retained and stored for the defined period in accordance with guidance issued by the ~~Deputy Chief Executive and~~ **City Treasurer**.
114. Responsible for producing an annual staffing budget. Ensuring that the staffing budget is an accurate forecast of staffing levels and is equated to an appropriate revenue budget provision (including on-costs and overheads).



## FINANCIAL SYSTEMS AND PROCEDURES

115. Responsible for controlling total staff numbers by:

- advising the Executive on the budget necessary in any given year to cover estimated staffing levels.
- adjusting the staffing to a level that can be funded within approved budget provision, varying the provision as necessary within that constraint in order to meet changing operational needs. Ensuring that the staffing budget is not exceeded without due authority and that it is managed to enable the agreed level of service to be provided.

116. Responsible for ensuring that the ~~Deputy Chief Executive and~~ **City Treasurer** is immediately informed if the staffing budget is likely to be materially over or under spent.

117. Responsible for monitoring staff activity to ensure adequate control over such costs as sickness, overtime, training and temporary staff.

118. To ensure staff expenses are paid in accordance with the Staff Expenses Scheme.

119. To certify all staff expenses. Certification is taken to mean that journeys were authorised in advance and that incidental expenses were properly and necessarily incurred and that allowances are properly payable by the Council, ensuring that cost-effective travel arrangements are used. Due consideration should be given to tax implications and that the advice of the ~~Deputy Chief Executive and~~ **City Treasurer** is sought where necessary.

## EXTERNAL FUNDING

### ~~Deputy Chief Executive and~~ **City Treasurer**

120. To ensure that funds are acquired only to meet the priorities approved in the policy framework by the Full Council.

121. To ensure that all funding notified by external bodies is received and properly recorded in the Council's accounts.

122. To ensure that the match-funding requirements are considered prior to entering into the agreements and that future revenue budgets reflect these requirements.

123. To ensure that audit requirements are met.

124. Where they are specifically responsible for submitting grant claims, to ensure that all claims for funds are made by the due date.

### Chief Officers and Heads of Service

125. To ensure the details of any new revenue funding are submitted to the Revenue Gateway Panel for scrutiny before submitting a bid for new external funding and

## FINANCIAL SYSTEMS AND PROCEDURES

before committing to spend a new grant received by the Council in year. The Revenue Gateway Panel scrutinise and challenge requests submitted to them.

126. To ensure that funds are acquired only to meet the priorities approved in the Policy Framework by the Full Council.
127. Where they are specifically responsible for submitting grant claims, to ensure that all claims for funds are made by the due date.
128. To maintain adequate supporting documentation to enable claims for funding to be maximised/validated.
129. For grant claims subject to External or Internal Audit to ensure that claims and supporting documentation are made available to the External or Internal Auditors by the due date.
130. To ensure that the project progresses in accordance with the agreed project plan and that all expenditure is properly incurred and recorded and in accordance with any guidelines which may prevail at the time.

### SUBSIDY CONTROL

The Subsidy Control Act 2022 (“SCA 2022”) came into force on 4 January 2023 and replaces the EU State Aid Regime. The purpose of the SCA 2022 is to prevent public authorities, such as the Council, from giving financial advantages to enterprises in a way that could distort competition. The SCA2022 is intended to enable public authorities to design “Subsidies” that deliver strong benefits for the UK. Under the SCA 2022, whenever the Council is proposing to make an award of “financial assistance” (e.g. a grant, a tax break, a loan or guarantee, an equity investment, or the use of facilities), the Council must establish whether its proposed award meets the four specific conditions of a “Subsidy”. Where the Council determines that it is proposing to make an award of a Subsidy, the Council must ensure that it complies with all relevant requirements of the SCA 2022, which may include an assessment that the Council’s proposed Subsidy complies with all of the “7 Subsidy Control Principles”. The Council may also be required to upload information in relation to its award of a Subsidy onto Central Government’s Subsidy Database.

### City Treasurer

131. To accept grant offers on behalf of the Council, subject to all the terms and conditions set out by the grant awarding body

132. To ensure that the Council’s Subsidy Control Approval Process as adopted by the Council complies with the its obligations under the Subsidy Control Act 2022 (SCA 2022). In particular that:

- Consideration is given as to whether the proposed award of financial assistance will qualify as a “Subsidy” for the purpose of the SCA 2022 (the initial “Is it a Subsidy?” test has been carried out);

## FINANCIAL SYSTEMS AND PROCEDURES

- Where the MCC Chief Officer considers that the proposed award of financial assistance will be a Subsidy, take all necessary steps to ensure compliance with the Subsidy Control Act 2022 have been followed e.g.
  - o where a Subsidy is being awarded on the basis of the Minimum Financial Assistance (“MFA”) or Services of Public Economic Interest Assistance (“SPEIA”) exemptions, the correct procedures for the operation of the MFA and SPEIA exemptions have been followed;
  - o where required under the SCA 2022, the proposed award of bsidy has been assessed to ensure that it will comply with all of the Seven Subsidy Control Principles
  - o where required under the SCA 2022, the proposed award of Subsidy has been assessed to ensure that it will comply with the Energy and Environment Principles of the SCA 2022;

Chief Officers and Heads of Service

133. Whenever the Council is proposing to make an award of Capital Grant Funding the Chief Officer will ensure that the Council’s Capital Checkpoint Approval Process is followed. The Chief Officer will ensure that any proposed award of Capital Grant Funding which is to be made by the Council complies with the requirements of the Subsidy Control Act 2022.

134. Whenever the Council is proposing to make an award of Revenue Grant Funding, the Chief Officer will ensure that the Council’s Revenue Gateway Process is followed. The Chief Officer shall also ensure that any proposed award of Revenue Grant Funding which is to be made by the Council complies with the requirements of the Subsidy Control Act 2022.

135. To ensure that where a Subsidy will qualify as a Subsidy of Interest or a Subsidy of Particular Interest, the proposed award of Subsidy has been approved by the Deputy Chief Executive and City Treasurer and where a Subsidy will qualify as a Subsidy of Particular Interest, the proposed award of a Subsidy of Particular Interest has been referred to the Subsidy Advice Unit of the Competition & Markets Authority for review;

136. Where information in relation to a Subsidy is required to be uploaded by the Council onto the UK Government’s database of UK subsidies awarded since 1 January 2021 (the “Subsidy Database”) the Deputy Chief Executive and City Treasurer has been made aware of the information which is to be uploaded onto the Subsidy Database in relation to any Subsidy and the required information is uploaded onto the Subsidy Database as soon as possible following the decision to make the award of Subsidy.

## FINANCIAL SYSTEMS AND PROCEDURES

**CHAPTER 2 - ACCOUNTANCY****FINANCIAL RECORDS AND RETURNS**~~Deputy Chief Executive and City Treasurer~~

1. To determine the accounting procedures and records for the Council. Where these are maintained outside the Financial Management Division, the Chief Officers and Heads of Service should consult with the ~~Deputy Chief Executive and City Treasurer~~.
2. Not allocated
3. To arrange for the compilation of all accounts and accounting records under their direction.
4. To ensure that all claims for funds including grants, for which they are~~he or she is~~ responsible for, are made by the due date.
5. To administer the Council's arrangements for under and overspendings to be carried forward to the following financial year.

Chief Officers and Heads of Service

6. To comply with the following principles when allocating accounting duties:
  - Separating the duties of providing information about sums due to or from the Council and calculating, checking and recording these sums from the duty of collecting or disbursing them.
  - Officers with the duty of examining or checking the accounts of cash transactions must not themselves be engaged in these transactions.
7. To consult and obtain the approval of the ~~Deputy Chief Executive and City Treasurer~~ before making any changes to accounting systems and procedures.
8. To maintain adequate records to provide an audit trail leading from the source of income/expenditure through to the accounting statements.
9. To comply with accounting guidance provided by the ~~Deputy Chief Executive and City Treasurer~~ and to supply the ~~Deputy Chief Executive and City Treasurer~~ with information in the format, and by the date, requested.
10. To supply information required to enable the Annual Statement of Accounts to be completed in accordance with the guidelines and timescales issued by the ~~Deputy Chief Executive and City Treasurer~~.
11. To ensure that all claims for funds, including grants, which the Chief Officers and Heads of Service are responsible for, are made by the due date.

## FINANCIAL SYSTEMS AND PROCEDURES

12. To ensure that adequate records are maintained for all capital contracts and to report on the spend against the projects as required in line with the requirements of the ~~Deputy Chief Executive and~~ **City Treasurer**.
13. To prepare and submit an annual report, in consultation with the ~~Deputy Chief Executive and~~ **City Treasurer**, to the Executive on the completion of all contracts, revenue and capital, where the final expenditure exceeds the approved contract sum.

### TREATMENT OF IN YEAR BALANCES

#### Full Council

14. Responsible for agreeing the treatment of year end under or overspends based on the recommendations of the Executive.

#### Executive

15. Not allocated
16. To consider the overall year end financial position and whether an under or overspends should be carried forward to the following year, met from overall council resources (ie over and underspends from elsewhere), or to recommend to Council that a balance is met from or added to the General Fund Reserve.
17. To consider applications from Chief Officers and Heads of Services to carry forward managed underspendings in excess of service estimates.

#### ~~Deputy Chief Executive and~~ **City Treasurer**

18. To administer the treatment of any year end overspendings and underspendings within guidelines approved by Full Council.
19. To report all overspendings and underspendings with the recommendation for their treatment to the Executive and to Full Council.

#### Chief Officers and Heads of Service

20. Not allocated
21. Managed underspendings and/or additional, but not fortuitous, income on service estimates under the control of the Chief Officers and Heads of Service (General Fund) may be carried forward, subject to:
  - Recommendation by the ~~Deputy Chief Executive and~~ **City Treasurer** and Executive Member ~~for Finance and Human Resources~~ with portfolio responsibility for Finance.
  - Reporting to the Executive the source of the managed underspending or additional income and the proposed application of any carry forward.
  - Subsequent approval of the Executive.

## FINANCIAL SYSTEMS AND PROCEDURES

### MAINTENANCE OF RESERVES/PROVISIONS

#### Full Council

22. To approve the use of reserves which is in addition to that already planned and is in excess of £10 m in aggregate in any financial year.

#### Executive

23. To consider reports for the ~~Deputy Chief Executive and City Treasurer~~ on prudent levels of reserves for the Council.
24. To consider reports from the ~~Deputy Chief Executive and City Treasurer~~ on the adequacy of proposed financial reserves.
25. To consider reports from the ~~Deputy Chief Executive and City Treasurer~~ approving the use of reserves, in addition to that already planned, up to £10m in aggregate in any financial year.

#### ~~Deputy Chief Executive and City Treasurer~~

26. To ensure that there are clear protocols for the establishment and use of reserves and provisions.
27. In consultation with Chief Officers and Heads of Service establish reserves and/or provisions and provide guidance on how to incur expenditure from reserves or charge expenditure to provisions.
28. To advise the Executive and/or the Full Council on prudent levels and adequacy of reserves for the Council, and to take account of the view of the external auditor in this matter.
29. Not allocated
30. To agree with the relevant Chief Officer the draw-down of the use of reserves where the planned use is included in the Council's Revenue Budget Report.
31. To report and seek Executive approval for the use of reserves £10 m in aggregate in any financial year above that already approved in the budget For use of reserves in excess of £10 m in aggregate approval of Full Council is required.
32. Not allocated
33. To report to the Executive and/or the Full Council if a reserve or provision is, or is likely to be, inadequate.

#### Chief Officers and Heads of Service

34. Subject to the approval of the ~~Deputy Chief Executive and City Treasurer~~ to establish reserves and/or provisions and the use of reserve /provisions.

## FINANCIAL SYSTEMS AND PROCEDURES

35. To ensure that the use of reserves when approved by the ~~Deputy Chief Executive and City Treasurer~~ and the Executive, or Full Council, as appropriate, is planned in the budget.
36. To ensure such resources are used only for the purposes for which they were intended.
37. To comply with protocols and procedures as laid down by the ~~Deputy Chief Executive and City Treasurer~~.

## ACCOUNTING POLICIES

### ~~Deputy Chief Executive and City Treasurer~~

38. Responsible for the preparation of the Council's statement of accounts, in accordance with proper practices as set out in the format required by the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom, for each financial year ending 31st March.
39. To select suitable accounting policies and ensure that they are applied consistently and comply with the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom. The accounting policies are reported to Audit Committee and set out in the statement of accounts, which is prepared as at 31st March each year.
40. To make judgements and estimates that are reasonable and prudent.

### Chief Officers and Heads of Service

41. To comply with accounting guidance provided by the ~~Deputy Chief Executive and City Treasurer~~.

## ANNUAL STATEMENT OF ACCOUNTS

### Audit Committee

42. Responsible for approving the audited statutory Annual Statement of Accounts and associated governance and accounting policy documents in accordance with the Accounts and Audit Regulations.

### ~~Deputy Chief Executive and City Treasurer~~

43. Responsible for the preparation of the Council's Statement of Accounts, in accordance with proper practices as set out in the format required by the relevant Codes of Practice on Local Authority Accounting in the United Kingdom, for each financial year ending 31st March.
44. Responsible for the selection of suitable accounting policies and ensuring that they are applied consistently and comply with the CIPFA Code of Practice on Local Authority Accounting in the United Kingdom. The accounting policies are reported to Audit Committee and set out in the Statement of Accounts, which is prepared as at 31st March each year.



## FINANCIAL SYSTEMS AND PROCEDURES

45. To draw up the timetable for final accounts preparation and to advise staff and external auditors accordingly.
46. To make proper arrangements for the audit of the Council's accounts in accordance with the Accounts and Audit Regulations 2015.
47. To sign and date the Statement of Accounts, stating that it presents fairly the financial position of the Council at the accounting date and its income and expenditure for the year ended 31st March in accordance with the statutory timetable.
48. To prepare and publish the audited accounts of the Council for each financial year, in accordance with the statutory timetable and with the requirement for the Audit Committee to approve the statement of accounts in accordance with the statutory timetable.

### **TAXATION**

#### **Deputy Chief Executive and City Treasurer**

49. Responsible for advising Chief Officers and Heads of Services, in the light of guidance issued by appropriate bodies and relevant legislation as it applies, on all taxation issues that affect the Council.
50. Responsible for maintaining the Council's tax records, making all tax payments, receiving tax credits and submitting tax returns by their due date as appropriate.
51. To complete all HM Revenue and Customs returns regarding Pay As You Earn (PAYE).
52. To submit a monthly return of VAT inputs and outputs to HM Revenue and Customs.
53. To provide details to the HM Revenue and Customs of deductions made under the Construction Industry Tax Deduction Scheme.
54. To maintain up-to-date guidance for Council employees on taxation issues
55. To maintain an up-to-date register of VAT de minimis payments in accordance with the Value Added Tax Act 1994.

#### **Chief Officers and Heads of Service**

56. To ensure that the correct VAT liability is attached to all income due and that all claims for VAT recoverable on purchases complies with HM Revenue and Customs regulations and all output tax is properly identified and recorded.
57. To ensure that, where construction and maintenance works are undertaken, the contractor fulfils the necessary construction industry tax deduction requirements.
58. To ensure that the Council is not put at risk in any funding arrangements by identifying the correct VAT treatment in accordance with the Value Added Tax Act 1994.



**FINANCIAL SYSTEMS AND PROCEDURES**

59. To ensure that all persons employed by the Council are added to the Council's payroll and that tax is deducted from any payments, except where the individuals are bona fide self-employed or are employed by a recognised staff agency.
60. To follow the guidance on taxation issued by the ~~Deputy Chief Executive and City~~ **Treasurer**.

## FINANCIAL SYSTEMS AND PROCEDURES

**CHAPTER 3 – INCOME AND EXPENDITURE****INCOME****Deputy Chief Executive and City Treasurer**

1. To agree arrangements for the collection of all income due to the Council and to approve the procedures, systems and documentation for its collection and accounting for VAT.
2. To ensure that there is a robust cash handling and banking procedures document in place that sets out the requirements in relation to the treatment of cash income and ensure that the arrangements are PCI compliant.
3. To ensure that there are robust debt management policies in place that clearly sets out the debt collection and write off procedures for all monies owed. To ensure that the debt recovery procedures are used to collect any income due to the Council which has not been paid within specified time limits and that the appropriate accounting adjustments are made following any write off action.
4. To approve service area write off policy documents and the arrangements for the writing off of irrecoverable debts by Chief Officers and Heads of Service.
5. To approve the format of official receipts (receipt books, tickets and similar items) and procedures for the control and supply of these to services.
6. Not allocated
7. To issue guidance relating to the retention and storage of income documents.
8. To agree appropriate arrangements for the prevention, detection and reporting of potential Money Laundering offences

**Chief Officers and Heads of Services**

9. To establish a charging policy for the supply of goods or services, including the appropriate charging of VAT, and to review it regularly in line with corporate policies.
10. To ensure a division of duties between identifying amounts due for payment and the responsibility for the collection of income, as far as is practicable.
11. To adhere to the requirements of the debt management policy for their service area.
12. To ensure that appropriate recovery action (including legal action) is taken. Where it has been agreed with the ~~Deputy Chief Executive and City Treasurer~~ that the Chief Officers and Heads of Service are responsible for the collection and recovery of certain types of income, the Chief Officers and Heads of Service will be responsible for ensuring that appropriate recovery action (including legal action) is taken as necessary in accordance with legislation.
13. To adhere to the requirements of the cash handling and banking procedures.

## FINANCIAL SYSTEMS AND PROCEDURES

14. To agree the form of official receipts with the ~~Deputy Chief Executive and City Treasurer~~, to issue official receipts and maintain other relevant documentation for the collection of income and to securely hold receipts, tickets and other records of income for the appropriate period in accordance with guidance issued by the ~~Deputy Chief Executive and City Treasurer~~.
15. To ensure that at least two officers are present when post is opened so that money received by post is properly identified and recorded.
16. To ensure that at least two officers are present at the cashing up and completion of bank paying in records.
17. To ensure the security of cash handling and that there is a record of every transfer of money between officers of the Council. The receiving officer must sign for the transfer and the transferor must retain a copy.
18. To ensure that income is locked away and safeguarded against loss or theft and that the levels of cash held on premises does not exceed the approved amount.
19. To ensure that income is paid fully and promptly into the appropriate Council's bank account in the form in which it is received. Appropriate details should be recorded on the paying-in slips or on-line financial systems to provide an audit trail. Money collected and deposited must be reconciled to the bank account on a regular basis. All income received must be banked in full and not used to make other payments.
20. To ensure that when income is due to the Council and the payment is not to be made at the time, an invoice is raised promptly in a form approved by the ~~Deputy Chief Executive and City Treasurer~~. Where income relates to the provision of goods and services which are not part of a continuous supply, invoices must be raised no later than seven days after the completion of a chargeable transaction.
21. To seek to achieve payment by direct debit or standing orders in situations where regular payments are due to the Council. The ~~Deputy Chief Executive and City Treasurer~~ should approve general arrangements for receiving payment by direct debit or standing orders.
22. To consult the ~~Deputy Chief Executive and City Treasurer~~ about any new proposal to arrange to accept payments by credit cards, debit cards, internet or similar means.
23. To comply with the requirements of Payment Card Industry Data Security Standard (PCI DSS).
24. To ensure that invoices raised require payments to be made to the Council and that income is coded to the appropriate cost centre / capital project. Where appropriate, VAT must be separately identified on both the invoice and the coded income.
25. To advise the ~~Deputy Chief Executive and City Treasurer~~ of income due to the Council from contracts, leases or any other form of agreement.
26. To agree all debt recovery processes prior to legal action with the ~~Deputy Chief Executive and City Treasurer~~ and to pursue these promptly.

## FINANCIAL SYSTEMS AND PROCEDURES

27. To assist the ~~Deputy Chief Executive and City Treasurer~~ in collecting debts they have raised and to keep sufficiently detailed records to allow debts to be recovered through legal action and to reclaim VAT payments when bad debts are written off.
28. To request the writing off of irrecoverable debts in accordance with arrangements approved by the ~~Deputy Chief Executive and City Treasurer~~ (including arrangements determining which bad debt provisions or budgets write-offs are to be charged against).
29. To authorise the raising of a credit item, in consultation with the ~~Deputy Chief Executive and City Treasurer~~, if an error has been made in raising an invoice.
30. To require advance payments for goods, services or materials in cases involving income of less than £50 or more than £5,000, wherever possible and practicable. Advance payments should, ideally, be paid directly into the Council's bank account wherever possible. Where this is not possible, payment by Credit or Debit Card should be encouraged. Cash or cheques is acceptable but, where payment is in the form of a cheque, goods or services should not be supplied until after cleared funds have been received.
31. For Treasury Management purposes to notify the ~~Deputy Chief Executive and City Treasurer~~ of any significant amounts of income due to the Council and the likely timing of such receipts.
32. To notify the ~~Deputy Chief Executive and City Treasurer~~ of income not invoiced relating to the previous financial year as soon as possible after 31st March in line with the timetable determined by the ~~Deputy Chief Executive and City Treasurer~~.
33. To notify the ~~Deputy Chief Executive and City Treasurer~~ (as Money Laundering Reporting Officer) or Head of Internal Audit (as Deputy MLRO) of any suspicions of potential money laundering in accordance with the Council's Anti Money Laundering Policy.

## EXPENDITURE

### General/Purchase Orders

34. Formal Purchase Orders must be issued for all work, goods or services to be supplied to the Council, unless a specific exemption to this has been agreed with the ~~Deputy Chief Executive and City Treasurer~~. All orders placed should be on an official order form generated by SAP, or in an alternative format through other media specifically authorised by the ~~Deputy Chief Executive and City Treasurer~~.
35. Each Purchase Order must conform to any guidelines approved by the Full Council on corporate procurement policies and the standardisation of supplies and materials and wherever possible, corporately agreed contracts must be used.
36. Official purchase orders must not be raised for any personal or private purchases, nor must personal or private use be made of the Council's contracts.

## FINANCIAL SYSTEMS AND PROCEDURES

37. Verbal instruction purchase orders can only be given in cases of extreme urgency and must be confirmed by an official order, in writing via SAP or other authorised media, as soon as possible.
38. One of the preferred methods of payment by the Council will be by purchase card. Those vendors who do not accept purchase cards will be paid via **Exchequer Services** by cheque or through the banks' automated clearing system (BACS) or other electronic transfers of funds drawn on the Council's bank accounts by the ~~Deputy Chief Executive and~~ **City Treasurer**.
39. Cheque payments must have the electronic signature of the ~~Deputy Chief Executive and~~ **City Treasurer** and be crossed 'account payee only'.

### ~~Deputy Chief Executive and~~ City Treasurer

40. To approve the form of official purchase orders and associated terms and conditions.
41. To issue guidance relating to the retention and storage of transaction and payment documents.
42. To make payments from the Council's funds on the Chief Officers and Heads of Service's authorisation that the expenditure has been duly incurred in accordance with Financial Regulations.
43. To make payments, whether or not provision exists within the estimates, where the payment is specifically required by statute or is made under a court order.
44. To make payments to contractors on the receipt of architect's certificate, or appropriate Chief Officer and Heads of Service, which must include details of the value of work, retention money, amounts previously certified and amounts now certified. These payments to be made to contractors using authenticated receipts raised by services.
45. To provide advice and on making payments by the most economical means.
46. Not allocated.

### Chief Officers and Heads of Service

47. To ensure that all purchase orders for goods and services, manual or electronic, are in a form prescribed by the ~~Deputy Chief Executive and~~ **City Treasurer** and approved by the City Solicitor.
48. To hold retain and store all evidence relating to transactions and payments in accordance with guidance issued by the ~~Deputy Chief Executive and~~ **City Treasurer**.
49. To ensure that purchase orders are only used for goods and services provided to the Council.
50. To ensure that officers do not use official purchase orders to obtain goods or services for their private use.

## FINANCIAL SYSTEMS AND PROCEDURES

51. To ensure that only Cost Centre Managers / Project Officers and their substitutes set up in the Council's computerised financial management system (SAP), approve purchase orders. The Cost Centre Manager / Project Officers should be satisfied that the goods and services being ordered are appropriate and needed, that there is adequate budgetary provision and that quotations or tenders have been obtained if necessary. Best value principles should underpin the Council's approach to procurement. Value for money should always be achieved.
52. To ensure that all purchase orders state the nature, quantity, and agreed or estimated costs of the works, goods or services being ordered. The order must also state the address for deliveries.
53. Ensure that, where it is proposed to place an order with a vendor that is not currently registered as an approved Council vendor, the correct procurement procedures are followed and that a formal request to establish the vendor is submitted for consideration prior to placing any order with the vendor.
54. To ensure that receipt of works, goods and services are verified by placing a "goods receipt confirmation" in the Council's computerised financial management system (SAP - unless the ~~Deputy Chief Executive and City Treasurer~~ has specifically allowed an exception to this process). A different officer from the person who authorised the purchase order should carry out the confirmation that goods etc. have been received. Appropriate entries should then be made in inventories or stores records.
55. To ensure that, where appropriate, invoices are promptly and properly authorised for payment via the Council's computerised financial management system (SAP - or by the operation of other, authorised arrangements). Unless specifically agreed otherwise by ~~the Deputy Chief Executive and City Treasurer~~, all invoices should be sent by suppliers/vendors direct to the Council's Finance Shared Service Centre (FSSC).
56. To ensure that all invoices submitted by vendors to the Council for payment contain a valid Purchase Order number. All Purchase Orders must be created before the Council enters into a commitment to pay for any works, goods or services. All works, goods or services supplied to the Council must be formally confirmed as having been received by placing a goods received notification in the Council's computerised financial management system.
57. Payments should not normally be made if the Council has not received goods or services. However, if, in exceptional circumstances, it is deemed necessary to make a payment in advance of goods and services being supplied, agreement of the ~~Deputy Chief Executive and City Treasurer~~ must be obtained before the payment is made.
58. To ensure that the goods requisitioning process is carried out by a different officer to the Cost Centre Manager / Project Officers who authorises the issue of a purchase order.
59. To ensure that the service maintains, and reviews periodically, a list of Cost Centre Managers / Project Officers and substitutes with appropriate authority within the Council's computerised financial management system.

## FINANCIAL SYSTEMS AND PROCEDURES

60. It is acceptable for suppliers to submit invoices to the Finance Shared Service Centre direct in electronic forms via Email. In exceptional circumstances it will be acceptable for Directorate staff to submit invoices in a similar way but any invoices submitted in this manner must be certified and clearly endorsed with a statement that it is a copy and that payment has not already been made.
61. To encourage suppliers of goods and services to receive payment by the most economical means for the Council. However, payments made by direct debit must have the prior approval of the ~~Deputy Chief Executive and~~ **City Treasurer**.
62. To ensure that the service obtains best value from purchases by taking appropriate steps to obtain competitive prices for goods and services of the appropriate quality, with regard to the best practice guidelines issued by the ~~Deputy Chief Executive and~~ **City Treasurer**, which are in line with best value principles and contained in the Council's Contract Procurement Rules.
63. To comply with the Contract Procurement Rules for putting purchases, where appropriate, out to competitive quotation or tender. These procedures must comply with the Council's separate contract procedure rules covering:
- General issues;
  - Budget identification, specifications and evaluation;
  - Exceptions to the competitive process;
  - Chief Officers' and Heads of Service authority and delegation;
  - Thresholds for the relevant competitive processes, including EU thresholds;
  - Submission, receipt and opening of competitive bids, including late bids;
  - Evaluation;
  - Post tender negotiation;
  - Acceptance of tenders, bids or quotations;
  - Contract records, signing and sealing;
  - Issues relating to contracts in operation;
  - Miscellaneous.
64. With regard to contracts for construction and alterations to buildings and for civil engineering works, to document and agree with the ~~Deputy Chief Executive and~~ **City Treasurer** the systems and procedures to be adopted in relation to financial aspects, including certification of interim and final payments, checking, recording and authorising payments, the system for monitoring and controlling capital schemes and the procedures for validation of subcontractors' tax status.
65. All agreements for the supply of ICT, including software, hardware and telephony equipment must be authorised by the Director of ICT.
66. To ensure that purchase orders are used so that commitments incurred by placing purchase orders are shown against the appropriate budget allocation and taken into account in budget monitoring reports.



## FINANCIAL SYSTEMS AND PROCEDURES

67. To notify the ~~Deputy Chief Executive and City Treasurer~~ of outstanding expenditure relating to the previous financial year as soon as possible after 31st March in line with the timetable determined by the ~~Deputy Chief Executive and City Treasurer~~.
68. To ensure that loans, guarantees, leasing or rental arrangements are not entered into without prior agreement from the ~~Deputy Chief Executive and City Treasurer~~ (in respect of leasing the ~~Deputy Chief Executive and City Treasurer~~ and the Executive Member ~~for Finance and Human Resources~~ **with portfolio responsibility for Finance**). This is because of the potential impact on the Council's borrowing limits and revenue expenditure, to protect the Council against entering into unapproved credit arrangements and to ensure that value for money is being obtained.
69. To notify the ~~Deputy Chief Executive and City Treasurer~~ immediately of any expenditure to be incurred as a result of statute/court order where there is no budgetary provision.
70. To ensure that all appropriate payment records are retained and stored for the defined period, in accordance with guidance issued by the ~~Deputy Chief Executive and City Treasurer~~.
71. To notify the ~~Deputy Chief Executive and City Treasurer~~ or Head of Internal Audit of any suspicions of fraud, bribery or corruption in the award of contracts or payments to suppliers in accordance with the Council's Anti-Fraud Policy and Anti Bribery and Criminal Facilitation of Tax Evasion Policy.

## IMPREST ACCOUNTS

### ~~Deputy Chief Executive and City Treasurer~~

72. Imprest Accounts can be established in exceptional circumstances following approval from the ~~Deputy Chief Executive and City Treasurer~~.

### Chief Officers and Heads of Service

73. Responsible for ensuring the imprest account is regularly reconciled.

## TREASURY MANAGEMENT

74. The Council has adopted CIPFA's *Code of Practice for Treasury Management in Local Authorities*

### Full Council

75. Responsible for approving the Treasury Management Policy Statement setting out the matters detailed in CIPFA's *Code of Practice for Treasury Management in Local Authorities*.

### Executive

76. Responsible for proposing to Full Council the Treasury Management Policy Statement.



## FINANCIAL SYSTEMS AND PROCEDURES

### ~~Deputy Chief Executive and City Treasurer~~

77. Responsible for reporting to the Executive a proposed Treasury Management Strategy for the coming financial year at or before the start of each financial year.
78. Delegated responsibility for implementing and monitoring the Treasury Management Policy Statement.
79. To control all of the money in the hands of the Council as required by section 151 of the Local Government Act 1972.
80. To ensure that all investments and borrowings of money are made in the name of the Council or in the name of nominees approved by the Full Council.
81. Delegated responsibility to make all decisions on borrowing, investment or financing on behalf of the Executive, who is required to act in accordance with CIPFA's Code of Practice for Treasury Management in Local Authorities and the Council's Treasury Management policy statement and strategy.
82. To ensure that all securities that are the property of the Council or its nominees and the title deeds of all property in the Council's ownership are held in the custody of the appropriate Chief Officers and Heads of Service.
83. To act as the Council's registrar of stocks, bonds and mortgages and to maintain records of all borrowing of money by the Council.
84. The ~~Deputy Chief Executive and City Treasurer~~ is responsible for reporting to the Audit Committee twice each financial year on the activities of the treasury management operation and on the Executive's exercise of their delegated treasury management powers.
85. To monitor the performance of the Treasury Management function including receiving and reviewing the Treasury Management Annual Report and monitoring reports and other reports and to review and scrutinise Treasury Management Performance.

### Chief Officers and Heads of Service

86. To ensure that loans are not made to third parties and that interests are not acquired in companies, joint ventures or other enterprises without the approval of the Executive (or if contrary to the Budget and Policy Framework the approval of Full Council).
87. To notify the ~~Deputy Chief Executive and City Treasurer's~~ Treasury Management section when income due over £100,000 or non-routine payments (i.e. those not made through the Shared Service Centre including for example CHAPs payments) of over £100,000 are due so that effective cash flow management arrangements can be put in place.

## FINANCIAL SYSTEMS AND PROCEDURES

**BANKING**~~Deputy Chief Executive and City Treasurer~~

- 88. Responsible for the opening, operating and closing of bank accounts in the name of the Council.
- 89. To ensure that there are satisfactory arrangements in place for the ordering, storage and control all cheques drawn on the Council's main bank accounts.

Chief Officers and Heads of Service

- 90. Those who have control of their own bank accounts must work to arrangements approved by the ~~Deputy Chief Executive and City Treasurer~~, and must ensure that accounts do not become overdrawn.

**TRUST FUNDS AND FUNDS HELD FOR THIRD PARTIES**Chief Officers and Heads of Service

- 91. To arrange for all trust funds to be held, wherever possible, in the name of the Council. All officers acting as trustees by virtue of their official position shall deposit securities, etc. relating to the trust, with the ~~Deputy Chief Executive and City Treasurer~~, unless the deed otherwise provides.
- 92. To ensure that trust funds are operated within any relevant legislation and the specific requirements for each trust.
- 93. Where unofficial funds are held on behalf of third parties, to arrange for their secure administration, including an annual audit, and to maintain written records of all transactions in accordance with guidance issued by the ~~Deputy Chief Executive and City Treasurer~~.

## FINANCIAL SYSTEMS AND PROCEDURES

**CHAPTER 4 - ASSETS****GENERAL****Deputy Chief Executive and City Treasurer**

1. To ensure that an asset register is maintained. for all property, plant and equipment with a value in excess of £10,000.
2. To receive the information required for accounting, costing and financial records from Chief Officers and Heads of Service.
3. To calculate depreciation and other capital charges for all properties and maintain the asset accounts.
4. To ensure that property, plant and equipment and investment property is valued in accordance with the relevant Codes of Practice on Local Authority Accounting in the United Kingdom. This is the responsibility of the Strategic Director (Growth and Development).

**City Solicitor**

5. For the safe custody of title deeds.

**Strategic Director (Growth and Development)**

6. To keep a register of all land and buildings owned by the Council, other than properties managed by Strategic Housing and Residential Growth and made available for letting. The register will hold information about: the purpose for which the land/building is held; description; location and ordnance survey map reference; purchase details; the nature of the Council's interest; rents and any other charges payable; restrictive covenants; and any tenancies or other interests granted. This should also contain details on whether the asset has been opted to tax for VAT purposes.
7. Maintain a valuation of all of the land and property assets of the Council. A five-year rolling programme of assets to be valued must be maintained, having been agreed with the ~~Deputy Chief Executive and City Treasurer~~ and a minimum of 20% of assets must be re-valued each year. Information must also be provided on assets that have substantially increased or declined in value between the rolling programme of valuations. Information on asset valuations, purchases and sales must be provided to the ~~Deputy Chief Executive and City Treasurer~~ for inclusion in the asset register in accordance with the timetable set by the ~~Deputy Chief Executive and City Treasurer~~. These valuations must include the estimated lives of assets and details of any significant components.
8. To keep a record of properties managed by the Strategic Housing and Residential Growth and made available for letting - including details of valuations and stock condition etc. as required, and in accordance with Government guidance.

## FINANCIAL SYSTEMS AND PROCEDURES

### Chief Officers and Heads of Service

9. To ensure that records and assets are properly maintained and securely held.
10. To ensure the proper security and safe custody of all buildings and vehicles, equipment, furniture, stock, stores and other property belonging to the Council and under their control.
11. To ensure that contingency plans for the security of assets and continuity of service in the event of disaster or system failure are in place.
12. To ensure that all leased assets are identified, appropriately marked, maintained and a register kept to include location to ensure that they are available for return to lessors at the end of the lease period in accordance with the terms of the lease.
13. To ensure that lessees and other prospective occupiers of Council land are not allowed to take possession of, or enter, the land until a lease or agreement, in a form approved by the Chief Officers and Heads of Service, in consultation with the Strategic Director (Growth and Development) and City Solicitor, has been established as appropriate.
14. To ensure that no Council asset is subject to personal use by an employee without proper authorisation.
15. To ensure that the service maintains a register of moveable assets in accordance with arrangements defined by the ~~Deputy Chief Executive and City Treasurer~~.
16. To ensure that assets are identified, their location recorded and that they are appropriately marked and insured.
17. To consult the ~~Deputy Chief Executive and City Treasurer~~ in any case where security is thought to be defective or where it is considered that special security arrangements may be needed.
18. To ensure that a schedule is kept of the officers in their services who hold keys to safes and similar receptacles, and that keys are carried on the person of those responsible at all times; loss of any such keys must be reported to the Head of Audit and Risk Management as soon as possible.
19. To ensure that all employees are aware that they have a personal responsibility with regard to the protection and confidentiality of information, whether held in manual or computerised records. Information may be sensitive or privileged, or may possess some intrinsic value, and its disclosure or loss could result in a cost to the Council in some way.
20. To ensure that the Council's policies on information and internet security are complied with.
21. To ensure that the Council's policies and guidelines on security and crime prevention are followed.

## FINANCIAL SYSTEMS AND PROCEDURES

### INVENTORIES

22. To maintain adequate records of items of furniture, equipment, vehicle and plant, above £200 in value.
23. To carry out an annual check of all items on the inventory in order to verify location, review condition and to take appropriate action in relation to any surpluses or deficiencies, annotating the inventory accordingly. Attractive and portable items such as cameras and IT equipment should be identified with security markings as belonging to the Council.
24. If an item is lost, stolen or disposed of, this must be recorded against the entry in the inventory. Items lost or stolen must be promptly reported to the Insurance and Claims Service.
25. The Council's property should only be moved from Council premises for official purposes with the permission of an authorised officer and a record should be kept of all authorised removals.

### Stocks and stores

26. To make arrangements for the care and custody of stocks and stores in their service.
27. To ensure that adequate records are kept and that reasonable levels are maintained which are subject to a regular independent physical check. All discrepancies should be investigated and pursued to a satisfactory conclusion.

### Cash

28. To ensure cash holdings on premises are kept to a minimum.
29. To ensure that cash holdings do not exceed the maximum amount set by the ~~Deputy Chief Executive and~~ **City Treasurer**.
30. To ensure that cash handling is carried out in accordance with guidance issued by the ~~Deputy Chief Executive and~~ **City Treasurer**.
31. To ensure that cash held in any safe does not exceed the amount of the insurance limit for that safe.
32. To notify the Head of Internal Audit of cash lost or stolen immediately. Stolen cash, which is not covered by insurance, can only be written-off with the agreement of the ~~Deputy Chief Executive and~~ **City Treasurer**.
33. To ensure that under no circumstances are personal cheques cashed out of monies held on behalf of the Council.

### Lost Property

34. Any property left on Council premises and regarded as lost must be disposed of in accordance with procedures agreed with the ~~Deputy Chief Executive and~~ **City Treasurer** and after consultation with the City Solicitor.

## FINANCIAL SYSTEMS AND PROCEDURES

**ASSET DISPOSAL AND WRITE OFF PROCEDURES**Executive

35. Responsible for approving procedures for writing-off debts as part of the overall control framework of accountability and control.

Deputy Chief Executive and City Treasurer

36. To issue guidelines representing best practice for the disposal of assets.
37. To ensure appropriate accounting entries are made to remove the value of disposed assets from the Council's records and to include the sale proceeds if appropriate.

Chief Officers and Heads of Services

38. To authorise the **sale,** disposal and/or write-off of **redundant surplus or obsolete equipment,** furniture, fittings, **office** equipment, plant and machinery, **and stocks etc, subject to the agreement of or,** in accordance with guidance issued by the **Deputy Chief Executive and City Treasurer.**
39. Before **sale,** disposal **or write off** to check if the asset is subject to leasing arrangements. If the asset is leased, disposal must be in accordance with the terms of the lease.
- 40. All ICT equipment needs to be sold or disposed of securely in line with the data protection legislation and all data needs to be removed securely.**
- 410.** To ensure that any surplus **or obsolete** ~~plant, equipment vehicles,~~ furniture, **fittings, or office** equipment, **plant and machinery, stocks etc** is **sold or disposed of in a sustainable and economical way, which represents best value and/or serves the financial interests of the Council.** ~~sold by public tender or auction unless the financial interest of the Council is better served by disposal in some other way.~~
- 421.** Not to sell **or dispose of any** assets to an officer **or Member** of the Council without the approval of the **Deputy Chief Executive and City Treasurer.**
- 432.** To ensure that **any** income received for the **sale or** disposal of an asset is properly banked and coded.

# Section D

# Contract Procurement Rules

## SECTION E: Contract Procurement Rules

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## A BRIEF GUIDE TO CONTRACT PROCUREMENT RULES

These Contract Procurement Rules (issued in accordance with section 135 of the 1972 Local Government Act) are intended to promote good purchasing practice and public accountability and deter corruption.

Officers responsible for purchasing must comply with these Contract Procurement Rules. They lay down minimum requirements and a more thorough procedure may be appropriate for a particular contract, for example, if Rule 6.1 would normally require that quotes be obtained, it might be appropriate in particular circumstances to seek additional quotations in writing or tender submissions. For the purposes of these rules, where there is a requirement for communication to be in writing, this shall be deemed to include e-mail transmissions as well as hard copy.

Key considerations for officers engaged in purchasing works, goods and services include:

- Follow the rules if you purchase goods or services or order building work. Take all necessary legal, financial and professional advice.
- Declare any personal financial interest in a contract. Corruption is a criminal offence.
- Appraise the purchasing need and whether it would deliver Value for Money for the Council.
- Check whether there is an existing contract held by the Council, Crown Commercial Service agreement, GMCA or other Central Purchasing Body arrangements you can make use of before undergoing a competitive process.
- Keep bids confidential.
- Complete a written contract or Council order before the supply or works begin.
- Identify a contract manager with responsibility for ensuring the contract delivers as intended.
- Keep records of dealings with suppliers.
- Assess each contract afterwards to see how well it met the purchasing need and Value for Money requirements.

# SCOPE OF CONTRACT PROCUREMENT RULES

## 1. RELEVANT CONTRACTS

1.1 A Relevant Contract is any arrangement made by the Council (including schools) for the carrying out of works or for the supply of goods, materials or services. These include arrangements for:

- the carrying out of construction and engineering works
- the supply ~~or disposal~~ of goods
- concession contracts
- the hire, rental or lease of goods or equipment; and
- the delivery of services.

All Relevant Contracts and Framework Agreements must comply with these Contract Procurement Rules.

1.2 Relevant Contracts do not include:

- contracts of employment which make an individual a direct employee of the Council, or
- agreements regarding the acquisition, disposal, or transfer of land which do not form part of a wider transaction under which the Council procures works, goods or services.

## 2. BASIC PRINCIPLES

2.1 All purchasing ~~and disposal~~ procedures must:

- achieve Best Value and deliver Value for Money for public money spent
- be consistent with the highest standards of integrity
- ensure fairness in allocating public contracts
- comply with all legal requirements
- ensure that Non-Commercial Considerations (as defined in the Local Government 1988 and other relevant legislation) do not influence any Contracting Decision
- support the Council's corporate and departmental aims and policies
- comply with the Council's procurement policies and other relevant policies.

2.2 The Executive has power to waive any requirements within these Contract Procurement Rules for specific projects (other than the ones required by legislation), and any such decision may be a Key Decision.

## 3. OFFICER RESPONSIBILITIES

~~3.1~~ ~~3.1~~ —Officers

3.1.1 Officers responsible for purchasing ~~or disposal~~ must comply with these Contract Procurement Rules, Financial Regulations, the Code of Conduct and with all applicable legal requirements including:

- The Public Contracts Regulations 2015,
- The Concession Contracts Regulations 2016
- The Public Services (Social Value) Act 2012

3.1.2 Officers must:

- have regard to the Procurement Guidance and procedures, including any relevant Gateway or similar procedures
- check whether a suitable contract already exists before seeking to let another contract; where a suitable contract exists, this must be used unless there is an auditable reason not to
- keep the records required by Rule 16
- take all necessary legal, financial and professional advice.

3.1.3 When any employee either of the Council or of a service provider may be affected by any transfer arrangement, Officers must ensure that the Transfer of Undertakings (Protection of Employment) Regulations 2006 (TUPE) issues are considered and obtain from the City Solicitor and the Director **of Human Resources, Organisational Development & Transformation of HR and OD** advice before proceeding with inviting Tenders or Quotations.

3.2 Chief Officers

3.2.1 Chief Officers must:

- ensure that their staff comply with Rule 3.1
- keep registers of contracts completed by signature, rather than by the Council's seal (see Rule 17.3) and arrange their safekeeping on Council premises

3.2.2 At the start of each financial year, each Chief Officer shall prepare a Procurement Plan setting out the Contracts which their departments anticipate it will procure during that financial year, and where possible forthcoming years. This information must be shared with the Integrated Commissioning and Procurement team.

3.2.3 The delegated powers of Chief Officers and, where applicable, Chapter 3A Officers set out in these contract procurement rules may be exercised by other Officers authorised by the Chief Officer / Chapter 3A Officer with the delegated power to act on their behalf and in their name, provided that those

Officers report directly or indirectly to the Chief Officer / Chapter 3A Officer with the delegated power and that administrative procedures are in place to record the authorisation and to record and monitor decisions so taken.

- 3.3 The Officer must comply with the Code of Conduct and must not invite or accept any gift or reward in respect of the award or performance of any contract. It will be for the Officer to prove that anything received was not received corruptly. High standards of conduct are obligatory. Corrupt behaviour will lead to dismissal and is a crime under the Bribery Act 2010.
- 3.4 Officers shall comply with Section 117 of the Local Government Act 1972 in relation to the declaration of interest in contracts.

# PROCUREMENT PROCESS FOR ALL CONTRACT ACTIVITY

## 4. STEPS PRIOR TO PURCHASE

4.1 The Officer must appraise the purchase, in a manner commensurate with its complexity and value, and taking into account any Procurement Guidance from the Integrated Commissioning and Procurement Team (along with any relevant wider government guidance), by:

- satisfying themselves that they have the necessary authority to deal with the purchase and that there is budget provision for the purchase
- taking into account the requirements from any relevant internal or external review appraising the need for the expenditure and its priority defining the objectives of the purchase
- assessing the risks associated with the purchase and how to manage them
- considering what procurement method is most likely to achieve the purchasing objectives, including internal or external sourcing, partnering, packaging strategy and collaborative procurement arrangements with another local authority, government department, statutory undertaker or public service purchasing consortium
- consulting users as appropriate about the proposed procurement method, contract standards and performance and user satisfaction monitoring drafting the terms and conditions that are to apply to the proposed contract
- where the purchase is to be funded from mainstream capital or regarded as capital by the ~~Deputy Chief Executive and~~ **City Treasurer** it is submitted to the ~~Deputy Chief Executive and~~ **City Treasurer** for comment as soon as practicable
- setting out these matters in writing if the Total Value of the purchase ~~exceeds £25~~ **is £30,000 or more** (inclusive of VAT).

4.2 and by confirming that:

- there is member or delegated approval for the expenditure and the purchase accords with the approved policy framework and scheme of delegation as set out in the Constitution
- if the purchase is a Key Decision, all appropriate steps have been taken.

- 4.3 Prior to procurement the Officer must ensure that consideration has been given to whether the purchase fits within the commissioning strategy of the service, as set out in the service's Commissioning Forward Plan.
- 4.4 Social Value, including environmental priorities, must be considered in the procurement process, whether done through formal tender or by approval to purchase from a Chief Officer or by any other way (see the Council's Social Value Policy for more information). For contracts with a total value of **£2530,000** or more (inclusive of VAT), it must be clearly evidenced how a contract will deliver its social value commitments and how this will be monitored post contract award.
- 4.5 Specifications should not be approved or used in procurement without clear statements of requirements for performance measurement and monitoring. These should be approved by Chief Officers or their formal delegated Officers. Implementation plans should be developed in draft at specification stage and refined during the evaluation process so that officers can be clear with bidders how contract monitoring will work in practice.
- 4.6 Key stakeholders must be kept informed during the commissioning, procurement, and contract lifetime.

## 5. PRE-TENDER MARKET RESEARCH AND CONSULTATION

- 5.1 The Officer responsible for the purchase:
- may consult potential suppliers prior to the issue of the Invitation to Tender in general terms about the nature, level and standard of the supply, contract packaging and other relevant matters, provided this does not prejudice or favour any potential Candidate, but
  - must not seek or accept technical advice on the preparation of an Invitation to Tender or Quotation from anyone who may have a commercial interest in them, if this may prejudice the equal treatment of all potential Candidates or distort competition.

## 6. COMPETITION REQUIREMENTS FOR PURCHASE, DISPOSAL AND PARTNERSHIP ARRANGEMENTS

The Officer must calculate the Total Value. The Council must not enter into separate contracts nor select a method of calculating the Total Value in order to minimise the application of these Contract Procurement Rules.

All proposed contracts **abovewith** a Total Value of **£2530,000 or more** (inclusive of VAT) must be referred to the Integrated Commissioning and Procurement Team for consultation and advice and all contract information will need to be published.

The following procedures apply where there are no other procedures which take precedence. Other procedures may include agency agreements with government. If in doubt, Officers must seek the advice of the City Solicitor.

These procedures, as outlined below, are based on three broad mechanisms for procuring a contract, that are available to the Council:

- Undertake a tender or quotes exercise (clause 6.1)
- Call-off from an external or internal framework agreement, either by awarding directly to one provider (where the framework rules allow for a direct award) or by undertaking a further competition inviting members of the particular framework (clause 6.2)
- Award directly to one provider without a competitive exercise, which except for the smallest value contracts, is permitted only under specific circumstances (see clause 6.3)

## 6.1 Purchasing – Competition Requirements

6.1.1 Where the Total Value for a purchase is within the values in the first column below, the Award Procedure in the second column must be followed, except when calling-off from a framework, which is covered separately in clause 6.2.

Total Value (Including VAT)	Award Procedure
Up to £5,000	One oral Quotation (confirmed in writing where the Total Value exceeds £500)
£5,001 – <del>£25,000</del> <u>29,999</u>	<ul style="list-style-type: none"> <li>• Request at least three written Quotations; or</li> <li>• one written Quotation for consultancy services; or</li> <li>• under certain circumstances and only with advice from the Integrated Commissioning and Procurement Team, one written Quotation (otherwise referred to as direct award) for non-consultancy spend may be appropriate. See section 6.3 for more detail on this.</li> </ul>

<p>£<del>25,001</del><b>30,000</b> - Statutory UK Threshold</p>	<ul style="list-style-type: none"> <li>• At least three written Quotations; or</li> <li>• Invitation to Tender by advertisement to at least three Candidates; or</li> <li>• Under certain circumstances and only with advice from the Integrated Commissioning and Procurement Team, direct award may be appropriate. See section 6.3 for more detail.</li> </ul> <p>Officers must follow the advice of the Integrated Commissioning and Procurement Team on which option is most appropriate.</p> <p>The opportunity must be implemented through the Council's procurement portal for both Quotations or Tenders unless otherwise agreed by the Integrated Commissioning and Procurement team.</p> <p>All open Tenders will need to be advertised on the relevant UK Government websites within 24 hours of the opportunity being advertised in any other way.</p>
<p>Above Statutory UK Threshold</p>	<p>Statutory Procedure (where advertisement required under Statutory Procedure the opportunity must also be advertised on relevant UK Government <del>Contracts Finder</del> websites).</p>

6.1.2 Irrespective of Rule 6.1.1 Relevant Contracts and Framework Agreements that are subject to European Union grant funding requirements shall be advertised in accordance with published guidance.

6.1.3 Note many works contracts may follow what is known as a two-stage contracting approach. For the purposes of the above table, officers should consider the estimated total value of both elements of the contract (i.e. the pre-construction services agreement and the works contract).

## 6.2 Framework Agreements and Dynamic Purchasing Systems

6.2.1 Framework agreements and dynamic purchasing systems have different arrangements that apply to them.

6.2.2 The term of a Framework Agreement, which is subject to the Statutory Procedure must not exceed four years save in exceptional cases duly justified, as agreed with the Integrated Commissioning and Procurement Team and Legal Services.



- 6.2.3 Where a Framework Agreement is concluded with a single provider, contracts let under that Framework Agreement shall be awarded within the limits of the terms specified in the Framework Agreement.
- 6.2.4 Where a Framework Agreement is concluded with more than one provider, contracts based on that Framework Agreement shall be awarded in accordance with the terms of the framework which may include one or all of the following terms:
- (i) direct award without re-opening competition where all the terms governing the provision of the works/services/supplies concerned are set out in the Framework Agreement and the objective criteria for determining which provider shall perform the contract are identified in the Framework Agreement; or
  - (ii) where the Framework Agreement sets out all the terms governing the provision of the works/services/supplies concerned and where the Framework Agreement states that it may be used, partly by direct award and partly by reopening competition provided that the Framework Agreement sets out the objective criteria which will be used to determine whether a contract will be placed by a re-opening of competition or by direct award; or
  - (iii) where the Framework Agreement does not include all the terms governing the provision of the works/services/supplies concerned, by holding a further competition with the providers which are party to the Framework Agreement.
- 6.2.5 Where an external Framework Agreement is used to award a contract, the City Solicitor will need to be consulted and agree with the Framework's terms and conditions and to review the Call off Contract terms, and Integrated Commissioning and Procurement will need to ensure compliance with statutory requirements. Other advice, for example financial advice, may also be needed prior to terms and conditions being agreed. Before awarding a contract directly to one provider without following a further competition process, it needs to be ensured the particular Framework allows for a direct award.

### **6.3 Awarding a contract without going through competition**

- 6.3.1 In exceptional circumstances it may be appropriate to award a contract to a provider without going through a competitive exercise following the procedures set out in 6.1.1, or only inviting two Tenders or Quotes when three would normally be required. Integrated Commissioning and Procurement must be consulted and will advise on the suitability of such a procedure. For contracts with a value under the Statutory threshold, a direct award of a contract to a provider (or the seeking of only two Quotes and Tenders) is permitted, when one or more of the following apply where:

- goods/ services/ works are only obtainable from one provider and there is no other to allow genuine competition;
- the execution of works or the supply of goods or services are controlled by a statutory body;
- it would deliver Value for Money to the Council;
- the contract is for education, health or social care services, if it is considered in the Council's interests and to meet its obligations under relevant legislation;
- the execution of works or the supply of goods and services that are required so urgently as not to permit compliance with the requirements of competition;
- the contract is for security works and the publication of documents or details in the tendering process could prejudice the security of the Council and Manchester residents;

6.3.2 For contracts with a value over the Statutory Threshold, competition is required except in specific circumstances permitted in procurement regulations. Where these circumstances are met, the council may award contracts but only after having consulted with Integrated Commissioning and Procurement. Direct award through a relevant framework is permitted, where direct award is allowed for under the framework agreement, and where direct award can be justified, even if the value of the call-off is over the Statutory Threshold.

6.3.3 All direct awards - i.e., awards made without a going through a competitive process - must be fully documented with reasons recorded which demonstrate that the direct award is genuinely required.

6.3.4 All proposed direct award contracts **abovewith** a Total Value of **£2530,000 or more** (inclusive of VAT) must be referred to the Integrated Commissioning and Procurement team for consultation and advice and all contract information will need to be published.

6.3.5 All decisions on direct award must take into account:

- Probity
- Value for Money principles
- Social and Ethical Values

## 6.4 Register of Key Decisions

A Contract with a spending or saving of £500,000 or more and/or has a significant effect on two or more wards incurs a Key Decision. When this happens, the Key Decision Process must be followed, including prior publication on the Register of Key Decisions, along with any relevant Access to Information Rules. For more information on Key Decisions please see Part 4, Section B, Rule 15 of this constitution.

## 6.5 **Assets for Disposal**

~~6.5.1 Chief Officers and Heads of Service have responsibilities, as per Part 5, Section C of this Constitution, to ensure that any surplus plant, vehicles, furniture or equipment is sold by public tender or auction unless the financial interest of the Council is better served by disposal in some other way (e.g. quotations), which would require approval from the Deputy Chief Executive and City Treasurer.~~

~~6.5.2 All ICT equipment need to be disposed of securely in line with the data protection legislation and all data need to be removed securely.~~

~~6.5.3 The officers that have authority to make purchasing decisions (see section 15) also have authority for approving disposals.[...]~~

## 6.6 **Providing Services to External Purchasers**

6.6.1 The ~~Deputy Chief Executive and~~ **City Treasurer** must be consulted where contracts to work for other organisations are contemplated and any bid, tender and contract for work shall be made in accordance with the Financial Regulations.

## 6.7 **Collaborative and Partnership Arrangements**

6.7.1 Collaborative and partnership arrangements where services/goods/works are supplied to the Council are subject to UK procurement legislation and must follow these Contract Procurement Rules. If in doubt, Officers must seek the advice of the City Solicitor and the Integrated Commissioning and Procurement Team.

## 7. **ADVERTISING**

### 7.1 **Identifying and Assessing Potential Candidates**

7.1.1 Officers shall ensure that in respect of a proposed Relevant Contracts or Framework Agreement, a sufficiently accessible advertisement **(as well as any additional advertisement required by applicable UK legislation)** is published. Generally, the greater the interest of the arrangement to potential bidders, the wider the coverage of the advertisement should be. Examples of where such advertisements may be placed include:

- the Council's website
- portal websites specifically created for contract advertisements (such as the UK Government Contracts Finder website)
- a local or national newspaper or specialist publication
- national official journals, or Find a Tender (even if there is no requirement within the Statutory Procedure).

7.1.2 Officers are responsible for ensuring that all Candidates for a Relevant Contract or Framework Agreement have necessary:

- economic and financial standing, and
- technical ability and capacity

to fulfil the requirements of the Council.

## 8. STANDARDS AND AWARD CRITERIA

8.1 The Officer must ascertain the standards necessary to properly describe the subject matter of the contract having regard to any relevant British or international standards.

8.2 The Officer must define Award Criteria that are appropriate to the purchase and designed to secure an outcome giving Value for Money for the Council. The basic criteria shall be **criteria set by applicable UK legislation or, where there are no such criteria**, one of the following:

- ‘most economically advantageous’ where considerations of quality, price, social value and other relevant factors apply or
- ‘lowest price’ where payment is to be made by the Council (provided that lowest price is not permissible under the Statutory Procedure) or
- ‘highest price’ if payment is to be received

If the first criterion is adopted, it must be further defined by reference to sub-criteria which may refer only to relevant considerations. These may include price, service, quality of goods, running costs, technical merit, previous experience, delivery date, cost effectiveness, quality, relevant environmental considerations, relevant community benefit and social considerations (where permitted by UK Law and in accordance with the Council’s Ethical Procurement Policy) aesthetic and functional characteristics (including security and control features), safety, after-sales services, technical assistance and any other relevant matters. Supplier suitability assessment questions may also be asked by the Council provided such are relevant to the subject matter of the procurement, are proportionate and are used to assess whether bidders meet requirements or minimum standards of suitability, capability, legal status and financial standing. Officers shall have regard to the Procurement Guidance when defining the Award Criteria.

8.3 Award Criteria must not include:

Non-Commercial Considerations that are prohibited under UK law and must be non discriminatory

## 9. INVITATIONS TO TENDER/QUOTATIONS

9.1 The Invitation to Tender and Quotation shall state that the Council reserves the right to reject a Tender that is not received by the date and time stipulated in the Invitation to Tender. No Tender delivered in contravention of this requirement shall

be considered without the ~~Deputy Chief Executive and City Treasurer's~~ prior approval.

9.2 All Invitations to Tender shall include the following:

9.2.1 A specification that describes the Council's requirements in sufficient detail to enable the submission of competitive offers.

9.2.2 A requirement for tenderers to declare that the Tender / Quotation content, price or any other figure or particulars concerning the Tender / Quotation have not been disclosed by the tenderer to any other party (except where such a disclosure is made in confidence for a necessary purpose).

9.2.3 A requirement for tenderers to complete fully and sign all relevant Tender / Quotation documents including a form of Tender and certificates relating to canvassing and non-collusion.

9.2.4 Notification that Tenders / Quotations are submitted to the Council on the basis that they are compiled at the tenderer's expense.

9.2.5 A description of the Award Procedure and, unless defined in a prior advertisement, a definition of the Award Criteria in objective terms and if possible, in descending order of importance.

9.2.6 Unless the Tender is sought in accordance with an electronic tendering process which is approved by the ~~Deputy Chief Executive and City Treasurer~~ and City Solicitor and/or which is permitted by the Invitation to Tender documents, a statement that any Tenders submitted by electronic means shall not be considered.

9.2.7 The terms and conditions of contract that will apply (see Rule 17).

9.3 The Invitation to Tender or Quotation may state that the Council is not bound to accept any Quotation or Tender.

## **10. SHORTLISTING**

10.1 Shortlisting for contracts or agreements which are not subject to the Statutory Procedure may only be undertaken where permitted by UK law. Special rules apply to Shortlisting for contracts or agreements which are subject to the Statutory Procedure and these are set out in the Statutory Procedure.

## **11. SUBMISSION, RECEIPT AND OPENING OF TENDERS/QUOTATIONS**

11.1 Candidates must be given an adequate period in which to prepare and submit a proper Quotation or Tender, consistent with the complexity of the Council's requirement. Normally at least four weeks should be allowed for submission of Tenders. The Statutory Procedure lays down specific time periods (see guidance in the Procurement Guidance).

11.2 All Tenders must be returned in accordance with the Invitation to Tender.

11.3 Tenders received by any electronic means other than through the procurement portal used by the Council (e.g. e-mail) must be rejected, unless they have been sought in accordance with an electronic tendering process which is approved by the ~~Deputy Chief Executive and~~ **City Treasurer** and City Solicitor and/or they are permitted by the Invitation to Tender documents,

11.4 Each Tender must be:

- suitably recorded so as to verify the date and precise time it was received
- adequately protected on receipt to guard against amendment of its contents.

## **12. CLARIFICATION PROCEDURES**

12.1 Providing clarification of an Invitation to Tender to potential or actual Candidates or seeking clarification of a Tender, whether in writing or by way of a meeting, is permitted. However, discussions with tenderers after submission of a Tender and before the award of a contract with a view to obtaining adjustments in price, delivery or content (i.e. post-tender negotiations) are the exception rather than the rule. In particular, they must not be conducted in a Statutory Procedure where this might distort competition, especially with regard to price.

## **13. EVALUATION**

13.1 Apart from the debriefing required or permitted by these Contract Procurement Rules, the confidentiality of Quotations, Tenders and the identity of Candidates must be preserved at all times and information about one Candidate's response must not be given to another Candidate.

13.2 Contracts must be evaluated and awarded in accordance with the Award Criteria. During this process, Officers shall ensure that submitted Tender prices are compared with any pre-tender estimates and that any discrepancies are examined and resolved satisfactorily.

13.3 The arithmetic in compliant Quotations / Tenders must be checked. If arithmetical errors are found they should be notified to the tenderer, who should be requested to confirm or withdraw their Tender. Alternatively, if the rates in the Tender / Quotation, rather than the overall price, were stated within the Invitation to Tender / Quotation as being dominant, an amended Tender / Quotation price may be requested to accord with the rates given by the tenderer.

## **14. POST TENDER/QUOTES NEGOTIATIONS**

14.1 Post tender negotiations under the Statutory Procedure can only be undertaken on the grounds allowing for such specified in the Statutory Procedure. For all other procedures if post tender/quotes negotiations are necessary after receiving quotes, a single-stage Tender process or after the second stage of a two-stage Tender process, then such negotiations shall only be undertaken with the bidder who is identified as having submitted the most economically advantageous Quote or Tender and after all unsuccessful Candidates have been informed. During negotiations quotes or tendered rates and prices shall only be adjusted in respect



of a corresponding adjustment in the scope or quantity included in the Quote or Tender documents. Officers appointed by the Chief Officer to carry out post-tender negotiations should ensure that there are recorded minutes of all negotiation meetings and that both parties agree actions in writing.

- 14.2 Post tender/quote negotiation must only be conducted in accordance with the guidance issued by the City Solicitor who, together with the Integrated Commissioning and Procurement Team, must be consulted wherever it is proposed to enter into post tender negotiation. Negotiations must be conducted by a team of at least two officers, one of whom must be from a division independent to that leading the negotiations.
- 14.3 Where post tender/quote negotiation would result in fundamental changes to a Relevant Contract or Framework Agreement (such as to the specification or price) which would be likely to distort competition the contract or agreement must not be awarded but a request for new quotes or a re-tender must be conducted.

## **15. AWARD OF CONTRACTS AND FRAMEWORK AGREEMENTS AND DEBRIEFING CANDIDATES**

- 15.1 Chief Officers and Chapter 3A Officers may accept Quotations and Tenders received in respect of proposed contracts and Framework Agreements, provided they have been sought and evaluated fully in accordance with these Contract Procurement Rules and, in respect of proposed contracts and Framework Agreements that are expected to exceed £250,000 (relating to either expenditure or income) with the approval of the ~~Deputy Chief Executive and~~ **City Treasurer** and, in the case of Chapter 3A Officers, the approval of the Chief Officer to whom the Chapter 3A Officer reports. In all cases budget provision must be available.
- 15.2 For contracts and Framework Agreements subject to the Statutory Procedure, the Officer must notify all Candidates simultaneously and as soon as possible of the intention to award the contract or Framework Agreement to the successful Candidate and provide information required by the Statutory Procedure specifying the name(s) of the successful Candidate(s), the award criteria and the reasons for the decision including the score of the Candidate being debriefed and the successful Candidate(s) and the characteristics and relative advantages of the successful tender. The Officer must provide unsuccessful Candidates with a period of at least ten days in which to challenge the decision before the Officer awards the contract or Framework Agreement. If the decision is challenged by an unsuccessful Candidate then the Officer shall not award the contract or Framework Agreement until the advice of the City Solicitor's department and the Integrated Commissioning and Procurement Team has been obtained. The statutory requirement to notify candidates of the intention to award a contract or Framework Agreement in this Rule 15.2 does not apply to:
- contracts and Framework Agreements that are not subject to the full application of the Statutory Procedure (such as social and other specific services listed under the Statutory Procedure, or where the value is under the Statutory Threshold) and

- contracts subsequently called off and awarded based on a Framework Agreement that was let in accordance with the Statutory Procedure

15.3 If a Candidate requests in writing the reasons for a Contracting Decision relating to an unsuccessful Quotation or Tender it has submitted, the Officer must give in writing the name(s) of the successful Candidate(s), the award criteria and the reasons for the decision including the score of the candidate being debriefed and the successful Candidate(s) and the characteristics and relative advantages of the successful tender.

15.4. Requirements for contract reports in relation to direct awards as describe in section 6.3:

15.4.1. Where the Total Value is likely to exceed £50,000 or where the proposed arrangement is estimated to exceed £50,000 in income to the Council, written approval must be given by the ~~Deputy Chief Executive and City Treasurer~~ and the Chief Officer to whose service the contract relates to direct award a contract. Approval will be subject to the Directorate satisfying one or more of the items listed in 6.3.1 of this document.

15.4.2. Where the Total Value is likely to exceed the Statutory UK Threshold the ~~Deputy Chief Executive and City Treasurer~~ and other Chief Officers have no delegated powers. None of the items listed in 6.3.1 can be used if the Total Value exceeds the Statutory UK Threshold.

## 16. RECORDS

16.1 Where the Total Value is less than ~~£2530,000~~ **£30,000** (inclusive of VAT), the following records must be kept:

- a unique reference number for the contract and the title of the contract
- invitations to quote and Quotations
- a record of any Contracting Decision and the reasons for it including the evaluation of the Quotation or Tender
- written records of communications with the successful contractor or an electronic record if a written record of the transaction would normally not be produced.

16.2 Where the Total Value ~~exceeds £25is~~ **£30,000 or more** (inclusive of VAT) the Officer must record:

- a unique reference number for the contract and the title of the contract
- the method for obtaining bids (see Rule 6.1)
- any Contracting Decision and the reasons for it



- the Award Criteria in descending order of importance
- Quote or Invitation to Tender documents sent to and Quote or Tender documents received from Candidates
- pre-tender market research (if any)
- clarification and post-tender negotiation (to include minutes of any meetings)
- the contract documents
- post-contract evaluation and monitoring
- communications with Candidates and with the successful contractor throughout the period of the procurement.
- record and keep the approval of specification (including Social Value and Contract Monitoring arrangements) by the Chief Officer.
- **any other records and accompanying Notices as required by regulations.**

16.3 Records required by this rule must be kept for six years after the end of the contract.

16.4 Relevant information for all contracts with a Total Value of more than £**2530,000** (inclusive of VAT) will need to be published on Contracts Finder, or any other required government website.

## CONTRACT AND OTHER FORMALITIES

### 17. CONTRACT DOCUMENTS

#### 17.1 Relevant Contracts

17.1.1 All Relevant Contracts ~~that exceed £25~~ **with a value of £30,000 or more** (inclusive of VAT) shall be in writing.

17.1.2 All Relevant Contracts, irrespective of value, shall clearly specify:

- what is to be supplied (i.e. the works, materials, services, matters or things to be furnished, had or done)
- the provisions for payment (i.e. the price to be paid and when)
- the time, or times, within which the contract is to be performed
- the provisions for the Council to terminate the contract.

17.1.3 The Council's standard terms and conditions as defined by the City Solicitor, or:

- standard contract forms issued by a relevant professional body; or
- when appropriate, officers working in formal partnership arrangements with the NHS should comply with NHS forms for NHS contracts;

must be used wherever possible. Agreement from the City Solicitor must be sought if any variation to such terms and conditions.

17.1.4 In addition, every contract or agreement must also state clearly as a minimum:

- that the contractor may not assign or sub-contract without prior written consent
- any insurance requirements
- health and safety requirements
- data protection requirements, if relevant
- Equality Act 2010 requirements
- anti-bribery compliance
- Freedom of Information Act requirements
- a right of access to relevant documentation and records of the contractor for monitoring and audit purposes if relevant.

- that the Council shall pay the contractor and the contractor shall pay its subcontractors within 30 days of an undisputed invoice

17.1.5 The advice of the City Solicitor must be sought for the following Relevant Contracts or Framework Agreements:

- those involving leasing arrangements
- where it is proposed to use a supplier's own terms or
- those that are complex in any other way.

## 17.2 Contract Formalities

17.2.1 Agreements shall be completed as follows:

Total Value (inclusive of VAT)	Method of completion	By
Up to <del>£25,000</del> <u>29,999.99</u>	One Signature	Authorised Signatory (see definitions)
<del>£25,000</del> <u>30,000</u> - £74,999	Two signatures or sealing	Two Authorised Signatories (see definitions) or for Sealing see Rule 17.3
£75,000 - £149,999	Sealing	See Rule 17.3
Above £150,000 AND construction and related professional service appointments	Sealing	See Rule 17.3

17.2.2 All contracts must be concluded formally in writing before the supply, service or construction work begins, except in exceptional circumstances.

## 17.3 Sealing

17.3.1 Where contracts or agreements are completed by each side as a deed, such contracts shall be executed by the fixing of the Council's seal and must be witnessed by an Authorised Signatory. The affixing of the Council's seal and the Authorised Signatory signature shall be arranged by the City Solicitor's ~~Department~~Division,

17.3.2 Every Council sealing will be ~~consecutively~~uniquely numbered, recorded and signed by ~~the~~a person ~~witnessing~~authorised by the ~~seal~~City Solicitor.

17.3.3 A contract must be sealed where:

- the Council may wish to enforce the contract more than six years after its end
- the price paid or received under the contract is a nominal price and does not reflect the value of the goods or services
- there is any doubt about the authority of the person signing for the other contracting party
- the value is exceeding £75,000.

## 18. BONDS AND ~~PARENT COMPANY~~ GUARANTEES

18.1 The Officer must consult the ~~Deputy Chief Executive and City Treasurer~~ about whether a ~~Parent Company~~ Guarantee is necessary when a Candidate ~~is a subsidiary of~~has a parent company or other companies and:

- the Total Value exceeds £250,000, or
- selection and/or award is based on evaluation of the parent company or other group, or
- there is some concern about the stability of the Candidate.

18.2 The Officer must consult the ~~Deputy Chief Executive and City Treasurer~~ about whether a Bond is needed:

- where the Total Value exceeds £1,000,000, or
- where it is proposed to make stage or other payments in advance of receiving the whole of the subject matter of the contract or there is concern about the stability of the Candidate.

## CONTRACT MANAGEMENT

### 19. MANAGING CONTRACTS

- 19.1 Heads of Service in sponsoring departments are to name contract managers for all new contracts. All contracts must have a Council contract manager for the entirety of the contract.
- 19.2 Contract managers must follow the procedures set out in the Council's Procurement Guidance.
- 19.3 The Contract Management Standards set out a governance framework with the roles and responsibilities of all involved in contract management decisions during each phase of the commissioning and contract management lifecycle.
- 19.4 Specifically executive members should be kept informed at each stage of the commissioning and contract management stages as set out in the Commissioning and Contract Management Standards.

### 20. RISK ASSESSMENT AND CONTINGENCY PLANNING

- 20.1 A business case must be prepared for all procurements with a potential value over the Statutory Threshold. Provision for resources for the management of the contract, for its entirety, must be identified in the business case.
- 20.2 For all contracts with a value of over £75,000, contract managers must consider maintaining a risk register during the contract period undertake appropriate risk assessments and for identified risks ensure contingency measures are in place.

### 21. CONTRACT MONITORING, EVALUATION AND REVIEW

- 21.1 The ~~Deputy Chief Executive and~~ **City Treasurer** may require that a Council-developed Gateway review process may be applied to all contracts deemed to be High Risk, High Value, or High Profile. This process must be applied at key stages of major procurements.
- 21.2 During the life of the contract, the Officer must monitor in respect of:
- performance, including social value
  - compliance with specification and contract
  - cost
  - user satisfaction and risk management.

### 22. CONTRACT EXTENSIONS, VARIATIONS/ OR MODIFICATIONS ~~OR NOVATION~~

- 22.1. Where provision is made for an extension within the contract where the total value of the contract including the extension is below £250,000, this should be approved by the appropriate Officer, provided that budget provision is available. Where provision is made for an extension in the contract and where the total value of the

contract including the extension is £250,000 or above, approval for extension shall be referred to the ~~Deputy Chief Executive and~~ **City Treasurer** and the Chief Officer to whose service the contract relates, provided that budget provision is available.

- 22.2. An options appraisal must be undertaken to determine if it represents Value for Money to extend the contract and any approval required must be sought in a timely manner.
- 22.3. No extension shall be made until funding has been secured in accordance with the Council's Financial Regulations or any other similar requirement.
- 22.4. A contract with the provision to extend may be extended before the expiry date of the contract where it is in accordance with its original terms and conditions (which must expressly allow for extension) and where an extension delivers Value for Money.
- 22.5. For contracts below the statutory thresholds, a new procurement will be required if there is a variation which is a substantial variation and a variation shall be considered substantial which where one or more of the following conditions is met:
- the variation introduces new conditions which had they been part of the original procurement procedure would have allowed other candidates to be selected to bid or the contract to be awarded to another tenderer
  - the variation changes the economic balance in favour of the contractor
  - the variation extends the scope of the contract considerably
  - the variation makes the contract materially different in character from the contract initially entered into
- 22.6. For contracts above the statutory thresholds, in accordance with the relevant legislation, contracting authorities may make contract modifications without the need of a new procurement, but always after consulting with the Integrated Commissioning and Procurement Team. Approval for the modification shall be referred to the ~~Deputy Chief Executive and~~ **City Treasurer** and the Chief Officer to whose service the contract relates. The relevant authorised signatories (as set out in 17.2.1) will need to sign or seal the modification. All contract modifications must be carried out within the scope of the original contract and must not materially affect or change the contract.

## SUMMARY OF APPROVALS, THRESHOLDS AND CONTRACT FORMALITIES

Total Value (inclusive of VAT)	Who May Approve to Proceed	Award Procedure	Who May Approve contract award	Method of Contract Completion
Up to £5,000	Chief Officers and Chapter 3A Officers (see 15.1)	One oral Quotation (confirmed in writing where the Total Value exceeds £500)	Chief Officers and Chapter 3A Officers (see 15.1)	One signature by Authorised Signatory (see 17.2.1)
£5,001–£25,000	Chief Officers and Chapter 3A Officers (see 15.1)	At least three written Quotations or one quotation for consultancy services or Direct Award (see 6.2)	Chief Officers and Chapter 3A Officers (see 15.1)	One signature by Authorised Signatory (see 17.2.1)
£25,001– Statutory UK Threshold	Chief Officers and Chapter 3A Officers (see 15.1) For total value over £250,000 need the agreement of the Deputy Chief Executive and City Treasurer and relevant Chief Officer	At least three written Quotations Or Invitation to Tender by inviting at least three providers or Direct Award (see 6.2)	Chief Officers and Chapter 3A officers (see 15.1) For total value over £250,000 need the agreement of the Deputy Chief Executive and City Treasurer and relevant Chief Officer	Two signatures by Authorised Signatory (see 17.2.1) or sealing (see 17.3)

Above Statutory UK Threshold	<del>Deputy Chief Executive and City Treasurer and relevant Chief Officer</del>	<del>Statutory Procedure</del>	<del>Deputy Chief Executive and City Treasurer and relevant Chief Officer</del>	<del>Sealing (see 17.3)</del>
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## DEFINITIONS

“Approving Officer”	An officer referred to and/or identified in Chapters 2 and 3A of Part 3 (scheme of delegation) of the Constitution
Authorised Signatory	An officer authorised by the City Solicitor, a Chief Officer or a Chapter 3A Officer, in accordance with the Council’s constitution to sign a contract or (only in the case of an officer authorised by the City Solicitor) the Council’s seal.
Award Criteria	The criteria by which the successful Quotation or Tender is to be selected (see further Rules 8 and 9.2.5).
Award Procedure	The procedure for awarding a contract.
Best Value	The duty, which Part I of the Local Government Act 1999 places on local authorities, to secure continuous improvement in the way in which functions are exercised, having regard to a combination of economy, efficiency and effectiveness as implemented by the Council.
Candidate	Any person who applies for, asks or is invited to submit a Quotation or Tender.
Central Purchasing Body	Means a contracting authority which provides centralised purchasing activities and which may also provide ancillary purchasing activities
Chapter 3A Officers	The Officers set out in Chapter 3A of Part 3, Section F of the Constitution.
Chief Officers	The Officers defined as such in Part 8 of the Constitution.
City Solicitor	As identified in the Constitution.
Code of Conduct	The code of conduct for employees as set out from time to time in the Constitution.
Commercial Board	The group of Officers that meets regularly to consider procurement issues on a corporate basis.
Committee	A committee which has power to make decisions for the Council, for example a joint committee with another local authority, but not a scrutiny committee.
Constitution	The constitutional document approved by the Council of which those Contract Procurement Rules form part issued under Section 9P of the Local Government Act 2000.

Consultant	Specialist advisers engaged to provide services to the Council.
Contracting Decision	Any of the following decisions: <ul style="list-style-type: none"> <li>• withdrawal of Invitation to Tender</li> <li>• whom to invite to submit a Quotation or Tender</li> <li>• shortlisting</li> <li>• award of contract or Framework Agreement</li> <li>• any decision to terminate a contract.</li> </ul>
Corporate Contract	A contract or agreement let by the Council's Integrated Commissioning and Procurement Team to support the Council's aim of achieving Value for Money.
<del>Deputy Chief Executive and City Treasurer</del>	The Council's <del>Deputy Chief Executive and City Treasurer</del> or such other Officer as may be designated <del>Deputy Chief Executive and City Treasurer</del> by the Council.
Executive	The Council's Executive as defined in the Constitution.
Financial Regulations	The Council's financial regulations outlining Officer responsibilities for financial matters prepared by the <del>Deputy Chief Executive and City Treasurer</del> and becoming part of the Constitution.
Framework Agreement	An agreement between one or more authorities and one or more economic operators, the purpose of which is to establish the terms governing contracts to be awarded during a given period, in particular with regard to price and, where appropriate, the quantity envisaged.
Government Procurement Agreement	The successor agreement to the General Agreement on Trade and Tariffs. The main signatories other than those in the European Economic Area are the USA, Canada, Japan, Israel, South Korea, Switzerland, Norway, Aruba, Hong Kong, China, Liechtenstein and Singapore.
<u>Guarantee</u>	<u><b>A contract which binds the parent or group company of a contracting party whereby if the contracting party fails to do what it has promised under a contract with the Council, the Council can require the parent or group company to do so instead.</b></u>
Head of Integrated Commissioning and Procurement	The officer with responsibility for leading the Integrated Commissioning and Procurement Team.

High Profile	A high-profile purchase is one that could have an impact on functions integral to Council service delivery should it fail or go wrong.
High Risk	A high-risk purchase that is in the opinion of the <del>Deputy Chief Executive and</del> <b>City Treasurer</b> one which presents the potential for substantial exposure on the Council's part should it fail or go wrong.
High Value	A high-value purchase is where the value exceeds the Statutory Threshold values.
Integrated Commissioning and Procurement Team	The Council's central procurement unit charged with providing strategic direction and advice to secure Value for Money in the Council's procurement activities.
Invitation to Tender	Invitation to tender documents in the form required by these Contract Procurement Rules.
Key Decision	Decisions that are defined as key decisions in the Access to Information Rules in Part 4 of the Constitution.
Non-Commercial Considerations	<p>(a) The terms and conditions of employment by contractors of their workers or the composition of, the arrangements for the promotion, transfer or training of or the other opportunities afforded to, their workforces ('workforce matters').</p> <p>(b) Whether the terms on which contractors contract with their sub-contractors constitute, in the case of contracts with individuals, contracts for the provision by them as self-employed persons of their services only.</p> <p>(c) Any involvement of the business activities or interests of contractors with irrelevant fields of government policy.</p> <p>(d) The conduct of contractors or workers in industrial disputes between them or any involvement of the business activities of contractors in industrial disputes between other persons ('industrial disputes').</p> <p>(e) The country or territory of origin of supplies to, or the location in any country or territory of the business activities or interests of, contractors.</p> <p>(f) Any political, industrial or sectarian affiliations or interests of contractors or their directors, partners or</p>

	<p>employees.</p> <p>(g) Financial support or lack of financial support by contractors for any institution to or from which the authority gives or withholds support.</p> <p>(h) Use or non-use by contractors of technical or professional services provided by the authority under the Building Act 1984 or the Building (Scotland) Act 1959.</p> <p>Workforce matters and industrial disputes, as defined in paragraphs (a) and (d), cease to be Non-Commercial Considerations to the extent necessary or expedient to comply with Best Value; or where there is a transfer of staff to which the Transfer of undertakings (Protection of Employment) Regulations 2006 (TUPE) may apply.</p>
Officer	The Officer designated by the Chief Officer to deal with the contract in question.
Parent Company Guarantee	A contract which binds the parent of a subsidiary company whereby if the subsidiary company fails to do what it has promised under a contract with the Council, the Council can require the parent company to do so instead.
Procurement Plan	A plan setting out timelines and milestones for future procurements
Procurement Guidance	Any guidance documents issued from time to time by the <del>Deputy Chief Executive and</del> <b>City Treasurer</b> and/or Integrated Commissioning and Procurement Team that support the implementation of these contract procurement rules. The guidance is available on the Council's intranet.
Quotation / Quote	A Candidate's offer submitted in response to a Council Invitation to Quote (without the formal issue of an Invitation to Tender).
Relevant Contract	Contracts to which these contract procurement rules apply (see Rule 4).
Shortlisting	The process of selecting Candidates who are to be invited to quote or bid or to proceed to final evaluation.
Statutory Procedure	The procurement procedure required by UK law for goods, works and services where the Total Value exceeds the Statutory Threshold.

Statutory Threshold	The value(s) at which the relevant UK public procurement law applies.
Tender	A Candidate's offer submitted in response to a Council Invitation to Tender.
Total Value	<p>The whole of the value (inclusive of VAT) or estimated value (in money or equivalent value) calculated as follows:</p> <ul style="list-style-type: none"> <li>(a) where the contract is for a fixed period, by taking the total price to be paid or which might be paid during the whole of the period</li> <li>(b) where the purchase involves recurrent transactions for the same type of item, by aggregating the value of those transactions in the coming 12 months</li> <li>(c) where the contract is for an uncertain duration, by multiplying the monthly payment by 48</li> <li>(d) in the case of framework agreements and dynamic purchasing systems, the maximum estimated value of all contracts envisaged for the total term of the framework agreement or the dynamic purchasing system</li> </ul>
TUPE/Transfer of Undertakings (Protection of Employment) Regulations 2006 (SI 2006 No.246)	<p>Subject to certain conditions, the regulations applying where responsibility for the delivery of works or services for the authority is transferred from one organisation (e.g. private contractor, local authority in-house team) to another (e.g. following a contracting out or competitive tendering process) and where the individuals involved in carrying out the work are transferred to the new employer. These regulations seek to protect the rights of employees in such transfers, enabling them to enjoy the same terms and conditions, with continuity of employment, as existed with their former employer. Broadly, TUPE regulations ensure that the rights of employees are transferred along with the business or service.</p>
Value for Money	the most economically advantageous proposal that combines goods or services that fully meet the needs, with the level of quality required, delivery at the time required, and at an appropriate price.

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PLANNING PROTOCOL FOR MEMBERS

## Section B

# Planning Protocol for Members and Officers

## PLANNING PROTOCOL FOR MEMBERS

### SECTION B: PLANNING PROTOCOL FOR MEMBERS AND OFFICERS

## PLANNING PROTOCOL FOR MEMBERS AND OFFICERS

### Introduction

1. The aim of this Planning Protocol is to ensure that in the planning process there are no grounds for suggesting that a decision has been biased, partial or not well founded in any way.
2. In this Planning Protocol wherever references are made to the Council's "Planning Committees", this includes the Planning and Highways Committee and any other committee(s) to which planning functions are delegated. No member should be appointed to the Planning Committees unless they have agreed in writing to take part in training in planning matters, including the operation of this Planning Protocol. No member appointed to a Planning Committee should participate in the business of the Planning Committee unless they attend annual training in planning matters.
3. In this Planning Protocol, the expression "planning matter" means any planning application, development, development proposal or plan within the City of Manchester or which materially affects the City and includes the enforcement of planning control. This Planning Protocol sets out the practices and procedures that members and officers of the Council should follow whenever they are involved in planning matters in order to comply with the Code of Conduct for Members (as adopted by the Council from time to time) ('the Code') and the Employee Code of Conduct, respectively.
4. The sections of this Planning Protocol relating to the declaration of interests also apply to members who are not members of the Planning Committee. In making written representations to Planning Committee all members must serve only the public interest and should never seek to improperly confer an advantage or disadvantage on any person.
5. Planning affects land and property interests, including the value of land and the quality of the environment. Decisions affect people's daily lives and the private interests of individuals, landowners and developers. The role of the planning system is to regulate the use and development of land in the public interest. Accordingly planning decisions must be seen to be impartial and properly justified. The planning system can only operate effectively if there is trust among the various stakeholders: the public, applicants, developers, members and officers.
6. Failure to abide by this Planning Protocol may place the Council at risk of proceedings concerning the legality or maladministration of the related decision.



## PLANNING PROTOCOL FOR MEMBERS

### Role and conduct of members and officers

7. Members and officers have different but complementary roles. Both serve the public but members are accountable to the electorate and officers accountable to the Council as a whole.
8. The main duty of members is to represent their constituents as a whole, including those who did not vote for them. This is especially relevant to planning matters where often decisions are taken against the interests of individuals but for the benefit of the wider community. However, case law has established there may be occasions where the need to uphold public trust and confidence in the impartiality of planning decision making requires a restriction of members' representative capacity.
9. The role of members of the Planning Authority is to make planning decisions openly, impartially with sound judgement and for justifiable planning reasons.
10. Although members may take into account the views of others they should not discriminate in favour of any particular group or individual or put themselves in a position where they appear to do so.
11. Members are advised to treat with extreme caution any offer, gift, favour or hospitality that is made to a member personally. Members are referred to the Code and to the Gifts and Hospitality Guidance for Members.
12. Officers who are chartered town planners must act in accordance with the Royal Town Planning Institute's (RTPI) Code of Professional Conduct and breaches of that code may be subject to separate disciplinary action by the Institute. All officers are expected to follow the Council's own Code of Conduct for Employees, or any statutory code which may come into force or be adopted by the Council.
13. Members are free not to follow the advice of the professional officers. Professional officers may also have a change of opinion but this must be on the basis of professional judgement; they must not be allowed to be influenced by members or other officers to change an opinion where this does not genuinely represent their professional view. The Council endorse the RTPI's statement that its members "shall not make or subscribe to any statements or reports which are contrary to their own bona fide professional opinions".
14. The Council has a designated head of the planning service, who is qualified for election to membership of the RTPI and who has direct access to elected members as their professional adviser on planning matters.

### Declaration of disclosable pecuniary interests

15. Part 2 of the Code incorporates the provisions in the Localism Act 2011 concerning the disclosure of pecuniary interests.

## PLANNING PROTOCOL FOR MEMBERS

16. A “Disclosable Pecuniary Interest” is fully defined in the Code and includes, in relation to both members and their partners:
- Employment, office trade, profession or vocation
  - Sponsorship
  - Contracts
  - Land
  - Licences
  - Corporate tenancies
  - Securities
17. It is a criminal offence, if a Member without reasonable excuse:
- a. fails to notify the Monitoring Officer of any disclosable pecuniary interest within 28 days of election
  - b. fails to declare a disclosable pecuniary interest at a meeting if it is not on the register
  - c. fails to notify the Monitoring Officer within 28 days of a disclosable pecuniary interest that is not on the register that ~~s/he has~~ the Member has disclosed to a meeting
  - d. participates in any discussion or vote on a matter in which ~~s/hethe~~ Member has a disclosable pecuniary interest
  - e. knowingly or recklessly provides false or misleading information relating to disclosable pecuniary interests in notifying the Monitoring Officer or in disclosing such interest to a meeting.
18. Part 2 of the Code also advises members that, if they are present at a meeting and have a disclosable pecuniary interest in any matter to be considered at that meeting:
- members must disclose the interest to the meeting whether or not it is registered
  - members must not participate in any discussion of the matter or in any vote taken on the matter at the meeting and must withdraw from the room of the meeting whilst the matter is being considered.

Members with a disclosable pecuniary interest in a planning matter may apply to the Monitoring Officer for a dispensation to make representations, answer questions or give evidence relating to the relevant planning matter at a meeting, provided that the public are also allowed to attend the meeting for the same reason. Members must declare their interest at any meeting which they attend with a dispensation and must make it clear that their representations are made in a personal capacity rather than in their role as a councillor.

### Declaration of personal interests

19. Part 3 of the Code states that, in addition to disclosable pecuniary interests, members must also register other personal interests defined in the Code.

## PLANNING PROTOCOL FOR MEMBERS

20. Subject to the circumstances outlined in the Code, where members have a personal interest in any business of the Council and attend meetings such as Planning Committee at which the business is considered, they must disclose the existence and nature of that interest at the meeting.

### Declaration of prejudicial interests

21. A member with a personal interest in any business of the Council also has a prejudicial interest if a member of the public, with knowledge of the relevant facts, would reasonably regard the interest as so significant that it is likely to prejudice the member's judgement of the public interest. A full definition of 'prejudicial interest' appears in Part 3 of the Code.
22. A member with a prejudicial interest must disclose the interest to the meeting and must withdraw from the room where the meeting is held unless s/he is making representations, answering questions or giving evidence relating to the relevant planning matter provided that the public are also allowed to attend the meeting for the same reason. The member must leave the room immediately after making representations, answering questions or giving evidence and must not participate in any discussion of the matter at the meeting or in any vote taken on the matter at the meeting.

### Bias and predetermination

23. The law on bias and predetermination may apply at times when the member concerned does not have a disclosable pecuniary interest or a personal or prejudicial interest as defined by the Code.
24. Predetermination is a principle developed by the courts which has established that a decision taken by a public body is unlawful if the decision maker approaches the decision with a closed mind.
25. Section 25 of the Localism Act 2011 applies when a Court has to consider whether a decision has been validly made. It provides that a "decision taker" is not to be regarded as having a closed mind just because the decision taker had previously done anything that directly or indirectly indicated what view the decision-maker took or would or might take in relation to a matter that was relevant to the decision. The use of the qualifying words 'just because' in Section 25 indicates that some decisions will still be invalid by reason of predetermination notwithstanding the enactment of the section, because all the circumstances surrounding the decision have to be taken into account. Regardless of any predisposition of members to determine an application in accordance with their political views and policies, the members of Planning Committees must approach decision making with an open mind, must listen to the evidence and arguments on both sides and must make decisions with regard to material planning considerations. Members should not have, or appear to have, a closed mind on a planning application prior to its full consideration at Planning Committee.

## PLANNING PROTOCOL FOR MEMBERS

26. Where bias or predetermination or a risk of the perception of bias or predetermination arises, a member of Planning Committee who has, or appears to have, a closed mind about a planning matter in advance of Committee should not participate in the decision making part of the meeting. Members may, in their representative capacity, address the Committee on the planning merits of the application for the same period of time as any member of the public with a right to speak. Members should declare that they cannot participate in the decision taking as a result of having a closed mind in advance of the meeting and withdraw as soon as they have addressed the Committee.

### Development proposed by the City Council or a Council controlled company or agency

27. Planning legislation allows councils, and bodies controlled by them, to submit and determine development proposals which they intend to carry out themselves. These shall be considered in the same way as those put forward by private developers.
28. Decisions shall be taken strictly on planning merits and without regard to any financial gain that the Council - e.g. in its capacity as land owner - may accrue, if the development is allowed. The Council should treat such applications on an equal basis with all other applications.
29. Members of the Planning Committees who hold a position of control or management in another public authority or are appointed by the Council as its representative to another body need to consider their position where such bodies submit planning applications to the Council. In such cases the Code provides that members have a personal interest and need only disclose the nature or existence of that interest when they address the meeting on the business which relates to their public authority or other body, unless the interest is a prejudicial interest. Members should always consider whether it is appropriate to regard themselves as having no prejudicial interest in the light of the specific facts. In addition, because of the appearance of bias, a member should always consider whether it is appropriate to take part in the decision making process. For example, where the member has been or is perceived as being the chief advocate for the proposal *or* has had a significant personal involvement in preparing or promoting the proposal by which ~~s/he~~ **the Member** may be perceived as being unable to act impartially or determine the proposal purely on its planning merits and in the public interest *or* has, or appears to have, a closed mind about the application, this would give rise to an appearance of bias. If the circumstances would give rise to potential allegations of bias, members are advised to explain their involvement and not take part in the decision (see paragraph 26 above).

### Development proposals by individual members or officers or persons related to them

## PLANNING PROTOCOL FOR MEMBERS

30. Serving members or officers should never act as agents for another in pursuing a planning matter. If members or officers submit their own proposal to the Council they should take no part in the processing and determination of that proposal. The Council's Head of Planning should be informed of all such proposals as soon as they are submitted.
31. Proposals for development by members or officers shall be reported to the Planning Committees and should not be determined under delegated authority unless they relate to the residence of a member or officer *and* there are no objections to the application.
32. Officers should not deal with development proposals and planning applications in which they have a personal interest.

### Lobbying of and by members

33. Lobbying is a necessary and legitimate element of the political and democratic process. Those affected by a development proposal often try to canvass support from one or more members of a planning committee. The time for individual members of the Planning Committees to make a decision on a proposal is at the committee meeting when all the relevant information is available and has been properly considered. Members should not close their minds or give the appearance of having closed their minds, particularly in relation to an external interest or lobby group, prior to the Planning Committee meeting. Members who commit themselves in advance to a fixed view as to how the proposal should be determined **must** withdraw from the room in which the meeting is held and not participate when the Committee is discussing or voting on the application. They may, in their representative capacity, address the Committee on the planning merits of the application (see paragraph 26 above).

(Note: Where a matter is discussed and then deferred from one meeting to another, members may continue to take part in the decision at the second meeting, even if they have expressed views at the first meeting. Members should always keep an open mind and take into account new information presented at the second meeting when they vote)

34. Members are free to listen to a point of view about a particular proposal and to provide procedural advice, (e.g. by referring the person to a particular officer). They should be careful however to reserve their own final judgement on the matter until the Planning Committee meeting.
35. Members should not circulate unofficial papers not previously considered by officers at Planning Committee meetings.
36. Members should not use mobile telephones or hand held devices during the Planning Committee meeting to discuss the progress of the application with any other person.

## PLANNING PROTOCOL FOR MEMBERS

### Membership of lobbying groups

37. If a member is in a position of general control or management of an organisation that has made representations on a particular planning matter then ~~s/he~~**the Member** is likely to have a personal interest under the Code. Such membership may also give rise to a perception of bias or predetermination (see paragraph 26 above).
38. Whether membership constitutes a prejudicial interest will depend on the individual case. Where their lobby group has expressed a public view on a matter, members must consider whether a member of the public with knowledge of the relevant facts would reasonably regard the member's judgement of the public interest as prejudiced. In deciding whether they have a prejudicial interest, members should consider:
- the nature of the application
  - the nature of their involvement with the organisation
  - the publicly expressed views of the organisation
  - what the member has said or done in relation to issues relevant to the application
39. A personal interest may also be prejudicial where it relates to the determining of any planning application in relation to any persons or bodies described in paragraph 12.3 in Part 3 of the Code (see paragraph 21 above)

### Pre and post application discussions

40. Members of Planning Committees need to uphold their position as impartial decision makers and it would not be appropriate for them to take part in pre or post application discussions with applicants, developers or groups of objectors regarding the proposed development even if they find it desirable as a ward member to attend such meetings<sup>1</sup>. If it is necessary that they do so in order to fulfil some role other than ward member, this should be part of a structured arrangement agreed with a senior planning officer, who will arrange the meeting and the attendance of an officer at the meeting.
41. Councillors should not participate in pre-application meetings without Officers also being present. Councillors should avoid giving separate advice on the development plan or material considerations as they may not be aware of all the issues at an early stage. Neither should they become drawn at any stage of the planning process into any negotiations, which should be done by officers.

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<sup>1</sup> "Pre and post application meetings" refer to meetings between applicants, developers or groups of objectors and members of Planning Committee, attending in their capacity as a councillor. It does not refer to such meetings where the member is attending in a personal capacity, such as consultation events for proposed development in the vicinity of their home, though members should still be mindful of the provisions in this protocol on interests, bias and predetermination in such circumstances.



## **PLANNING PROTOCOL FOR MEMBERS**

42. During any pre-application discussions or meetings with the applicant, developers or group of objectors that may take place, it shall be made clear by an officer at the outset that the Council will not - as a result of anything during the course of such communication - be bound to make a particular decision and further that any views expressed are provisional only and not those of the Council.
43. A written note shall be made of pre-application meetings at which Councillors are present. At least two officers should be present at meetings likely to arouse controversy as to what took place.
44. Officers and serving councillors must not act as agents for people pursuing planning matters within their authority even if they are not involved in the decision making on it.

### **Officer reports to committee**

45. Officers' reports to the Planning Committees (except to present and update a report) shall be in writing except in the most exceptional circumstances. Oral reports which are material to the Committee's decision shall be minuted.
46. Officers should seek to apply and interpret national and local planning policies in a consistent and objective manner.
47. Reports to the Planning Committees shall be accurate and provide all relevant information. They shall contain a reasoned assessment of the proposal and justification of any recommendation. If an officer's recommendation is contrary to the Development Plan the material considerations which justify this shall be set out clearly.

### **Decisions contrary to officer recommendation**

48. Members shall take decisions on planning matters in accordance with the Development Plan (or its statutory replacement) where relevant unless material considerations indicate otherwise. The reason for a contrary decision should be clear and convincing and fully minuted.
49. In order to ensure an accurate minute of decisions contrary to officer advice, members should ordinarily make a resolution that they are minded to grant or refuse permission. When such a resolution is made, officers will report to the next committee on the proposed course of action and shall produce a written record of the proposed decision and reasons for adoption by the Committee.
50. A senior officer shall be invited to explain to the Committee the implications of a contrary decision before the decision is taken.
51. If the Committee asks for additional planning conditions to be imposed or requests that amendments be made to proposed conditions, officers should prepare the draft condition. Standard conditions may be delegated to officers. Drafting of non-standard conditions which significantly depart from officers'

## PLANNING PROTOCOL FOR MEMBERS

recommendations as set out in the committee report should be delegated to officers in consultation with the Chair.

### Committee site visits

52. Site visits will be decided upon and carried out in accordance with the provisions of the Site Visit Protocol annexed to this Planning Protocol for Members.

### Complaints and record keeping

53. Any complaints made about the planning process should be recorded accurately, and would normally be dealt with in accordance with the Council's established Complaints Procedure, unless it is a complaint which is appropriate to be dealt with as a Standards or Conduct issue, in which case it should be reported to the Council's Monitoring Officer in the first instance.

### Breach of the Members' code

54. Breaches of the Code and/or this Planning Protocol by members should be reported to the Monitoring Officer.



## PLANNING PROTOCOL FOR MEMBERS

### ANNEX

#### SITE VISIT PROTOCOL

##### INTRODUCTION

In order to ensure that all Planning Committee site visits relating to planning matters are dealt with consistently and fairly, the following protocol will apply.

##### THE DECISION TO HOLD A SITE VISIT

1. A decision to carry out a site visit may be made where a proposal is contentious or complex and the impact is difficult to visualise or assess from the plans or any supporting presentation, including photographs taken by officers. The visit is intended to enable members to gain knowledge of the proposal and its physical context.
2. The purpose of the site visit is to obtain information relevant to the determination of the application only. The decision should not be arbitrary, unfair or be used as a lobbying device.
3. Site visits can, however, cause delay and additional cost, so should only be used where the expected benefit is clear and substantial.
4. A site visit will be held following a majority resolution of the Planning Committee. In reaching its decision, the Planning Committee, having heard the application and representations, must consider that such a visit would be of substantial benefit to the decision making process. Members should bear in mind that officers will have visited the site prior to writing their report and forming their recommendation.
5. A site visit should not be used as an opportunity to defer consideration or determination of an application.
6. The reason for a site visit based on sound and proper planning reasons must be recorded and minuted.

##### PRACTICAL ARRANGEMENTS FOR THE SITE VISIT

7. The site visit may take place at any reasonable time during any working day (including the day of the Committee) before the Committee meeting at which it is proposed to determine the application.
8. Wherever possible, a site visit should be attended by all members of the Planning Committee.
9. The appropriate Council officers will attend the site visit. This would include the Planning Officer and other officers who may be required to provide specialist advice and information relevant to the application.

## **PLANNING PROTOCOL FOR MEMBERS**

10. The applicant will be notified of the date and time of the site visit.
11. If it is proposed to enter onto private land as part of the site visit, it will be necessary to obtain permission from the landowner first.
12. A site visit is ordinarily to be attended only by Officers and Members of the Committee.

### **PROCEDURE AT THE SITE VISIT**

13. The Planning Officer will explain the proposal to members and provide a summary of the objections.
14. Members can ask any questions concerning the proposal to the respective officer(s) in attendance.
15. In the event that anyone other than Officers or Members of the Committee is in attendance, the Chair or Planning Officer (as appropriate) will inform those attending the site visit that they should not approach or attempt to speak to or engage with any member or officer of the Council about the application during the site visit and that this is not an opportunity for lobbying, representations, public address or debate.
16. In order to ensure transparency of public dealing by members, members shall keep together as a group and shall not engage individually in discussion with local members, applicants, objectors and other interested parties present at the site visit.
17. Members should not express an opinion on the planning application or on its merits (or otherwise) at the site visit. Members should be mindful at all times of the need to avoid allegations of predetermination or bias, or the fettering of the Council's discretion in relation to the application.
18. No hospitality may be accepted by members and officers from any party present at the site visit.
19. A note shall be taken by the Planning Officer of any significant events occurring during the site visit.

### **PROCEDURE AT COMMITTEE FOLLOWING THE SITE VISIT**

20. Officers will provide a brief update to members of any significant additional information or issues raised at the site visit.
21. Following the update, members of the Committee who were not able to attend the site visit should consider whether they have sufficient information before them to enable them to take part in determining the application and, if not, they should take no part in the deliberation and should abstain from the vote on the proposals.

## PLANNING PROTOCOL FOR MEMBERS

22. Particular care should be taken by members who have proposed or voted in favour of a site visit

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USE OF COUNCIL RESOURCES

# Section C

## Use of Council Resources Guidance for Members

## USE OF COUNCIL RESOURCES

### Use of Council Resources Policy for Members

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## USE OF COUNCIL RESOURCES

### 1. General Introduction

Manchester City Council's local Code of Conduct for Members ("the Members' Code") provides that a Member of the Council ("a Member") when using or authorising the use by others of Manchester City Council's resources must ensure such resources are not used improperly and in accordance with this policy.

Manchester City Council's requirements on the use of Council resources are set out below. This guidance note also sets out additional rules relating to the use of Council resources in connection with publicity and the use of the Internet and e-mail. Any breach of these requirements could result in a breach of Manchester's Members' Code.

It is each individual Member's responsibility to ensure that any proposed use of Council resources is acceptable. If a Member is unsure Members' Services can be consulted.

This policy is consistent with the rules Council officers are required to follow when using Council resources.

### 2. Resources general provisions

The City Council makes various resources available for the use of Members in connection with Council business and their role as an Elected Member. These resources include the Members' Secretariat, computer facilities, accommodation, stationery, postage, photocopying, telephones and mobile telephone devices, access to the Internet and e-mail **as well as** **'@manchester.gov.uk' email address**. Collectively these are described as 'Council resources'.

Council resources are only available for Members' work in connection with Council business. Council business means matters relating to a Member's duties as an elected Councillor, as an Executive Member, as a Member of a Council committee, sub-committee, working party, or as a Council representative on another body or organisation e.g. Greater Manchester Combined Authority or the ~~Greater Manchester Transport~~ **Bee Network** Committee.

So for example Council resources can legitimately be used:

- to send and receive communications (including email, letter and by telephone) in connection with Council business;
- to print documents or reports in connection with the formulation of policy and the decision making process of the Council or other organisations on which a Member represents the Council.

The use of any Council resources for non Council business is prohibited (save for the limited personal use of e-mail and Internet – see below).

## USE OF COUNCIL RESOURCES

So for example Council resources could not legitimately be used:

- for political purposes including use of @manchester.gov.uk email addresses and MCC work telephone numbers in campaign literature or to support a particular political party or candidate at an election;
- for private business purposes, such as running a commercial enterprise;
- where a Member of the Council is also a member on an outside body or organisation where they are not representing the Council (such as a school board of governors or charity);
- personal use which is excessive or inappropriate (see below).

Members are elected representatives of the Council and should always look to present the Council favourably. Council resources should never be used in a way which facilitates or encourages:

- The ridiculing of others based on race, religion, sex, sexual orientation, age or disability;
- Jokes or quips (whether in the form of words or images) based on race, religion, sex, sexual orientation, age or disability;
- Publication of material that is defamatory or libellous;
- Publication of material which is sexually orientated or has sexually explicit content (whether in the form of words or images);
- Publication of material that contains foul or obscene language;
- Publication of material that is intended to alarm others, embarrass the Council, negatively impact employee productivity or harm employee morale;
- Publication of material which contravenes the City Council's Equal Opportunities and Harassment Policies;
- Illegal or malicious use, including downloading or transmitting copyright material;
- The access, storing or transferring of pornographic or obscene material.

### Specific provisions - volume mailings

It is generally inappropriate for Members to use Council resources for volume mailings, including sending out circulars and conducting wide-scale consultation exercises, even though these may involve Council business. This is particularly the case where consultation has already been or is about to be undertaken as part of standard Council business (such as consultation on a planning application). If in an exceptional case a Member feels that a volume mailing can be justified they should submit a specific request to the Chief Executive.

### Specific provisions - booking and use of accommodation



## USE OF COUNCIL RESOURCES

The rules governing the booking and use of accommodation, meeting rooms and other Council offices must be strictly adhered to. For further details please refer to the Council's Monitoring Officer.

### Data Protection

In representing constituents, Members are separate data controllers for the purposes of compliance with the Data Protection legislation. In the use of the Council's resources Members must be mindful of both their own and the City Council's data protection obligations in ensuring that personal data is processed fairly and lawfully. (This includes asking an officer or department for the personal information of a resident with no legal justification for doing so).

### 3. Publicity

#### 3.1 Council must not publish political material - section 2 of the Local Government Act 1986

The Council is prohibited from publishing "political material" by virtue of section 2 of the Local Government Act 1986 ("the LGA 1986"). Sub-section 2(1) of the LGA 1986 states that;

"A local authority shall not publish or arrange for the publication of, any material which, in whole or in part, appears to be designed to affect public support for a political party."

Sub-section 2(2) of the LGA 1986 provides that in determining whether material falls within the prohibition, the Council must consider the "content and style of the material, the time and other circumstances of publication and the likely effect on those to whom it is directed". In determining whether material is "political material" the Council must give particular attention to the following matters:-

- a) whether the material refers to a political party or to persons identified with a political party or promotes or opposes a point of view on a question of political controversy which is identifiable as the view of one political party and not of another
- b) where the material is part of a campaign, the effect which the campaign appears to be designed to achieve.

The prohibition on the Council publishing "political material" also extends to a prohibition on the Council giving "financial or other assistance to a person" for the publication of "political material" which the Council is prohibited from publishing itself.

## USE OF COUNCIL RESOURCES

Although section 2 of the LGA 1986 applies to all publicity produced by the Council, it is obviously particularly important to be aware of these provisions at election time.

### 3.2 The Code of Recommended Practice on Local Authority Publicity

Paragraph 6(c) of Part 1 of the Members' Code requires Members to have regard to any applicable Code of Publicity made under the Local Government Act 1986. From 31 March 2011, the applicable Code has been The Code of Recommended Practice on Local Authority Publicity ("the LA Publicity Code").

The Council is required to have regard to the LA Publicity Code in coming to any decision on the use of Council resources in relation to "publicity" which is defined as 'any communication in whatever form addressed to the public at large or to a section of the public'. This will include press releases and letters to the media (unless clearly marked "not for publication") but does not cover letters to individuals, unless this is on a scale which could constitute 'a section of the public'.

The LA Publicity Code requires that all publicity by the Council must:

- Be lawful
- Be cost effective
- Be objective
- Be even-handed
- Be appropriate
- Have regard to equality and diversity
- Be issued with care during periods of heightened sensitivity.

In relation to publicity by the Council about individual Members, the LA Publicity Code advises that the general position is as follows:

...it is acceptable for local authorities to publicise the work done by individual members of the authority, and to present the views of those individuals on local issues. This might be appropriate, for example, when one councillor has been the "face" of a particular campaign. If views expressed by or attributed to individual councillors do not reflect the views of the local authority itself, such publicity should make this fact clear.

The LA Publicity Code draws attention to the need for the Council to take care during periods of heightened sensitivity before elections and referendums and advises that:

During the period between the notice of an election and the election itself, local authorities should not publish any publicity on controversial issues or report views or proposals in such a way that identifies them with any individual members or groups of members. Publicity relating to individuals involved directly in the election should not be published by local authorities during this period unless expressly authorised by or under statute. It is

## USE OF COUNCIL RESOURCES

permissible for local authorities to publish factual information which identifies the names, wards and parties of candidates at elections.

### 4. Personal Use

There is a cost attached to providing Members with any piece of equipment or other resource and that cost can only be justified on the grounds that the equipment or resource is used for Council business.

This is why the use of Council stationery, rooms, telephones and mobile telephones, photocopying and postage may only be used when undertaking Council business.

With regard to the Internet and email however, a small amount of personal use may be acceptable as there is no additional cost to the Council. Any personal use by Members of either of these resources must comply with the general provisions above and any specific guidance in relation to the use of the Internet / e-mail (see below).

So for example the Internet could legitimately be used to purchase holidays, goods and services or general “surfing” for non work-related purposes provided the use is not excessive.

And the Council’s email system could for example be legitimately used to send a small number of personal emails to friends or family. These should be identified by the word “personal” in the email subject heading and when sending a personal email, the electronic signature on the bottom of the email should also be removed.

Personal use does not extend to the family of a Member and it is the responsibility of each Member to ensure family members do not use Council resources.

### 5.1 Information Communication Technology

A Member will be offered several pieces of ICT equipment to assist in the discharge of their duties.

The equipment offered is:

- a mobile phone
- such IT equipment as the Director of ICT considers appropriate to enable the member to undertake their Council duties
- access to the Council’s ICT network
- Internet access
- an account in the corporate email system and an ‘@manchester.gov.uk’ email address
- computer consumables (printer supplies)

## USE OF COUNCIL RESOURCES

This equipment however remains the property of Manchester City Council and must be returned as soon as practicable upon the Member ceasing to be a Manchester City Councillor. Access to the MCC network will also be revoked at this time.

The Council also provides several PCs in the Member's Workrooms to assist Members in the conduct of Council business.

Members must ensure they manage any information that they store electronically, to ensure it is easily accessible to them, confidential and correct. The Council's IT resources can be used to help Members to do this. However, please note that when a Member ceases to be a Manchester City Councillor it will not be possible to provide them with any of the personal data stored about constituents as there is not an easy method of distinguishing between this and other business information of a confidential nature which the Member may have received during their period of office. In so far as the Council acts as a data processor for Members in storing communications with constituents and on a personal basis, the Council confirms that, in accordance with the Council's Records Retention Schedule, once a Member ceases to be a Manchester City Councillor, all data will be securely and permanently erased from Council systems (including the IT equipment and mobile devices provided to Members by the Council) once these are returned. As stated above all equipment should be returned to the Council as soon as practicable upon the Member ceasing to be a Manchester City Councillor.

### 5.2 Management and Usage of Mobile Devices

In accordance with the Council's Mobile Device Terms and Conditions Members must ensure they do not exceed the monthly usage limits specified of 2 gigabytes ('GB') for phones and 4 GB for tablets.

Use of all mobile devices will be monitored. If a member exceeds those usage limits they will in the first instance be informed by the City Solicitor and asked to bring their usage within the scope of their allocation.

If a Member fails to adhere to such a request then consideration may be given to changing the type of device the Member has or in the alternative putting a bar on the device in terms of data usage.

Any Member who is not clear about the monthly usage limits or how to monitor and manage their data consumption should consult with the ICT Helpdesk.

### 5.3 Mobile Telephone Numbers

**The telephone numbers of Council-supplied mobile telephones must not be used in political campaign material relating to a forthcoming election or referendum. They must also not be used at any time in**

## USE OF COUNCIL RESOURCES

communications material that a reasonable person would deem to be aimed at procuring future electoral success for a particular candidate or political party or campaigning on a political position associated with a particular political party. The telephone numbers of Council-supplied mobile telephones can be used in communications material relating to Council business or constituency casework matters.

### 6.1 The Internet

Members of the Council are able to gain access to the Internet to browse the web and use social media through computers held in Members' Services within the Town Hall complex; or remotely. Members will be provided with a unique user ID and password to access the Internet.

A Member should consider the appropriateness of accessing a website and / or using social media depending on the content, reason or situation they are in e.g. while in the presence of members of the public.

The Council has published separate Guidance for Members on the Use of Social Media (Twitter, YouTube, Blogging, Facebook etc).

#### 6.1.1 Acceptable Uses of the Internet

The following list, although not intended to be definitive, sets out broad areas of use that the Council considers to be acceptable uses of the Internet:

- to view and obtain information in direct support of the City Council's business activities;
- to promote services and products provided by the City Council;
- to communicate and obtain information in support of approved personal training and development activities;
- any other use that directly supports the work of a Councillor.

#### 6.1.2 Unacceptable Uses of the Internet

In addition to the uses listed as unacceptable uses of all Council Resources at section 2 there are further restrictions on the use of the Internet for Councillors.

In general terms, any use of the Internet must not contravene the Data Protection legislation; The Computer Misuse Act (1990); The Copyrights, Designs and Patents Act (1988); The Obscene Publications Act (1959) and (1964); or any Council policy (in particular, Council policies on Information and Internet Security; Equal Opportunities and Harassment).

Unacceptable uses include the following:

## USE OF COUNCIL RESOURCES

- the deliberate propagation of computer viruses, or use of the Internet to attempt unauthorised access to any other IT resource;
- access to, and use of, recreational games.

### 6.1.3 Restrictions on Use of the Internet

Where possible, access to material known to be of an offensive or undesirable nature (for example, which may contravene the City Council's policies on Equal Opportunities and Harassment) will be prevented using appropriate security tools and filtering software.

If any Member unintentionally accesses an Internet site which contains material of an offensive or undesirable nature, they should immediately exit the site. In these instances Members should report the incident to Members' Services or the ICT Helpdesk who may prevent future access to such sites by implementing preventative measures.

Any use of the Internet which is considered to be a criminal matter, will be referred to the Police and may lead to criminal prosecution.

## 6.2 Email

Each Member will have access to an MCC email account for the duration of their time as an Elected Member. Whenever an external e-mail is sent, the sender's name, email address and Council name must be included as the signature of the email (except when sending a personal email).

This will also prevent any private communications, including emails, sent or received by a Member in their private capacity becoming part of the Council's administrative records. Emails form part of the administrative records of the City Council and the City Council has the right of access to all emails sent or received, on the same basis as written documentation. Emails may also be requested under the Freedom of Information Act (2000) or Data Protection legislation and if in scope (unless exempt) would have to be disclosed.

### 6.2.1 Acceptable Uses of Email

The following list, although not intended to be definitive, sets out broad areas of use that the Council considers to be acceptable uses of its email system:

- to provide a means of communication within the Council, with other Councils, agencies, organisations and constituents;
- to promote services and products provided by the City Council;
- to communicate and obtain information in support of approved personal training and development activities;
- any other use that directly supports the work of a Councillor in that role.

### 6.2.2 Unacceptable Uses of Email

## USE OF COUNCIL RESOURCES

In addition to the uses listed as unacceptable uses of all Council Resources at section 2, there are further restrictions on the use of the MCC email system for Members.

Forgery or attempted forgery of electronic mail is prohibited. Attempts to read, delete, copy or modify the mail of others are prohibited. If a Member receives an e-mail from outside the Council that they consider to be offensive or harassing, Members' Services or the ICT Helpdesk should be consulted in accordance with the City Council's Incident Reporting Procedures. Members should not respond to such e-mails (internal e-mail of a harassing nature will be dealt with under the City Council's Harassment policy).

Council work should not be emailed to a Member's personal email address as this is not a secure method of transferring data. Any personal or sensitive email being sent outside of the MCC internal network should be sent securely via the Council's email encryption solution available via the MCC email system ~~or zipped and password protected using the WinZip software available via Citrix~~. Advice on how to access these encryption solutions is available from the ICT helpdesk or Members' Services.

### ~~6.2.3 Use of Council Email~~

~~As stated above it is not permissible to use the Council e-mail system to send an e-mail about a party political matter to other Councillors at a Council e-mail address or to reply from one.~~

~~In order to facilitate such communications, it is expected that every member has his/her own business e-mail address in order to transmit party political matters without using Council resources.~~

### 6.2.3 Use of Council Email

As stated above it is not permissible to use the Council e-mail system to send e-mails about party political matters (including to other Councillors) or to reply to such emails using the Council e-mail system. It is expected that members will use their own non-Council e-mail addresses in order to transmit party political matters without using Council resources, although the use of the Council e-mail system to communicate within political groups is permitted where communications relate to Council business.

Council e-mail addresses must not be used in political campaign material relating to a forthcoming election or referendum. They must also not be used at any time in communications material that a reasonable person would deem to be aimed at procuring future electoral success for a particular candidate or party or campaigning on a political position associated with a particular party. Council e-mail addresses can be used in communications material relating to Council business or constituency casework matters.



## USE OF COUNCIL RESOURCES

### 6.3 Etiquette and User Responsibilities

As a general principle, remember that you are acting as an elected representative of the City Council, using Council equipment and are accessing a non private network when browsing the Internet or communicating using social media or emails which can be seen by anyone or reproduced for this purpose.

At all times have regard for City Council policies and legal requirements when using the Internet or sending or responding to emails. Where appropriate, have equal regard for specified rules and policies of the owners of services you access via the Internet.

## 7. Information Security

The security of the Council's networks, and of the systems and information held on it, is paramount. Any information security breach could have an adverse impact on the Council, Members, officers and Manchester residents. Due to the likelihood of sensitive and personal information being held on equipment used by a Member, all equipment must be stored securely, be password protected and in the case of tablets, have secure encryption protection installed and operational. All Members must assign a password to their tablets and mobile devices of a complexity to comply with Manchester City Council's policy on password standards (available on the ICT pages on the intranet). Passwords must never be shared with anyone, however, if a Member forgets their password the ICT helpdesk is able to reset them.

**Cyber attacks are a significant threat to the Council. A successful ransomware cyber attack has the potential to cause a significant and detrimental long-term impact on the services we provide, including financial damage and loss of data, affecting our residents, businesses and our reputation. To protect the Council it is essential that all members complete the mandatory cyber-security e-learning when requested to do so. You will have received an invite from 'MCC Compliance'. If members have difficulty accessing this e-learning they should contact [ict.security@manchester.gov.uk](mailto:ict.security@manchester.gov.uk) or speak to members services.**

**Members should use the Council issued IT equipment wherever possible to provide a secure and consistent experience when accessing Council systems irrespective of working in a Council building or remote location. However, when this isn't possible** Members are able to connect to the Manchester City Council network from **personal personal equipment home** using Callsign **and Citrix**. ~~To be provided with Callsign~~, Councillors who use their own pc or laptop must ensure that **suitable** anti-virus is installed and up-to-date, the firewall is switched on and regular **security** updates are being installed on the pc or laptop via the relevant Update Server (where the pc or laptop is provided by the Council, these features will be



## USE OF COUNCIL RESOURCES

enabled **and managed by ICT by default standard**). **If required** Members' Services or the ICT Helpdesk will be able to assist in applying for Callsign access.

If **any piece of ~~Council issued IT~~ equipment (Personal or Council Issued) that is used to access Council IT systems** is lost or stolen, it is the responsibility of the Member to report this to Members' Services or the ICT helpdesk immediately. Cable locks should be used to secure equipment to desks as a preventative measure.

To protect the MCC network, the use of USB drives and other removable media is restricted in accordance with the Council's Removable Media Policy (available on the ICT pages on the intranet). Staff in Members' Services can assist if a Member requires a presentation or other information to be used for Council business to be loaded on to a tablet.

### 8. Monitoring

In order to ensure compliance with the requirements of City Council policies and the contents of this policy, the City Council has the right to inspect any Council IT equipment used by a Member. This may include utilising monitoring software to check on the use of e-mail services, software to check the content of all e-mail messages sent and received, phone call and text message files and Internet log files.

These software monitoring tools will only be used for the legitimate purposes of ensuring compliance with stated policies and guidelines so as to protect the City Council against the risk of criminal and civil actions, as a result of the unauthorised actions of its employees, or Members. Where a request is made to access data held in ICT equipment allocated to a Member, such requests must be approved by the Monitoring Officer or Chief Executive. Requests must demonstrate why the information is necessary, how it is relevant and the time period required.

### 9. If a Member Breaches This Policy

Action may be taken against any user of the City Council's resources who contravenes the requirements of this policy.

Breach of this policy may be a breach of the Council's Code of Conduct for Members and be subject to the sanctions therein.

### 10. Review of this guidance

This guidance was last reviewed in **2023~~0~~** and shall be reviewed every **3** years thereafter or earlier where there is a change in the applicable law or circumstances warrant an earlier review.

**USE OF COUNCIL RESOURCES**

MEMBERS' ALLOWANCES SCHEME

**PART 7**

**MEMBERS' ALLOWANCES  
SCHEME**

MEMBERS' ALLOWANCES SCHEME

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## MEMBERS' ALLOWANCES SCHEME

# MEMBERS' ALLOWANCES SCHEME

Manchester City Council, in exercise of the powers conferred by the Local Authorities (Members' Allowances) (England) Regulations 2003 has agreed the following Scheme after having regard to recommendations of the Independent Remuneration Panel.

### 1.0 CITATION

1.1 This Scheme may be cited as the Manchester City Council Members' Allowances Scheme, and has effect from the date approved by a meeting of Full Council.

### 2.0 INTERPRETATION

2.1 In this Scheme,

- "Member" means an elected representative of Manchester City Council who is a Councillor.
- "Co-optee" means a person who is not a member of the Council but who has been appointed to serve as a member of a committee or sub-committee of the Council
- "Year" means the period of 12 months ending on 31 March

### 3.0 BASIC ALLOWANCE

3.1 A basic allowance of the amount specified in Schedule 1 is payable each Year to each Member. The basic allowance is inclusive of provision for all telephone expenses (including mobile phone), travel and subsistence, office and all other expenses incurred in carrying out a Members' duties except where otherwise provided for in this Scheme or where facilities are provided free to Members by the Council.

### 4.0 SPECIAL RESPONSIBILITY ALLOWANCE

4.1 A special responsibility allowance is payable to those Members who hold the special responsibilities in relation to the Council. The special responsibilities and the amounts of allowance payable are specified in Schedule 1.

4.2 Only one special responsibility allowance is payable to each Member except in respect of members of the Licensing Committee (not being the Chair or Deputy Chair) or where otherwise indicated.

### 5.0 ANNUAL UPDATING AND BACKDATING OF ALLOWANCES

5.1 The allowances referred to in paragraphs 3, 4 and 8 will be updated annually in line with the annual percentage pay increase given to a Manchester City Council employee at spinal column point 43 (and

## **MEMBERS' ALLOWANCES SCHEME**

rounded to the nearest £) as agreed each year by the National Joint Council for Local Government Staff.

- 5.2 Provision may be made for the backdating of allowances within the current municipal year where specifically recommended by the Independent Remuneration Panel.

### **6.0 CHILD CARE AND DEPENDANT CARERS ALLOWANCES**

- 6.1 A child care and dependant carers allowance may be claimed where a Member incurs expenditure in arranging care of their child or children under the age of 14 and / or dependants when undertaking specific duties. These duties are set out in Schedule 2 together with the amount that can be claimed.

- 6.2 A maximum of 14 hours per week can be claimed and only one claim may be made for each household.

### **7.0 TRAVELLING AND SUBSISTENCE ALLOWANCES**

- 7.1 A Member may be reimbursed in respect of the cost of travelling and subsistence for journeys outside Manchester and in respect of travel undertaken in connection with attendance at Adoption or Fostering Panels, meetings of the Local Government Association (LGA); Association of Greater Manchester Authorities (AGMA); North West Regional Authority (NWRA); Nuclear Free Local Authorities (NFLA); and approved conferences, seminars and approved Council business trips.

- 7.2 Attendance at conferences, seminars and other council business trips must be approved in advance by the appropriate Executive Member and Chief Officer.

- 7.3 All claims should be supported by receipts.

- 7.4 The conditions and rates are set out in Schedule 3.

### **8.0 CO-OPTEE'S ALLOWANCE**

- 8.1 A co-optees allowance is payable to those Co-optees serving on the Standards Committee, the Audit Committee and the Children and Young People Scrutiny Committee, the amounts of which are detailed in Schedule 1.

- 8.2 This allowance will be paid in a single lump sum at the end of the municipal year and will only be paid to those members, who have attended at least 50% of the meetings, which they have been entitled to attend during that year.

## **MEMBERS' ALLOWANCES SCHEME**

### **9.0 RENUNCIATION**

- 9.1 A Member or Co-optee may, by notice in writing to the City Solicitor, elect to forgo any part of their entitlement to the basic or special responsibility allowance under this Scheme. Any such decision will remain in force until a further notice in writing withdrawing it is made to the City Solicitor.

### **10.0 PART YEAR ENTITLEMENTS**

- 10.1 Where a Member holds the office of Member for less than a full Year, the Member's entitlement to the basic allowance will be calculated on a pro rata basis by reference to the number of days the Member holds the term of office to the total number of days in that particular year.
- 10.2 Where a Member holds an office of special responsibility for less than a full year, the Member's entitlement to the special responsibility allowance will be calculated on a pro rata basis by reference to the number of days the Member holds the special responsibility to the total number of days in that year.
- 10.3 Where a co-opted Member holds an office attracting an allowance for less than a full year the co-opted Member's entitlement will be calculated on a pro-rata basis by reference to the number of days the co-opted Member holds the office to the total number of days in that particular year.
- 10.4 Appropriate adjustments will be made in respect of any basic or special responsibility allowance if any changes are made to the Scheme during the year from which this Scheme has effect.

### **11.0 CLAIMS AND PAYMENT OF ALLOWANCES**

- 11.1 Payments in respect of the basic and special responsibility allowances, will be made in instalments of one-twelfth of the amount of entitlement two weeks in arrears, 2 weeks in advance. Payment will be made through the payroll system on the same date as that applicable to employee salaries.
- 11.2 Claims for child carer and dependant carer, travel and subsistence allowances should be made on the approved forms, together with supporting receipts to the relevant Members' Services by no later than one month from the date of the duty in respect of which the entitlement to the allowance arose.
- 11.3 All payments will be made through the payroll system and will be taxable.

### **12.0 CO-OPTEE TO AN OUTSIDE BODY**

- 12.1 Where a councillor is co-opted or nominated to an outside body and is entitled to receive an allowance under that body's own allowances scheme if the outside body pays the allowance to the Council rather

## MEMBERS' ALLOWANCES SCHEME

than direct to the councillor concerned the Council will pass the monies received on to the councillor.

### 13.0 PENSIONS

- 13.1 The former Department for Communities and Local Government announced in 2014 that councillors were not able to join the Local Government Pension Scheme ('LGPS') from April 2014 and those councillors who had already joined the Scheme will cease to be active members at the end of their term of office.
- 13.2 Further information about the LGPS for councillors is on the Greater Manchester Pension Fund website: <http://councillors.gmpf.org.uk/>
- 13.3 Any councillor wishing to access their pension should contact the Technical Pensions Team on 0161 277 1691.
- 13.4 Both the basic and special responsibility allowances shall be treated as amounts in respect of which such pension is payable.

### 14.0 REGISTRATION FEE

- 14.1 The Council will bear the cost of the annual registration fee payable by Members to the Information Commissioner in respect of data protection registration relating to their constituency work.

### ~~15.0 MISCELLANEOUS~~

- ~~15.1 Members who use their own broadband connection for Council business and who do not have a data line provided by the Council shall receive a payment of £15.00 per month (£180.00 per annum) provided the claim is supported by receipts or evidence of the broadband account.~~



## MEMBERS' ALLOWANCES SCHEME

**Schedule 1****Basic and Special Responsibility Allowances**  
**2022/23**

Band			Basic Allowance £	Special responsibility allowance £	Total £
1	Members not in receipt of special responsibility allowance	66	18,841	-	18,841
2	Deputy Chair of Licensing and Appeals Committee Deputy Chair of Planning and Highways Committee	2	18,841	3,961	22,801
3	Elected Member on Adoption Panel*	1	18,841	6,372	25,213
4	Assistant Executive Members, City Centre Spokesperson, Elected Member on Fostering Panel*	6	18,841	7,913	26,754
5	Overview and Scrutiny Chairs, Chairs of Licensing & Appeals and Planning & Highways Committees	8	18,841	11,877	30,718
6	Executive Members, Deputy Leader(s)	9	18,841	19,775	38,616
7	Leader	1	18,841	47,016	65,857
8	Main Opposition Group Leader	1	18,841	11,877**	30,718
9	Main Opposition Group Deputy Leader (if group has over 9 Members)	0	18,841	4,752	23,593

**MEMBERS' ALLOWANCES SCHEME**

10	Main Opposition Group Lead Member on Finance (if group has over 9 Members)	0	18,841	1,188	20,029
11	Other Opposition Group Leaders	1	18,841	4,752**	23,593
Extra	Ordinary member of the Licensing and Appeals Committee (not including Chair and Deputy Chair)***	13		596	
Extra	GMCA Overview & Scrutiny Committee				
	Full Member			3,228	
	Substitute Member			536 + 134.52 for each meeting attended of the Committee or related task & finish group	
	Chair			9,684	
	Vice-Chair			4,035	

\* If more than one member carries out the Adoption Panel or the Fostering Panel role, the special responsibility allowance for that role will be split on a pro-rata basis.

\*\* If the two largest opposition groups are of equal size the Leaders of each group are instead to be paid a Special Responsibility Allowance of £8314.

\*\*\* Only paid to such ordinary Members of the Licensing & Appeals Committee and the Licensing Committee who have attended at least 13 meetings of the said Committees, or hearing panels thereof, in the municipal year.

**MEMBERS' ALLOWANCES SCHEME**

## Co-opted Members Allowances 2022/23

	Posts	Allowance
Children and Young People Scrutiny	8	993
Audit Committee	2	
Standards Committee Chair - annually	1	1910
Standards Committee Independent Member / Parish Council Member - annually	2	993
...plus daily allowance for each hearing attended: -		
- as Chair of the Panel		178
- as Panel Member		119

## MEMBERS' ALLOWANCES SCHEME

## Schedule 2

### Child Care and Dependant Carers Allowance

### 2022/23

#### 1. Authorised Duties

A Childcare and Dependant Carers' Allowance can be claimed where Members necessarily incur expenditure in arranging care of their children or dependants when undertaking the following particular duties:

- A meeting of the Executive or a Committee of the Executive.
- A meeting of the full Council or of a Committee or Sub-Committee of the Council.
- A Ward Co-ordination meeting.
- Attendance at a members training/briefing seminar.
- A meeting at which an Executive Member is discharging functions with which they have been delegated or any other duty for the purposes of, or in connection with, the discharge of the functions of the Executive.
- A meeting of any other body or of a Committee or Sub-Committee of any other body to which the Council makes appointments or nominations.
- A meeting which has both been authorised by the full Council, a Committee or Sub-Committee of the Council or a Joint Committee of the Council and one or more other Authorities, or a Sub-Committee of a Joint Committee and the invitation to which was open to representatives of more than one political group (if the Council is divided into several political groups) or to which two or more Councillors have been invited (if the Council is not divided into political groups).
- A meeting of a local authority association of which the Council is a member.
- Duties undertaken on behalf of the Council in pursuance of any Regulations made under section 135 of the Local Government Act 1972 requiring a member or members to be present while tender documents are opened.
- Duties undertaken on behalf of the Council in connection with the discharge of any function of the Council conferred by or under any enactment and empowering or requiring the Council to inspect or authorise the inspection of premises.
- Duties undertaken on behalf of the Council in connection with arrangements made by the Council for the attendance of pupils at a school approved for the purposes of section 342 of the Education Act 1996.

## **MEMBERS' ALLOWANCES SCHEME**

### **2. Basis of Claim**

To support the claim made, you need to provide:

Name(s) of person for whom care was provided.

The age of the person for whom care was provided if a child or children were under 14 years old.

The name of the person providing the care. This cannot be a member of the immediate family or household.

A Receipt.

### **3. Time Claimed For**

A Claim can be made for the actual period of the meeting plus reasonable travelling time before and afterwards (up to a maximum of one hour in total).

### **4. Amount Claimed For**

- For the independent care of a child under the age of 14 the maximum hourly rate will be the Real Living Wage hourly rate;
- For the care of a dependent relative the maximum hourly rate will be the hourly rate charged by Manchester City Council Adult Services Department for Domiciliary Home Care

A maximum of 14 hours per week will be payable and only one claim may be made per household.

### **5. Payment Arrangements**

Claims will be paid along with all other allowances via the monthly payroll. Any deduction necessary following verification of the claim will be made from the next month's allowance payment.

## MEMBERS' ALLOWANCES SCHEME

## Schedule 3

### Travelling and Subsistence Allowances 2022/23

#### TRAVEL ALLOWANCE

The mileage rates for Members attending approved duties outwith the City are set at HMRC rates, which are currently as follows:

Type of vehicle	First 10,000 miles	Above 10,000 miles
Cars and vans	45p	25p
Motorcycles	24p	24p
Cycles	20p	20p

Passenger payments – Cars and vans

5p per passenger per business mile for carrying fellow Councillors/Council employees in a car or van on journeys which are also work journeys for them

Driving a hybrid or electric vehicle

When a Member is claiming out of City mileage rates by driving a hybrid or electric vehicle then HMRA AMAP mileage rates are applicable.

1. Use of own vehicle

Members are allowed to use their own vehicles for approved journeys, subject to this guidance. Where the appropriate mileage rates apply, the rates per mile for different vehicles will be the same as the rates payable to employees.

Members using their own vehicles must register this use with Members' Services by submission of copies of the registration document and an up to date copy of the insurance certificate. The insurance policy must approve the use of the vehicle in connection with Council business. If the insurance does not extend to Council business use then there will be no protection for Members in the event of an accident.

2. Long Distance Journeys

Members would not normally be expected to use their own vehicles for long distance journeys (conferences, business trips etc.) unless it can be demonstrated that the cost to the Council would be less than travel by train, e.g. where a number of Members are going to the same destination and will be travelling in the same car.

There may be cases where it may be more practical to use a Member's own vehicle. In these cases and subject to prior agreement with the Chief Executive a claim may be made equivalent to the rail fare, which would have otherwise been incurred.

## MEMBERS' ALLOWANCES SCHEME

### 3. Passenger Supplement

Drivers of passengers who would also be entitled to claim the motor car allowance can increase the rate by a set amount for the second and subsequent passengers up to a maximum of 4 passengers.

### 4. Parking

Drivers may also claim for essential parking fees and tolls (receipts must be supplied).

### 5. Public Transport

Cost of fare(s) incurred will be reimbursed. Tickets or other receipts should be attached to the claim form. Arrangements will continue to be made for annual bus/metrolink passes to be provided for those members who use public transport regularly.

### 6. Taxis

Taxis should only be used in case of emergency, where use of public transport is not practical or where a Member has a medical condition which results in temporary impaired mobility. Taxis in Manchester should be booked through the Members' Services using the Council's taxi contract. If this is not possible due to the individual circumstances, a receipt must be obtained which should be submitted with the claim form.

### 7. Travel by Train/Aircraft (Conferences, Council Business Trips etc.)

In all normal circumstances, tickets must be pre-booked by the Members' Services using the Council's contract with a travel agency. The only exception will be where notice of less than 24 hours is given to a Member to travel on behalf of the Council and urgent arrangements have to be made for tickets. If a Member has to make her/his own arrangements, receipts must be provided before reimbursement can be made.

## **SUBSISTENCE ALLOWANCE**

Allowance	Rates (£)	Condition
Lunch	7.38	For more than 2 hours duty including the period between 12 noon and 2 pm
Dinner	10.40	For more than 2 hours duty ending after 7pm

- Subsistence may be claimed for lunch and dinner, the maximum amounts claimable will be the same as the rates payable to employees. Lunch subsistence claimed for meetings in the Town Hall buildings will be taxable. Reimbursement cannot be claimed for meals which have been provided free of charge.

## MEMBERS' ALLOWANCES SCHEME

2. Before being eligible for claim the following minimum hours set out in the table above must be worked by Members in connection with the event involved. The times include not just the duration of the meeting but also travelling time to and from the meeting.
3. All claims must be supported by a receipt. Receipts must relate to meals, or foodstuffs, which could reasonably be considered to have been consumed at the particular meal claimed for.
4. Separate receipts must normally be submitted for each meal allowance claimed.

Roll-up of allowances will be allowed if the circumstances did not make it reasonable or practical to take up some of the entitlements, provided that:

- the total of all claims does not exceed the combined allowances for,
  - all the meals claimed,
  - all claims relate to the same day, and
  - the food was not otherwise provided for any of the meals claimed.
5. Overclaims on one meal may be offset by underclaims on another provided that the total of all claims does not exceed the combined allowances for all the meals claimed.

Overclaims will be considered on individual meals in exceptional circumstances where it can be shown that there is no reasonable alternative. For example, this might apply if a member was staying at a hotel, which did not include breakfast in its overnight rate and the breakfast provided cost in excess of the standard allowance. Additional costs would not be allowed if they related to alcoholic drinks or other items, which would not normally be considered appropriate.

6. Claims will not be allowed where a meal is provided at an event which a Member is attending but the Member chooses to buy food elsewhere. Such expenditure would be considered an unnecessary increase in cost to the Council.
7. Hotel accommodation required by Members for conferences, seminars, Council business trips etc., should be pre-booked by the Members' Services through the Council's contract with a travel agency. In exceptional circumstances Members will be reimbursed where this is not possible due to the urgency of the situation.

### **NOTE:**

Councillors are reminded of the need to ensure that claim forms are submitted as near as possible after the expenses have been incurred, and in any event no later than a month afterwards. Failure to submit claims in good time could involve the Council losing money, where reclaims from outside bodies are involved, or lead to a delay in processing the claim because of difficulties in checking. All claim forms must be certified by [the Chief Executive or an officer appointed by the Chief Executive to certify these claims].



# **PART 8**

# **MANAGEMENT STRUCTURE**

# Management Structure

## Full Members of the Strategic Management Team (SMT)\*

- Chief Executive
- Deputy Chief Executive
- ~~Deputy Chief Executive and City Treasurer~~
- City Solicitor
- Strategic Director (Children's and Education Services)
- Executive Director of Adult Social Services
- Strategic Director (Growth and Development)
- Strategic Director (Neighbourhoods)
- Director of Population Health and Wellbeing
- Assistant Chief Executive

## Chief Officers

- The full members of SMT

## Statutory Officers

- |                                     |  |
|-------------------------------------|--|
| • Head of Paid Service              | - Chief Executive  |
| • Chief Finance Officer             | - <del>Deputy Chief Executive and City Treasurer</del>                           |
| • Monitoring Officer                | - City Solicitor   |
| • Director of Children's Services   | - Strategic Director (Children's and Education Services)                         |
| • Director of Adult Social Services | - Executive Director of Adult Social Services                                    |
| • Director of Public Health         | - Director of Population Health and Wellbeing                                    |
| • Deputy Chief Finance Officer      | - <del>Deputy City Treasurer</del> <u>Assistant Director (Corporate Finance)</u> |
| • Deputy Monitoring Officer         | - Deputy City Solicitor  |
| • Scrutiny Officer                  | - Governance and Scrutiny Support Unit Manager                                   |

\*While other senior officers may attend SMT on an occasional or regular basis, only the listed officers are considered full members of SMT for the purposes of Part 8 of the Council's Constitution.

**Deputy Chief Officers/Heads of Service****Directorate/Division****Deputy Chief Officer/Head of Service**

**Corporate Core: Deputy Chief Executive's ~~excluding City Solicitor's~~ Division**

~~Deputy City Treasurer~~ **City Solicitor\*\***  
 Director of **Human Resources, Organisational Development & Transformation** HROD  
 Director of Capital Programmes  
 Director of ICT  
~~Head of Audit and Risk Management~~  
**Director of Transformation**

**\*\*The City Solicitor also has a direct reporting line to the Chief Executive, in respect of statutory Monitoring Officer functions.**

**Corporate Core: City Solicitor's Department\*\*\*~~Division~~**

Deputy City Solicitor  
 Head of Strategic Communications  
~~Head of Chief Executive Office~~  
~~Head of Electoral Services~~ **Corporate Delivery Manager**  
~~Head of Protocol Civic and Ceremonial~~  
 Head of Registrars and Coroners

**\*\*\*The City Solicitor's Department is part of the Deputy Chief Executive's Division**

**Corporate Core: City Treasurer's Division**

**Assistant Director (Corporate Finance)**  
**Directorate Head of Finance**  
**Directorate Head of Finance**  
**Head of Audit and Risk Management**  
**Head of Commissioning Governance, Assurance and Initiatives**  
**Head of Finance**  
**Head of Integrated Commissioning and Procurement**

**Corporate Core: Assistant Chief Executive's Division**

**Head of City Policy**  
**Head of Public Intelligence and Performance**  
**Head of Reform and Innovation**

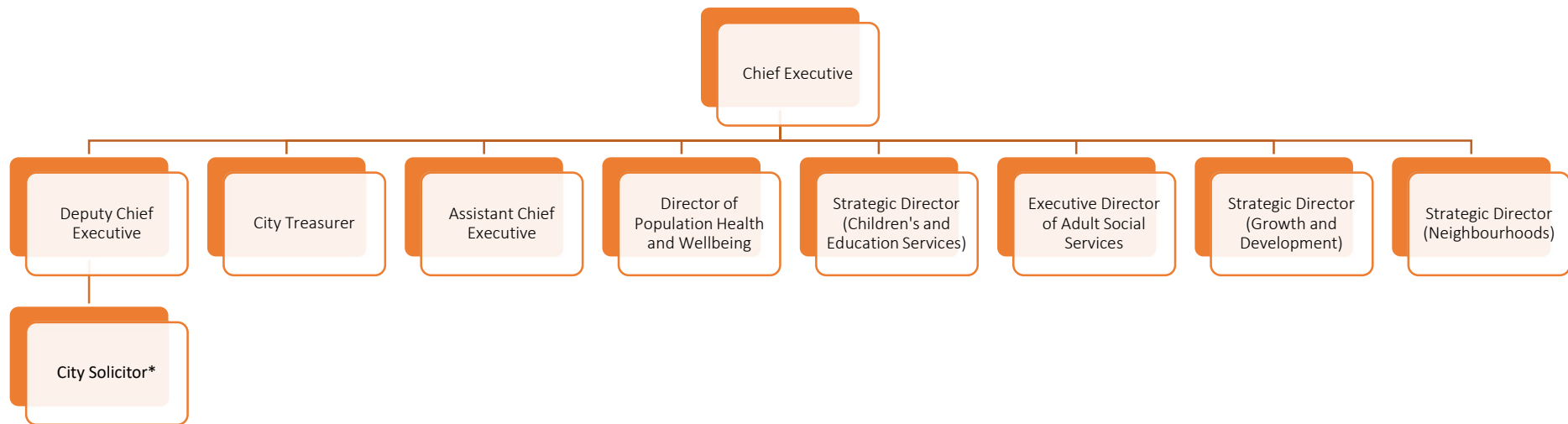
**Neighbourhoods**

Director of Highways  
 Director of Housing Operations  
 Director of Neighbourhood Delivery

	Director of <b><u>Communities Commercial and Operations</u></b>
<b>Adult Social Services</b>	Deputy Director of Adult Social Services Assistant Director (Learning Disability, Transition and Complex Needs) Assistant Director (Hospitals, Integrated Contacts and TEC)  Assistant Director (Neighbourhoods and Safeguarding)
<b>Population Health &amp; Wellbeing</b>	Assistant Director of Public Health/ <b><u>consultant</u></b> Assistant Director of Public Health/ <b><u>consultant</u></b> <del>Assistant Director of Public Health Strategic Lead Population Health Programmes</del> <b><u>Assistant Director Integration and Population Health</u></b> Strategic Head of Health Intelligence
<b>Children's &amp; Education Services</b>	Deputy Strategic Director Director of Education <del>Strategic Lead</del> <b><u>Assistant Director of Safeguarding and Practice Improvement</u></b> Strategic Lead Commissioning
<b>Growth and Development</b>	Assistant Director Major Regeneration Director of City Centre Growth and Infrastructure Director of Development  Director of Inclusive Economy Director of Planning, Building Control and Licensing
<b><del>Assistant Chief Executive's Direct Reports</del></b>	<del>Head of City Policy</del> <del>Head of Public Intelligence and Performance</del> <del>Head of Reform and Inclusion</del> <b><u>Innovation</u></b>

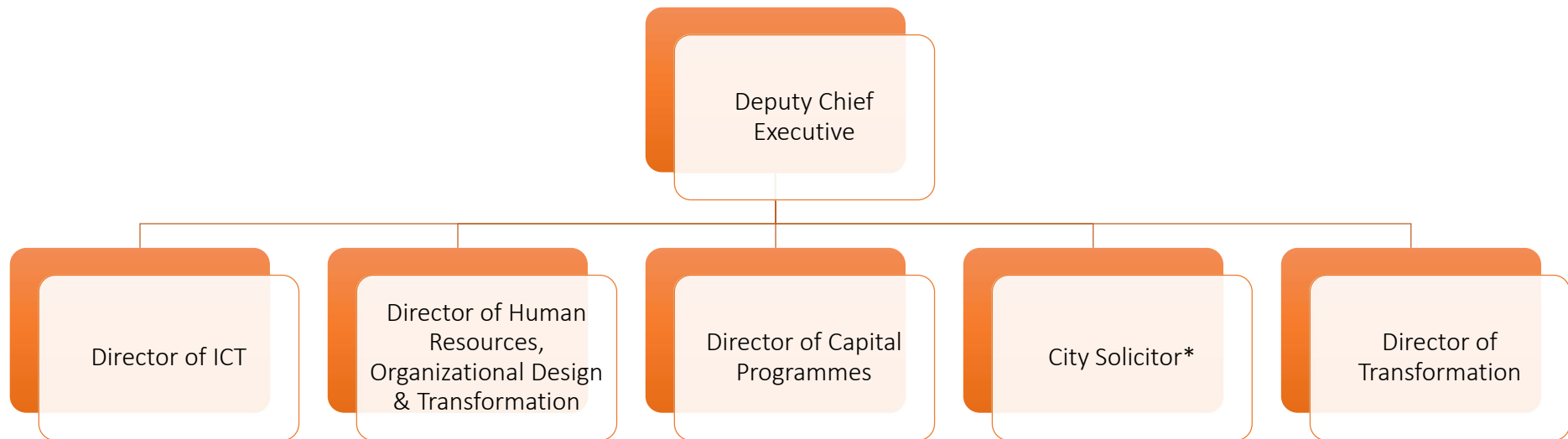
Additional information on the Council's structure is published within the 'Open Data' section of the Council website in accordance with the DCLG Code of Recommended Practice on Data Transparency.

# Full Members of the Strategic Management Team (SMT)



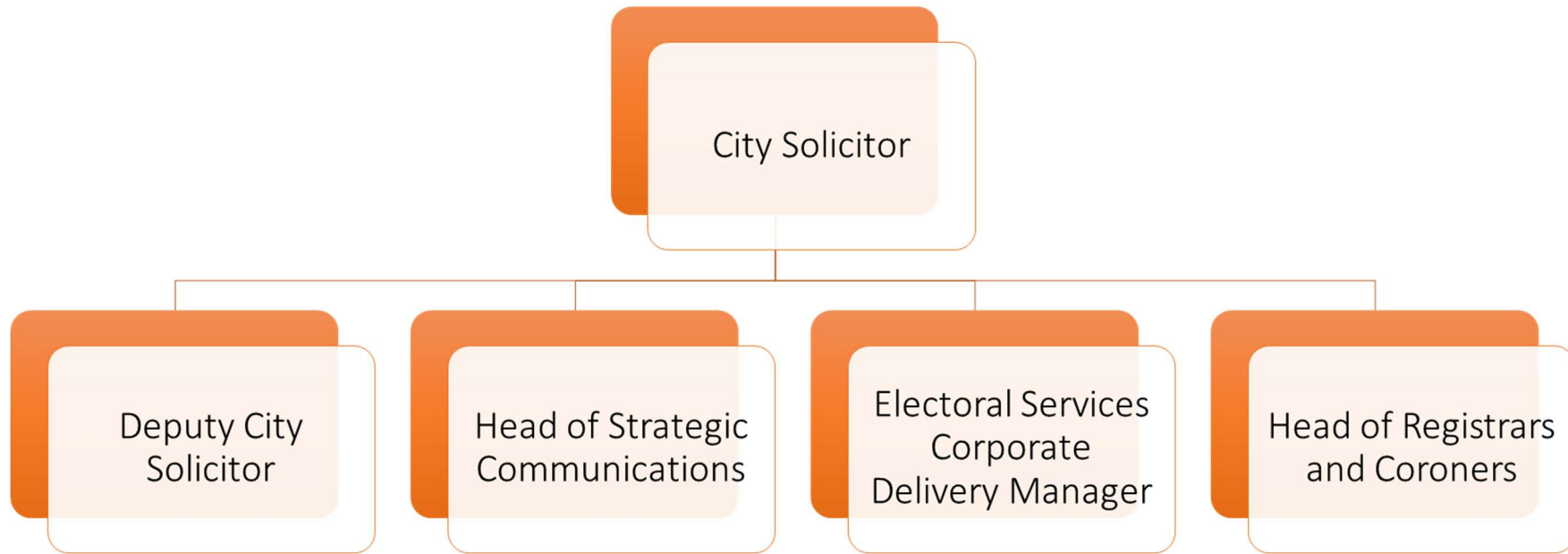
\* The City Solicitor reports to the Deputy Chief Executive, but also has a direct reporting line to the Chief Executive in respect of statutory Monitoring Officer responsibilities.

# Corporate Core: Deputy Chief Executive's Division



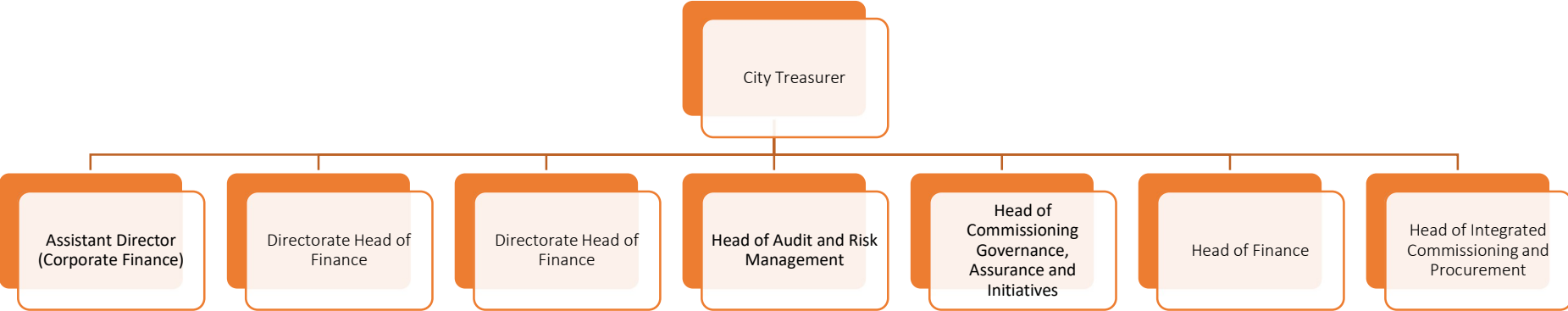
\* The City Solicitor reports to the Deputy Chief Executive, but also has a direct reporting line to the Chief Executive in respect of statutory Monitoring Officer responsibilities.

# Corporate Core: City Solicitor's Department\*



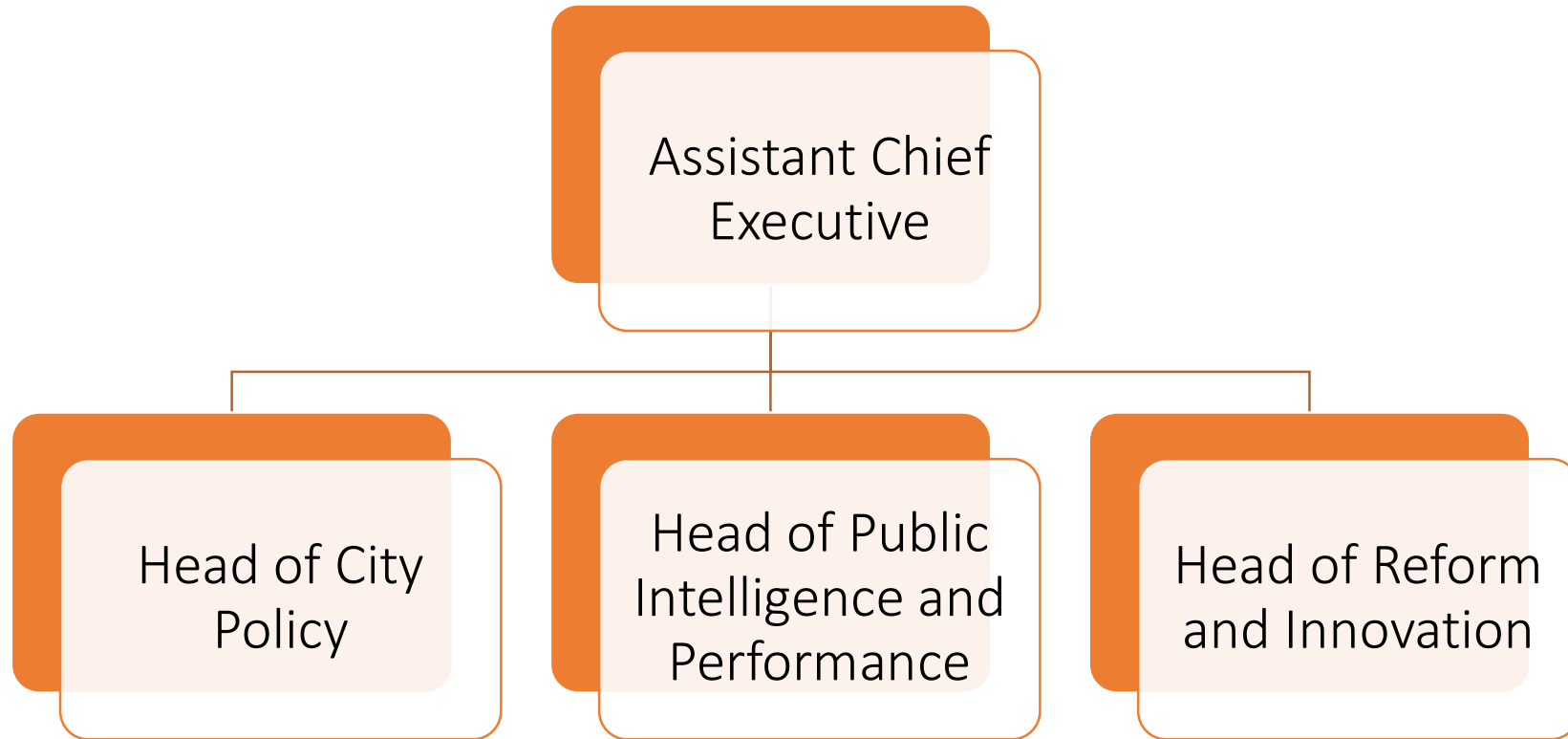
\* The City Solicitor's Department is part of the Deputy Chief Executive's Division of the Corporate Core.

# Corporate Core: City Treasurer's Division





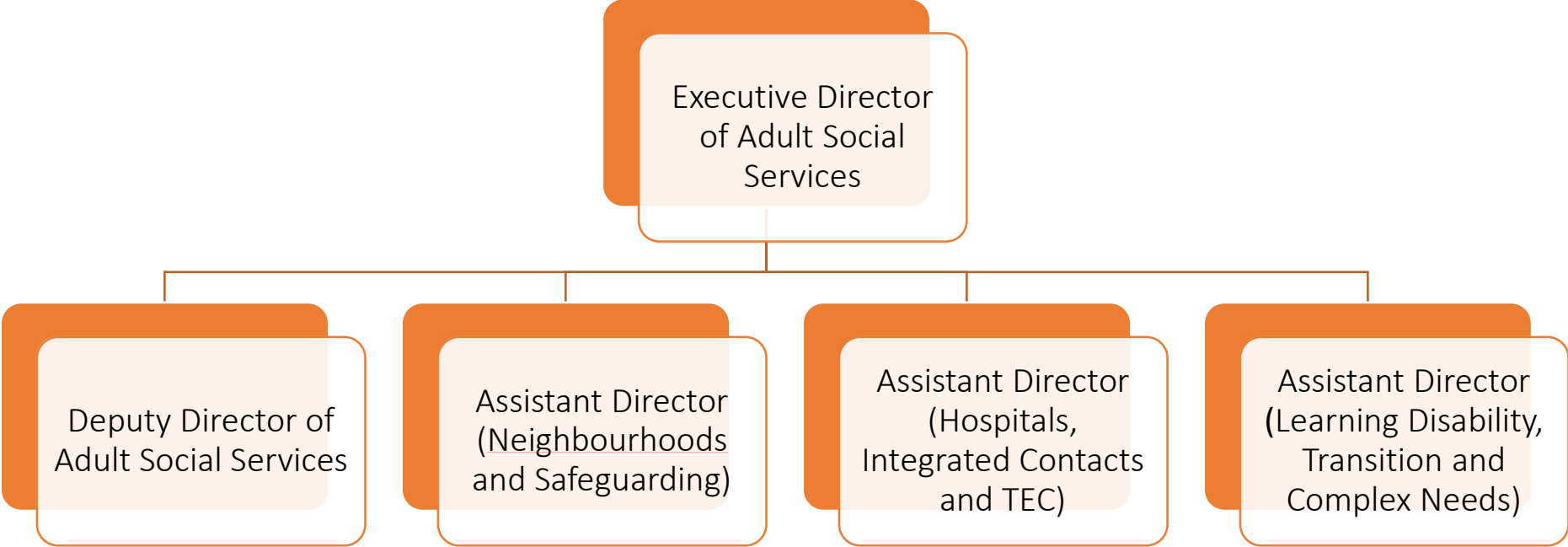
# Corporate Core: Assistant Chief Executive's Division



# Neighbourhoods



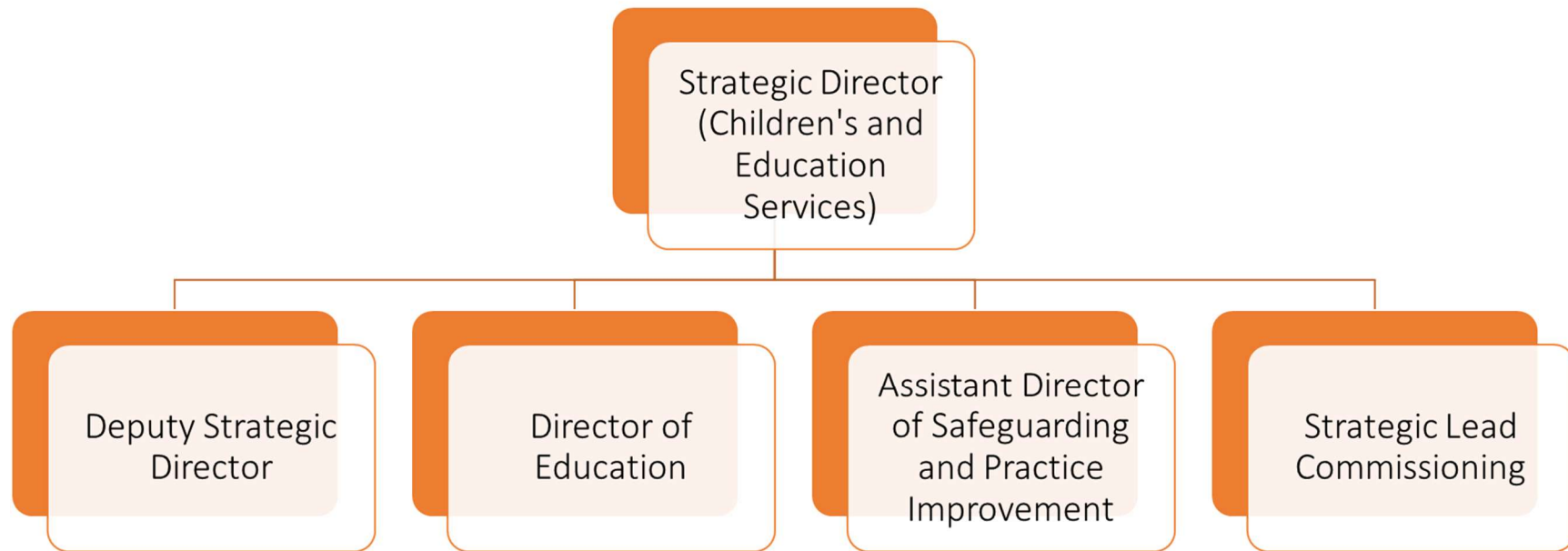
# Adult Social Services



# Population Health and Wellbeing



# Children's & Education Services



# Growth and Development

